



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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| <b>(54) Title: UNITARY PACKAGE IDENTIFICATION AND DIMENSIONING SYSTEM EMPLOYING LADAR-BASED SCANNING METHODS</b><br><b>(54) Titre: IDENTIFICATION D'EMBALLAGE INDIVIDUEL ET SYSTEME DE DIMENSIONNEMENT UTILISANT UN PROCEDE DE BALAYAGE RADAR LASER</b>  |           |   |
| <b>(57) Abstract</b><br><p>A fully automated package identification and measuring system (300), in which an omnidirectional holographic scanning tunnel (100) is used to read bar codes on packages entering the tunnel, while a package dimensioning subsystem (500, 600) is used to capture information about the package prior to entry into the tunnel. Mathematical models are created on a real-time basis for the geometry of the package and the position of the laser scanning beam used to read the bar code symbol thereon. The mathematical models are analyzed to determine if collected and queued package identification data is spatially and/or temporally correlated with package measurement data using vector-based ray-tracing methods, homogeneous transformations, and object-oriented decision logic so as to enable simultaneous tracking of multiple packages being transported through the scanning tunnel.</p> <b>(57) Abrégé</b><br><p>La présente invention concerne une identification d'emballages complètement automatisée et un système de mesure (300), dans lesquels on utilise un tunnel d'exploration (100) par balayage holographique omnidirectionnel pour lire des code barres sur des emballages qui entrent dans ce tunnel, tandis qu'on utilise un sous système (500, 600) de dimensionnement d'emballage pour capturer des informations relatives à l'emballage préalablement à son entrée dans le tunnel. On crée en temps réel des modèles mathématiques traitant de la géométrie de l'emballage et de la position du faisceau d'exploration laser prise pour lire les symboles du code barres figurant sur cet emballage. On analyse les modèles mathématiques de façon à déterminer si les données d'identification des emballages collectés et placés dans une file d'attente sont corrélées dans l'espace et/ou dans le temps avec des données de mesure des emballages obtenues par des techniques de lancer de rayon fondées sur des vecteurs, par des transformations homogènes, par logique de décision orientée objet de façon à permettre un suivi simultané de multiples emballages transportés à travers ce tunnel d'exploration.</p> |           |   |

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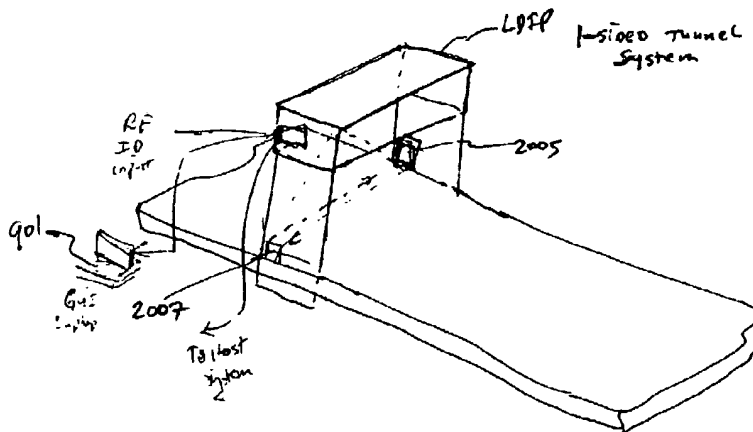
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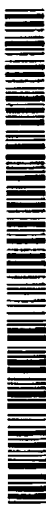
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(54) Title: UNITARY PACKAGE IDENTIFICATION AND DIMENSIONING SYSTEM EMPLOYING LADAR-BASED SCANNING METHODS



(57) Abstract: A fully automated package identification and measuring system (300), in which an omnidirectional holographic scanning tunnel (100) is used to read bar codes on packages entering the tunnel, while a package dimensioning subsystem (500, 600) is used to capture information about the package prior to entry into the tunnel. Mathematical models are created on a real-time basis for the geometry of the package and the position of the laser scanning beam used to read the bar code symbol thereon. The mathematical models are analyzed to determine if collected and queued package identification data is spatially and/or temporally correlated with package measurement data using vector-based ray-tracing methods, homogeneous transformations, and object-oriented decision logic so as to enable simultaneous tracking of multiple packages being transported through the scanning tunnel.



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**Description**

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UNITARY PACKAGE IDENTIFICATION AND DIMENSIONING SYSTEM EMPLOYING LADAR-BASED  
SCANNING METHODS

BACKGROUND OF THE INVENTION

Technical Field

The present invention relates generally to an automated tunnel-type laser scanning package identification and measuring system arranged about a high-speed conveyor structure used in diverse package routing and transport applications, and also a method of identifying and measuring packages labeled with bar code symbols.

Brief Description of the Prior Art

In many environments, there is a great need to automatically identify and measure objects (e.g. packages, parcels, products, luggage, etc.) as they are transported along a conveyor structure. While over-the-head laser scanning systems are effective in scanning upwardly-facing bar codes on conveyed objects, there are many applications where it is not practical or otherwise feasible to ensure that bar code labels are upwardly-facing during transportation under the scanning station.

Various types of "tunnel" scanning systems have been proposed so that bar codes can be scanned independently of their orientation within scanning volume of the system. One such prior art tunnel scanning system is disclosed in US Patent No. 5,019,714 to Knowles. In this prior art scanning system, a plurality of single scanline scanners are orientated about a conveyor structure in order to provide limited degree of omni-directional scanning within the "tunnel-like" scanning environment. Notably, however, prior art tunnel scanning systems, including the system disclosed in US Patent No. 5,019,714, are incapable of scanning bar code systems in a true omni-directional sense, i.e. independent of the direction that the bar code faces as it is transported along the conveyor structure. At best, prior art scanning systems provide omni-directional scanning in the plane of the conveyor belt or in portions of planes orthogonal thereto. However, true omnidirectional scanning along the principal planes of a large 3-D scanning volume has not been hitherto possible.

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5 Another object of the present invention is to provide such a system, in which the same amplitude modulated laser beam used to dimension packages is also used to detect the presence of packages over a prespecified time interval.

10 Another object of the present invention is to provide a fully automated package identification and measuring system, wherein the package dimensioning subsystem is realized as a LADAR-based package imaging and dimensioning unit (i.e. subsystem) supported above the conveyor belt structure of the system.

15 Another object of the present invention is to provide such an automated package identification and measuring system, wherein the LADAR-based imaging, detecting and dimensioning subsystem produces a synchronized amplitude-modulated laser beam that is automatically scanned across the width of the conveyor belt structure and, during each scan  
20 thereacross, detects and processes the reflected laser beam in order to capture a row of raw range (and optionally reflection-intensity) information that is referenced with respect to a polar-type coordinate system symbolically-embedded within the LADAR-based imaging, detecting and dimensioning subsystem.

25 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the rows of range data captured by the LADAR-based imaging, detecting and dimensioning subsystem are continuously loaded into  
30 a preprocessing data buffer, one row at a time, and processed in real-time using window-type convolution kernels that smooth and edge-detect the raw range data and thus improve its quality for subsequent dimension data extraction operations.

35 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically subtracts detected background information (including  
40 noise) from the continuously updated range data map as to accommodate for changing environmental conditions and enable high system performance independent of background lighting conditions.

45 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically buffers consecutively captured rows of  
50 smoothed/edge-detected range data to provide a range data map of the space above the conveyor belt, and employs two-dimensional image contour tracing techniques to detect image contours within the buffered range data map, indicative of packages being transported through the laser scanning tunnel system.

5 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the indices (m,n) of the computed contours in order to detect vertices associated with polygonal-shaped objects extracted from the range data map, which are representative of packages or like objects being transported through the laser scanning tunnel system.

10 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the m and n indices of the detected vertices associated with the computed contours in order to detect candidates for corner points associated with the corners of a particular package being transported through the laser scanning tunnel system.

15 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the m and n indices of detected corner point candidates in order to reduce those corner point candidates down to those most likely to be the corners of a regular-shaped polygonal object (e.g. six sided box).

20 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the m and n indices of the corner points extracted from the range data map in order to compute the surface area of the package represented by the contours traced therein.

25 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the m and n indices of the corner points extracted from the range data map in order to compute the x,y and z coordinates corresponding to the corners of the package represented by the contours traced therein, referenced relative to a Cartesian-type global coordinate reference system symbolically embedded within the automated package identification and measuring subsystem.

30 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the m and n indices of the corner points extracted from the range data map in order to compute the average height of the package



5 represented by the contours traced therein, referenced relative to the Cartesian-type global coordinate reference system.

10 Another object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem employs a polygonal-type laser scanning mechanism for scanning an amplitude-modulated laser beam across the width of the conveyor belt.

15 object of the present invention is to provide such an automated package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem employs a holographic-type laser scanning mechanism for scanning an amplitude-modulated laser beam across the width of the conveyor belt.

20 Another object of the present invention is to provide a fully automated package identification and measuring system, wherein mathematical models are created on a real-time basis for both the geometry of the package and the position of the laser scanning beam used to read the bar code symbol thereon.

25 Another object of the present invention is to provide a fully automated package identification and measuring system, wherein the mathematical models are analyzed to determine if collected and queued package identification data is spatially and/or temporally correlated with package measurement data using vector-based ray-tracing methods, homogeneous transformations, and object-oriented decision logic so as to enable simultaneous tracking of multiple packages being transported through the scanning tunnel.

30 Another object of the present invention is to provide such a system, in which a plurality of holographic laser scanning subsystems are mounted from a scanner support framework, arranged about a high-speed conveyor belt, and arranged so that each scanning subsystem projects a highly-defined 3-D omni-directional scanning volume with a large depth-of-field, above the conveyor structure so as to collectively provide omni-directional scanning with each of the three principal scanning planes of the tunnel-type scanning system.

35 Another object of the present invention is to provide an automated package identification and measuring system, wherein singulated packages can be detected, dimensioned, weighed, and identified in a fully automated manner without human intervention, while being transported through a laser scanning tunnel subsystem using a package conveyor subsystem.

5           Another object of the present invention is to provide such a system, wherein a package detection and dimensioning subsystem is provided on the input side of its scanning tunnel subsystem, for detecting and dimensioning singulated packages passing through the package detection and dimensioning subsystem.

10           Another object of the present invention is to provide such a system, wherein a data element queuing, handling and processing subsystem is provided for queuing, handling and processing data elements representative of package identification, dimensions and/or weight, and wherein a moving package tracking queue is maintained so that data elements  
15           comprising objects, representative of detected packages entering the scanning tunnel, can be tracked along with dimensional and measurement data collected on such detected packages.

20           Another object of the present invention is to provide such a system, wherein a package detection subsystem is provided on the output side of its scanning tunnel subsystem.

          Another object of the present invention is to provide such a system, wherein the tunnel scanning subsystem provided therein comprises a plurality of laser scanning  
25           subsystems, and each such laser scanning subsystem is capable of automatically generating, for each bar code symbol read by the subsystem, accurate information indicative of the precise point of origin of the laser scanning beam and its optical path to the read bar code symbol, as well as produced symbol character data representative of the read bar code  
30           symbol.

          Another object of the present invention is to provide such a system, wherein the plurality of laser scanning subsystems generated an omnidirectional laser scanning pattern within a 3-D scanning volume, wherein a bar code symbol applied to any one side of a six-  
35           sided package (e.g. box) will be automatically scanned and decoded when passed through the 3-D scanning volume using the conveyor subsystem.

40           Another object of the present invention is to provide such a system, wherein the laser scanning subsystems comprise holographic laser scanning subsystems, and also polygonal-type laser scanning subsystems for reading bar code symbols facing the conveyor surface.

45           Another object of the present invention is to provide such a system, wherein each holographic laser scanning subsystem employed in the tunnel scanning subsystem comprises a device for generating information specifying which holographic scanning facet or holographic facet sector (or segment) produced the laser scan data used to read any bar code  
50           symbol by the subsystem.

55           Another object of the present invention is to provide such a system, wherein each non-holographic (e.g. polygonal-type and CCD camera type) laser scanning subsystem

5 employed in the tunnel scanning subsystem comprises a device for generating information specifying which mirror facet or mirror sector produced the laser scan data used to read any bar code symbol by the subsystem.

10 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a scan beam geometry modeling subsystem for producing, relative to a local coordinate reference system symbolically embedded within the laser scanning subsystem, coordinate  
15 information comprising a geometric model of each laser scanning beam used to read a particular bar code symbol for which symbol character data has been produced by the laser scanning subsystem.

20 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a first homogeneous transformation module for converting the coordinate information comprising the geometric model of each laser scanning beam used to read a particular bar  
25 code symbol on a detected package, from the local coordinate reference system symbolically embedded within the laser scanning subsystem, to a global coordinate reference system symbolically embedded within the tunnel-type scanning system.

30 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a package surface modeling subsystem for producing, relative to a local coordinate reference system symbolically embedded within the laser scanning subsystem, coordinate information  
35 comprising a geometric model of each surface on each package detected by the package detection and dimensioning subsystem.

40 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a second homogeneous transformation module for converting the coordinate information comprising the geometric model of each surface on a detected package, from the local  
45 coordinate reference system symbolically embedded within the laser scanning subsystem, to a global coordinate reference system symbolically embedded within the tunnel-type scanning system.

50 Another object of the present invention is to provide such a system, wherein a laser scan beam and package surface intersection determination subsystem is provided for determining which detected package was scanned by the laser scanning beam that read a particular bar code symbol, and for linking (i.e. correlating) package measurement data

5 associated with the detected package with package identification data associated with the laser scanning beam that read a bar code symbol on a detected package.

Another object of the present invention is to provide such a system with a package velocity measurement subsystem for measuring the velocity of the package as it moves from  
10 the package detection and dimensioning subsystem through the laser scanning tunnel subsystem of the system.

Another object of the present invention is to provide such a system, wherein the package velocity measurement subsystem is realized as an integral part of the LADAR-based imaging, detecting and dimensioning subsystem.

Another object of the present invention is to provide such a system, wherein a package weighing-in-motion subsystem is provided for weighing singulated packages moving  
20 through the package detection and dimensioning subsystem, and producing weight measurement information for assignment to each detected package.

Another object of the present invention is to provide an automated package identification and measuring system, wherein singulated packages can be detected,  
25 dimensioned, weighed, and identified in a fully automated manner without human intervention, while being transported through a laser scanning tunnel subsystem using a package conveyor subsystem.

Another object of the present invention is to provide such a system, wherein a package detection and dimensioning subsystem is provided on the input side of its scanning tunnel subsystem, for detecting and dimensioning singulated packages passing through the  
30 package detection and dimensioning subsystem.

Another object of the present invention is to provide such a system, wherein a data element queuing, handling and processing subsystem is provided for queuing, handling and processing data elements representative of package identification, dimensions and/or weight,  
40 and wherein a moving package tracking queue is maintained so that data elements comprising objects, representative of detected packages entering the scanning tunnel, can be tracked along with dimensional and measurement data collected on such detected packages.

Another object of the present invention is to provide such a system, wherein a package detection subsystem is provided on the output side of its scanning tunnel subsystem.

Another object of the present invention is to provide such a system, wherein each holographic laser scanning subsystem employed in the tunnel scanning subsystem comprises  
50 a device for generating information specifying which holographic scanning facet or

5 holographic facet sector (or segment) produced the laser scan data used to read any bar code symbol by the subsystem.

10 Another object of the present invention is to provide such a system, wherein each non-holographic (e.g. polygonal-type) laser scanning subsystem employed in the tunnel scanning subsystem comprises a device for generating information specifying which mirror facet or mirror sector produced the laser scan data used to read any bar code symbol by the subsystem.

15 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a scan beam geometry modeling subsystem for producing, relative to a local coordinate reference system symbolically embedded within the laser scanning subsystem, coordinate information comprising a geometric model of each laser scanning beam used to read a particular bar code symbol for which symbol character data has been produced by the laser scanning subsystem.

25 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a first homogeneous transformation module for converting the coordinate information comprising the geometric model of each laser scanning beam used to read a particular bar code symbol on a detected package, from the local coordinate reference system symbolically embedded within the laser scanning subsystem, to a global coordinate reference system symbolically embedded within the tunnel-type scanning system.

35 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a package surface modeling subsystem for producing, relative to a local coordinate reference system symbolically embedded within the laser scanning subsystem, coordinate information comprising a geometric model of each surface on each package detected by the package detection and dimensioning subsystem.

40 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a second homogeneous transformation module for converting the coordinate information comprising the geometric model of each surface on a detected package, from the local coordinate reference system symbolically embedded within the laser scanning subsystem, to a global coordinate reference system symbolically embedded within the tunnel-type scanning system.

5                   Another object of the present invention is to provide such a system, wherein a laser  
scan beam and package surface intersection determination subsystem is provided for  
determining which detected package was scanned by the laser scanning beam that read a  
particular bar code symbol, and for linking (i.e. correlating) package measurement data  
10                   associated with the detected package with package identification data associated with the  
laser scanning beam that read a bar code symbol on a detected package.

                  Another object of the present invention is to provide such a system with a package  
15                   velocity measurement subsystem for measuring the velocity of the package as it moves from  
the package detection and dimensioning subsystem through the laser scanning tunnel  
subsystem of the system.

                  Another object of the present invention is to provide such a system, wherein a  
20                   package weighing-in-motion subsystem is provided for weighing singulated packages moving  
through the package detection and dimensioning subsystem, and producing weight  
measurement information for assignment to each detected package.

                  Another object of the present invention is to provide an automated package  
25                   identification and measuring system, wherein multiple packages, arranged in a side-by-side,  
stacked and/or singulated configuration, can be simultaneously detected, dimensioned,  
weighed, and identified in a fully automated manner without human intervention, while  
30                   being transported through a laser scanning tunnel subsystem using a package conveyor  
subsystem.

                  Another object of the present invention is to provide such a system, wherein a  
35                   multiple package detection and dimensioning subsystem can be provided on the input and  
output sides of the scanning tunnel subsystem, and multiple moving package tracking queues  
are simultaneously maintained therein for spatially different regions above the conveyor belt  
in order that data elements comprising objects, representative of detected packages exiting  
40                   the scanning tunnel, can be tracked along with dimensional and measurement data collected  
on such detected packages.

                  Another object of the present invention is to provide such a system, wherein the  
45                   tunnel scanning subsystem provided therein comprises a plurality of laser scanning  
subsystems, and each such laser scanning subsystem is capable of automatically generating,  
for each bar code symbol read by the subsystem, accurate information indicative of the  
precise point of origin of the laser scanning beam and its optical path to the read the bar  
50                   code symbol, as well as symbol character data representative of the read bar code symbol.

5           Another object of the present invention is to provide such a system, wherein the data  
element queuing, handling and processing subsystem provided therein further comprises a  
scan beam geometry modeling subsystem for producing, relative to a local coordinate  
10           reference system symbolically embedded within the laser scanning subsystem, coordinate  
information comprising a geometric model of each laser scanning beam used to read a  
particular bar code symbol for which symbol character data has been produced by the laser  
scanning subsystem.

15           Another object of the present invention is to provide such a system, wherein the data  
element queuing, handling and processing subsystem provided therein further comprises a  
first homogeneous transformation module for converting the coordinate information  
20           comprising the geometric model of each laser scanning beam used to read a particular bar  
code symbol on a detected package, from the local coordinate reference system symbolically  
embedded within the laser scanning subsystem, to a global coordinate reference system  
symbolically embedded within the tunnel-type scanning system.

25           Another object of the present invention is to provide such a system, wherein the data  
element queuing, handling and processing subsystem provided therein further comprises a  
package surface modeling subsystem for producing, relative to a local coordinate reference  
30           system symbolically embedded within the laser scanning subsystem, coordinate information  
comprising a geometric model of each surface on each package detected by the package  
detection and dimensioning subsystem.

35           Another object of the present invention is to provide such a system, wherein the data  
element queuing, handling and processing subsystem provided therein further comprises a  
second homogeneous transformation module for converting the coordinate information  
comprising the geometric model of each surface on a detected package, from the local  
40           coordinate reference system symbolically embedded within the laser scanning subsystem, to a  
global coordinate reference system symbolically embedded within the tunnel-type scanning  
system.

45           Another object of the present invention is to provide such a system, wherein a laser  
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determining which detected package was scanned by the laser scanning beam that read a  
particular bar code symbol, and for linking (i.e. correlating) package measurement data  
50           associated with the detected package with package identification data associated with the  
laser scanning beam that read a bar code symbol on a detected package.

5           Another object of the present invention is to provide such a system with a package velocity measurement subsystem for measuring the velocity of the package as it moves from the package detection and dimensioning subsystem through the laser scanning tunnel subsystem of the system.

10           Another object of the present invention is to provide such a system, wherein the package detection and dimensioning subsystem provided on the input side of the laser scanning tunnel subsystem comprises a laser scanning mechanism that generates  
15           an amplitude modulated laser scanning beam that is scanned across the width of the conveyor structure in the package conveyor subsystem while the scanning beam is disposed substantially perpendicular to the surface of the conveyor structure, and  
20           light reflected from scanned packages is collected, detected and processed to produce information representative of the package height profile across the width of the conveyor structure for each timing sampling instant carried out by the package  
25           detection and dimension subsystem.

          Another object of the present invention is to provide a LADAR-based package imaging, detecting and dimensioning subsystem for imaging and/or profiling packages transported thereby a substantially constant velocity..

30           Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein a synchronized amplitude-modulated laser beam is automatically produced and scanned across the width of a conveyor belt structure and, during each scan thereacross, detects and processes the reflected laser beam in order to  
35           capture a row of raw range (and optionally reflection-intensity) information that is referenced with respect to a polar-type coordinate system symbolically-embedded within the LADAR-based imaging, detecting and dimensioning subsystem.

40           Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein captured rows of range data are continuously loaded into a preprocessing data buffer, one row at a time, and processed in real-time using  
45           window-type convolution kernels that smooth and edge-detect the raw range data and thus improve its quality for subsequent dimension data extraction operations.

          Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein detected background information (including  
50           noise) is automatically subtracted from consecutively captured rows of smoothed/edge-



5 detected range data to provide a range data map of the space above the conveyor belt, for use in carrying out package dimension data extraction operations involving the same.

10 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein two-dimensional image contour tracing techniques are used to detect image contours within the buffered range data map, indicative of packages being transported thereby.

15 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem automatically processes the indices (m,n) of the computed contours in order to detect possible vertices associated with polygonal-shaped objects extracted from the range data map, which are representative of packages or like objects being transported by the subsystem.

20 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of the vertices associated with the computed contours are automatically processed in order to detect candidates for corner points associated with the corners of packages transported by the subsystem.

25 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of detected corner point candidates are automatically processed in order to reduce those corner point candidates down to those most likely to be the corners of a regular-shaped polygonal object (e.g. six sided box).

30 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of the corner points extracted from the range data map are automatically processed in order to compute the surface area of the package represented by the contours traced therein.

35 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of the corner points extracted from the range data map are automatically processed in order to compute the x,y and z coordinates corresponding to the corners of the package represented by the contours traced therein, referenced relative to a Cartesian-type global coordinate reference system symbolically embedded within the automated package identification and measuring subsystem.

40 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of the corner points

5 extracted from the range data map are automatically processed in order to compute the average height of the package represented by the contours traced therein, referenced relative to the Cartesian-type global coordinate reference system.

10 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein a polygonal-type laser scanning mechanism is used to scan an amplitude-modulated laser beam across the width of the conveyor belt.

15 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein a holographic-type laser scanning mechanism is used to scan an amplitude-modulated laser beam across the width of the conveyor belt.

20 These and other objects of the present invention will become apparent hereinafter and in the Claims to Invention.

#### 25 BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention, the following Detailed Description of the Illustrative Embodiment should be read in conjunction with the accompanying Drawings, wherein:

30 Fig. 1A is a perspective view of an automated one-sided tunnel-type package identification and measurement (e.g. dimensioning and weighing) system constructed in accordance with the first illustrated embodiment of the present invention, wherein a single unitary package dimensioning and identification unit of the present invention is mounted  
35 over a conveyor belt;

40 Fig. 1B is a perspective view of an automated three-sided tunnel-type package identification and measurement (e.g. dimensioning and weighing) system constructed in accordance with the second illustrated embodiment of the present invention, wherein one unitary package dimensioning and identification unit of the present invention is mounted over a conveyor belt and functions as the master-control unit, while two such units are  
45 mounted on opposite sides thereof and interconnected therewith and function as slave units (i.e. supply package identification and dimension information to the master unit);

50 Fig. 1C is a perspective view of an automated four-sided tunnel-type package identification and measurement (e.g. dimensioning and weighing) system constructed in accordance with the third illustrated embodiment of the present invention, wherein one unitary package dimensioning and identification unit of the present invention is mounted

5 over a conveyor belt and functions as the master-control unit, while two such units are mounted on the sides thereof and function as slave units, and one such unit is mounted along the bottom of the conveyor belt also functioning as a slave unit;

10 Fig. 1D is a perspective view of an automated six-sided tunnel-type package identification and measurement (e.g. dimensioning and weighing) system constructed in accordance with the third illustrated embodiment of the present invention, wherein one unitary package dimensioning and identification unit of the present invention is mounted  
15 over a conveyor belt and functions as the master-control unit, while two such units are mounted on the sides thereof and function as slave units, one such unit is mounted along the bottom of the conveyor belt and functions as a slave unit, and two such units mounted along the forward and rearward facing directions of the conveyor belt, also functioning as slave  
20 units to the master unit;

Fig. 2A is a partially-phantom perspective view of the unitary package identification and measurement system of the illustrative embodiment, wherein the holographic scanning based bar code symbol reading subsystem, the laser-based package dimensioning subsystem, the package tracking subsystem, the data element handling subsystem and the input/output subsystem associated therewith are contained within the its housing;

25 Fig. 2B is a plan view of the unitary package identification and measurement system of Fig. 2A, showing its three holographic scanning subsystems mounted beneath the scanning window of the unitary system;

30 Fig. 3 is a schematic block diagram illustrating the subsystem components of the automated tunnel-type package identification and measurement system of the first illustrative embodiment of the present invention, namely its the LADAR-based package imaging, detecting and dimensioning subsystem, package velocity computation subsystem, the package-in-tunnel indication subsystem, the package-out-of-tunnel subsystem, the package weighing-in-motion subsystem, the holographic laser scanning subsystems, the data-element queuing, handling and processing subsystem, the input/output port multiplexing subsystem, and the conveyor belt subsystem integrated together as shown;

35 Fig. 4A1 is a plan view of the triple-disc holographic scanning subsystem mounted within the unitary package identification and measurement system of the first illustrative embodiment, showing three holographic scanning discs mounted on an optical bench with about a 15.0 inches spacing between the axis of rotation of each neighboring holographic scanning disc, and each holographic scanning disc mounted therein being surrounded by five beam folding mirrors, five parabolic light collection mirrors, five laser beam production  
40  
45  
50  
55

5 modules, five photodetectors, and five analog and digital signal processing boards mounted on the optical bench of the subsystem;

10 Fig. 4A2 is a perspective view of one of the laser scanning stations mounted about each holographic laser scanning disc in the triple-disc holographic laser scanning subsystem shown in Fig. 4A1,

15 Fig. 4A3 is a cross-sectional view of the triple-disc holographic laser scanning subsystem shown in Fig. 4A2, taken along line 4A3-4A3 thereof, showing one of its holographic scanning discs rotatably supported by its scanning motor mounted on the optical bench of the subsystem;

20 Fig. 4A4 is a schematic representation of the layout of the volume-transmission type holographic optical element (HOEs) mounted between the glass support plates of each holographic scanning disc employed within the triple-disc holographic scanning subsystem shown in Fig. 4A1;

25 Fig. 4A5 is a table setting forth the design parameters used to construct each holographic disc within the single-disc holographic scanning subsystem employed in the tunnel scanning system of the first illustrative embodiment;

30 Figs. 5A through 5C, taken together, set forth a schematic representation showing the subcomponents configured together on the analog signal processing boards, decode signal processing boards and within the subhousing of one of the (single-disc) holographic laser scanning subsystems contained within the unitary package identification and measurement system of the present invention;

35 Fig. 6A is an elevated view of the home-pulse mark sensing module of the present invention deployed about each holographic scanning disc in the unitary package identification and measurement system of the first illustrative embodiment of the present invention;

40 Fig. 6B is a plan view of the home pulse mark sensing module shown in Fig. 6A;

45 Figs. 6C1 through 6C2, taken together, provide a schematic diagram of an analog signal processing circuit which can be used to implement the home-pulse detector employed in the holographic laser scanning subsystems of the first illustrative embodiment of the present invention;

50 Fig. 7A is a schematic representation of the 3-D laser scanning volume produced from the triple-disc holographic laser scanning subsystem of Fig. 4A1 (indicated as "Penta 3"), indicating the physical dimensions of the 3-D scanning volume, as well as the minimum bar

5 code element width resolutions that the subsystem can achieve over three identified subregions within the scanning volume;

Fig. 7B is a schematic representation of the 3-D laser scanning volume produced from a double-disc embodiment of the holographic laser scanning subsystem of Fig. 4A1 (indicated as "Penta 2"), indicating the physical dimensions of the 3-D scanning volume, as well as the minimum bar code element width resolutions that the subsystem can achieve over three identified subregions within the scanning volume;

Fig. 7C is a schematic representation of the 3-D laser scanning volume produced from a single-disc embodiment of the holographic laser scanning subsystem of Fig. 4A1 (indicated as "Penta 1"), indicating the physical dimensions of the 3-D scanning volume, as well as the minimum bar code element width resolutions that the subsystem can achieve over three identified subregions within the scanning volume;

Fig. 8 is a scanner specification table setting forth operational specifications for the holographic laser scanning subsystems shown in Figs. 7A, 7B and 7C, for the Penta 3, Penta 2 and Penta 1 scanners, respectively;

Fig. 9A is a schematic representation of all the laser scan lines produced by a single scanning platform within the laser scanning subsystem of Fig. 4A1, projected into the respective focal planes of such laser scan lines;

Fig. 9B is a schematic representation of all the laser scan lines produced by all three of the laser scanning platforms within the holographic laser scanning subsystem of Fig. 4B1, projected into the respective focal planes of such laser scan lines;

Fig. 10 is a schematic representation of the components on the control board and decode processing boards associated with holographic scanning disc employed within the unitary package identification and dimensioning system of Fig. 2A, showing the home-pulse detector and home-offset pulse (HOP) generator on the control board, and the start-of-facet-sector pulse (SOFSP) generator, digitizer circuitry, decode signal processor and ROM containing relative timing information about each SOFSP in relation to the HOP sent to the decode processing board from the control board of the present invention;

Fig. 10A is a schematic representation of the start-of-facet-sector pulse (SOFSP) generator employed on each decode board associated with a holographic laser scanning subsystem in the system of Fig. 2A;

Fig. 10B is a first table containing parameters and information that are used within the SOFP generation module of the SOFSP generator shown in Fig. 10A;

5 Fig. 10C is a schematic representation of the operation of the start-of-facet pulse (SOFP) generator employed within each SOFSP generator shown in Fig. 10A, wherein start of facet pulses are generated within the SOFP generator relative to the home-offset pulse (HOP) received from the HOP generator on the control board associated with each  
10 holographic scanning disc;

Fig. 10D is a second table containing parameters and information that are used within the SOFSP generation module of the SOFSP generator shown in Fig. 10A;

15 Fig. 10E1 and 10E2 set forth a table containing a set of production rules used within the SOFSP generation module of the SOFSP generator shown in Fig. 10A, to generate start-of-facet-sector pulses therewithin;

20 Fig. 10F is a schematic representation of the operation of the start-of-facet-sector pulse (SOFSP) generator of the present invention, wherein start of facet sector pulses (SOFSPs) are generated within the SOFSP generator relative to the home-offset pulse (HOP) received from the HOP generator on the control board associated with each holographic  
25 scanning disc;

Figs. 11A1 and 11A2, taken together, set forth a schematic diagram of the digitizing circuit shown in Fig. 10, using a pair of dual FIFO memory storage buffers to synchronously track digital scan data and information about the facet-sectors on the optically-encoded  
30 holographic scanning disc of Fig. 12 used to generate the laser scanning beam that was used to collect such digital scan data from a bar code symbol on a package transported through the tunnel scanning subsystem of the first illustrative embodiment of the present invention;

35 Figs. 11B is a schematic diagram showing in greater detail the digitizing circuit shown in Fig. 10;

40 Figs. 11C1, 11C2 and 11D set forth tables containing parameters and information that are used within the decode processor of the present invention shown in Fig. 11A2 in order to recover digital count data from time-based facet-sector related information, and generate decoded symbol character data and the minimum and maximum facet sector angles that specify the facet sector on a particular holographic scanning disc used to generate the  
45 laser scanning beam/plane that collects the scan data associated with the decoded bar code symbol;

Fig. 11E is a high level flow chart describing the steps of the process carried out by the decode processor of the present invention shown in Fig. 11A2;

50 Fig. 12A is a schematic representation of the components on the control board and decode processing boards associated with a holographic scanning disc employed within an

5 alternative embodiment of the holographic scanning subsystems in the unitary package  
identification and dimensioning system of the first illustrative embodiment of the present  
invention, showing the home-pulse detector and home-offset pulse (HOP) generator on the  
control board therewithin, and the start-of-facet-sector pulse (SOFSP) generator, digitizer  
10 circuitry, and decode signal processor;

Fig. 12B is a schematic representation of the start-of-facet-sector pulse (SOFSP)  
generator employed on each decode board associated with the holographic laser scanning  
subsystem schematically depicted in Fig. 12A;

15 Figs. 13A1 and 13A2 is a schematic diagram of the digitizing circuit shown in Fig.  
12A using a pair of dual FIFO memory storage buffers to synchronously track digital scan  
data and information about the facet-sectors on a holographic scanning disc used to generate  
the laser scanning beam that was used to collect such digital scan data from a bar code  
20 symbol on a package transported through the tunnel system of the present invention;

Fig. 13B is a schematic diagram showing the digitizing circuit of Fig. 13A in greater  
detail;

25 Fig. 14A is a flow chart describing the operation of the HOP generator on the control  
board associated with each holographic scanning disc, wherein home offset pulses (HOPs)  
are automatically generated from the HOP generator aboard the control board in each  
holographic laser scanning subsystem independent of the angular velocity of the holographic  
scanning disc employed therein;

30 Fig. 14B is a flow chart describing the operation of the SOFSP generator aboard each  
decode board, wherein start of facet pulses (SOFs) are automatically generated within the  
SOFSP generation module relative to the home-offset pulse (HOP) received by the control  
module in the SOFSP generator independent of the angular velocity of the holographic  
scanning disc of the subsystem, and wherein start of facet sector pulses (SOFSPs) are  
35 automatically generated within the SOFSP generation module relative to SOFs generated  
by the SOFP generation module, independent of the angular velocity of the holographic  
scanning disc of the subsystem;

40 Fig. 15A is a schematic block diagram of the dual-beam/multi-wavelength LADAR-  
based imaging, detecting and dimensioning subsystem depicted in Fig. 15, and integrated  
within the housing of the unitary package identification and dimensioning system shown in  
Figs. 1A and 2A;

45 Fig. 15A is a schematic representation of a generic dual-beam/multi-wavelength  
LADAR-based imaging, detecting and dimensioning subsystem of the present invention

5 configured in relation to a set of retro-reflective beam-steering mirrors mounted on opposite sides of a conveyor belt, beneath the LADAR-based imaging, detecting and dimensioning subsystem, mounted thereabove;

10 Fig. 15B is a schematic representation of a first illustrative embodiment of the dual-beam/multi-wavelength LADAR-based imaging, detecting and dimensioning subsystem of the present invention, having an eight-sided polygonal scanning element, mounted on an optical bench within the subsystem housing, for generating a pair of amplitude modulated laser  
15 beams (from a pair of laser beam production modules) which are projected along a pair of spaced-apart scanning planes through a light transmission aperture formed in the subsystem housing, a light collecting mirror mounted on the optical bench for collecting reflected laser light off a scanned object (e.g. package) and focusing the same to a focal point located on the  
20 surface of a stationary planar mirror mounted on the optical bench, and an avalanche-type photodetector mounted on the optical bench for detecting laser light focused onto the stationary planar mirror and producing an electrical signal corresponding thereto, signal  
25 processing circuitry for processing the produced electrical signal and generating raw digital range data representative of the distance from the polygonal scanning element to sampled points along the scanned object (as well digital scan data representative of any bar code symbol the scanned surface of the object), a programmed digital image data processor for  
30 preprocessing the raw digital range data and removing background information components, and for processing the preprocessed range data so as to extract therefrom information regarding the dimensions (e.g. area, height, length, width and vertices) of the scanned object  
35 and produce data representative thereof as well as the velocity of the dimensioned package, and a bar code symbol digitizing and decoding circuitry for processing the digital scan data signal and producing symbol character data representative of any bar code symbol located on the scanned surface of the object;

40 Fig. 15C is a schematic representation of the avalanche photodetector employed within the dual-beam/multi-wavelength LADAR-based imaging, detecting and dimensioning subsystem shown in Fig. 15B;

45 Fig. 15D is a schematic representation illustrating that the information specified in the polar-type coordinate reference subsystem symbolically embedded within the LADAR-based subsystem of Fig. 15B can be converted (or translated) to the Cartesian-type coordinate reference system symbolically embedded within the tunnel-type laser scanning  
50 package identification and dimensioning system of Fig. 1A;

Fig. 15E1 is a schematic diagram showing an elevated side view of the eight-sided



5 polygonal scanning element employed in the polygon-based LADAR-based subsystem of Fig. 15B, wherein the dimensions of the scanning facets are specified in inches;

10 Fig. 15E2 is a schematic diagram showing a plan view of the eight-sided two-angle polygonal scanning element employed in the polygon-based LADAR-based subsystem of Fig. 15B, wherein the dimensions of the scanning facets are specified in inches;

15 Fig. 15E3 is a table specifying the angle at which each reflective scanning facet on the polygon scanning element of Fig. 15E2 is mounted off the vertical rotational axis thereof, showing that the alternating faces of the scanning element are mounted  $\pm 3$  and  $3/4$  degrees to the vertical resulting in an angular separation of 15 degrees between the first and second multi-wavelength laser scanning/ranging beams produced from the LADAR-based subsystem of Fig. 15B;

20 Fig. 15E4 is a table listing which polygon contribute to the generation of the first and second multi-wavelength laser scanning/ranging beams produced from the LADAR-based subsystem of Fig. 15B;

25 Fig. 15F is a schematic diagram of an alternative embodiment of the dual-beam/multi-wavelength LADAR-based imaging, detecting and dimensioning subsystem shown in Fig. 15B, wherein the laser scanning beams are projected through a holographic spatial filter causing a plurality of modulated laser beams to be simultaneously projected over the conveyor belt surface at different angular spacings to achieve a desired degree of spatial sampling of the conveyor belt surface and objects transported therealong, while a rotating eight-sided polygon scanning element is used to create a moving field of view (FOV) across the illuminated conveyor belt;

35 Fig. 15G is a schematic representation of a perspective view of a second illustrative embodiment of the dual-beam/multi-wavelength LADAR-based imaging, detecting and dimensioning subsystem of the present invention, having a holographic scanning disc, rotatably mounted on an optical bench within the subsystem housing, for generating a pair of amplitude modulated laser beams having multi-wavelengths (produced from a pair of laser beam production module) and projected along a pair of spaced-apart scanning planes (along multiple depths of focus) through a light transmission aperture formed in the subsystem housing, a parabolic light collecting mirror mounted beneath the holographic scanning disc for collecting reflected laser light off a scanned object (e.g. package) and focusing the same to an avalanche-type photodetector mounted above the scanning disc, and producing an electrical signal corresponding thereto, signal processing circuitry for processing the produced electrical signal and generating raw digital range data representative of the distance from the

5 polygonal scanning element to sampled points along the scanned object (as well digital scan data representative of any bar code symbol the scanned surface of the object), a programmed digital image data processor for preprocessing the raw digital range data and removing background information components, and for processing the preprocessed range data so as to  
10 extract therefrom information regarding the dimensions (e.g. area, height, length, width and vertices) of the scanned object and produce data representative thereof as well as the velocity of the dimensioned package, and a bar code symbol digitizing and decoding circuitry for processing the digital scan data signal and producing symbol character data representative of  
15 any bar code symbol located on the scanned surface of the object;

Fig. 15H is a schematic representation of side view of the second illustrative embodiment of the dual-beam/multi-wavelength LADAR-based imaging, detecting and dimensioning subsystem shown in Fig. 15H;

Fig. 15I is a schematic representation of the holographic scanning disc employed in the dual-beam/multi-wavelength LADAR-based imaging, detecting and dimensioning subsystem shown in Figs. 15G and 15H;

Figs. 15J1 and 15J2, taken together, show a table setting forth the design parameters used to construct the holographic scanning disc employed in the dual-beam/multi-wavelength LADAR-based subsystem of the second illustrative embodiment;

Fig. 15K is a table listing the facets which produce laser scanning beams Nos. 1 and 2 from the dual-beam/multi-wavelength LADAR-based subsystem shown in Figs. 15G and 15H;

Fig. 16 is a schematic representation of the unitary package identification and dimensioning system of the present invention shown in Fig. 2A, wherein any dual-beam/multi-wavelength LADAR-based subsystem shown in Figs. 15 through 15K can be modified so that the pair of laser scanning beams generated therefrom are projected perpendicular to the surface of the conveyor belt along the entire length thereof, using a cylindrical-type focusing element (i.e. cylindrical holographic optical element HOE) as shown in Figs. 16D and 16E;

Fig. 16A is an elevated cross-sectional schematic view of the dual-beam/multi-wavelength LADAR-based subsystem of Figs. 15G and 15H modified to include either the cylindrical lens shown in Fig. 16D or 16E, extending along substantially the entire length of the scanning window of the subsystem, in order to simultaneously project pairs of multi-wavelength laser scanning beams substantially perpendicular to the surface of the conveyor belt;

5 Fig. 16B is an elevated end schematic view of the dual-beam/multi-wavelength LADAR-based subsystem of Fig. 16, showing that the cylindrical-type focusing element (i.e. cylindrical holographic optical element HOE) extends along substantially the entire length of the scanning window of the subsystem, in order to simultaneously project pairs of multi-  
10 wavelength laser scanning beams substantially perpendicular to the surface of the conveyor belt;

15 Fig. 16C is an elevated cross-sectional schematic view of the dual-beam/multi-wavelength LADAR-based subsystem of Figs. 15G modified to include either the cylindrical lens shown in Fig. 16D or 16E, extending along substantially the entire length of the scanning window of the subsystem, in order to simultaneously project pairs of multi-wavelength laser scanning beams substantially perpendicular to the surface of the conveyor  
20 belt;

25 Fig. 16D is a schematic representation of a holographic embodiment of the cylindrical-type lens element which can be employed within the dual-beam/multi-wavelength LADAR-based subsystem of Fig. 16 in order to simultaneously project pairs of multi-wavelength laser scanning beams substantially perpendicular to the surface of the conveyor belt;

30 Fig. 16E is a schematic representation of a refractive embodiment of the cylindrical-type lens element which can be employed within the dual-beam/multi-wavelength LADAR-based subsystem of Fig. 16 in order to simultaneously project pairs of multi-wavelength laser scanning beams substantially perpendicular to the surface of the conveyor belt;

35 Fig. 17 is a high-level flow chart indicating the major stages of 2-D range data processing method carried out within the package height/width/length dimensioning subsystem integrated within the LADAR-based package imaging, detection and dimensioning  
40 subsystem shown in Fig. 3;

45 Fig. 18 is a schematic representation of the various steps involved in cyclically carrying out the 2-D range data processing method upon the computing platform of the LADAR-based imaging, detecting and dimensioning subsystem of the present invention;

Fig. 19 is a flow chart of an algorithmic control structure for the computation of local operators in the LADAR-based subsystem of the present invention;

50 Figs. 20A through 20D, taken together, set forth a flow chart describing the steps of involved in the range data processing method carried out in the LADAR-based imaging, detecting and dimensioning subsystem of the illustrative embodiment, when using range data

5 collected by only of the laser scanning beams produced by the subsystem so to compute (i)  
the height, width and length dimensions of scanned packages, as well as (ii) the coordinates  
for the vertices of such packages relative to the local (polar) coordinate reference system  
10 symbolically embedded within the subsystem (using package velocity information provided  
by either an external velocity sensor, or the image-processing based method of velocity  
computation illustrated in Figs. 30 through 32B);

Fig. 21A is a graphical image of a 2-D range data map synthesized from a large  
15 number of rows of raw range data captured from the LADAR-based imaging, detecting and  
dimensioning subsystem of the present invention, in accordance with the data capturing  
operations specified in Step A1 of the flow chart set forth in Figs. 20A through 20D;

Fig. 21B is a graphical image of a 2-D range data map after being processed by the  
20 data processing operations specified in Step A2 of the flow chart set forth in Figs. 20A  
through 20D;

Fig. 21C is a graphical image of a 2-D range data map after being processed by the  
25 data processing operations specified in Step A3 of the flow chart set forth in Figs. 20A  
through 20D;

Fig. 21D is a graphical image of a 2-D range data map synthesized from a large  
30 number of rows of background data removed from rows of raw range data by the data  
processing operations specified in Step A4 of the flow chart set forth in Figs. 20A through  
20D;

Fig. 21E is a graphical image of a differentiated 2-D range data map from which  
35 background data, indicated in Fig. 21D, is subtracted during being processed by the data  
processing operations specified in Steps B1, B2 and B3 of the flow chart set forth in Figs.  
20A through 20D;

Fig. 22 is a flow chart describing the process of retrieving rows of range data, already  
40 preprocessed using smoothing and edge-detection techniques, and computes the first vertical  
derivative and stores the results in an image structure for further processing, such as contour  
tracing, etc.;

Fig. 22A is a flow chart describing the steps carried out by the contour tracing  
45 program employed in the LADAR-based imaging, detecting and dimensioning subsystem of  
the present invention;

Fig. 23 is a flow chart describing the operations carried out during Step B6 and B7 of  
50 the flow chart set forth in Figs. 20A through 20D;

5                    Fig. 24 is a flow chart describing the operations carried out during Steps B6 and B7 of the flow chart set forth in Figs. 20A through 20D;

                  Fig. 25 is a flow chart describing the operations carried out during Step B10 of the flow chart set forth in Figs. 20A through 20D;

10                  Figs. 26A through 26D set forth polygonal contours of the type traced during Step B9, having corner points which are reduced using the algorithm set forth in Fig. 25;

                  Fig. 27 is a schematic representation of the LADAR-based subsystem of the present invention, illustrating the geometrical transformation between the polar coordinate reference system embedded therewithin and the height of a package scanned thereby defined relative to the Cartesian coordinate reference system symbolically embedded within the unitary package identification and dimensioning system of the present invention;

20                  Fig. 28 is a flow chart describing the surface computation operations carried out during Step B13 of the flow chart set forth in Figs. 20A through 20D;

                  Figs. 29A and 29B are polygonal contours which illustrative the method of package surface area computation carried out during Step B13 of the flow chart set forth in Figs. 20A through 20D

                  Fig. 30, taken together with certain steps indicated in Figs. 20A through 20D, sets forth a flow chart describing the steps of involved in the range data processing method carried out in the LADAR-based imaging, detecting and dimensioning subsystem of the illustrative embodiment when using range data collected by both of the laser scanning beams produced by the subsystem so to compute (i) the height, width and length dimensions of scanned packages, as well as (ii) the coordinates for the vertices of such packages relative to the local (polar) coordinate reference system symbolically embedded within the subsystem (using package velocity information computed by the image-processing based method illustrated in Figs. 30 through 32B);

40                  Fig. 31 is a flow chart illustrating the steps involved in carrying out the velocity computation stage set forth at Block CC in Fig. 30;

                  Fig. 32 is a schematic representation specifying particular geometric parameters used in the computation of package velocity according to the dual laser scanning beam method illustrated in Fig. 31;

                  Fig. 33 is a schematic representation specifying particular geometric parameters used in the computation of package velocity according to the dual laser scanning beam method illustrated in Fig. 31;

5 Fig. 33A is a schematic representation of the package-in-the-tunnel (PITT) indication subsystem shown in Fig. 3 realized using any of the LADAR-based imaging, detecting and  
10 dimensioning subsystems depicted in Figs. 15 through 15K, wherein the extreme portion of one of the amplitude modulated (AM) laser scanning beams produced by the LADAR-based imaging, detecting and dimensioning subsystem is used to generate an object sensing beam is reflected across the width of the conveyor belt of the system, is reflected off a mirror on the  
15 opposite side of the conveyor belt, and is detected at a prespecified "time window" and processed in effort to detect the presence or absence of packages being transported along the conveyor belt, for use in confirming/validating the package dimension data generated by the package height/width/length profiling subsystem shown in Fig. 3;

20 Fig. 33B is a schematic representation of the package-in-the-tunnel (PITT) indication subsystem shown in Fig. 3 realized using any of the LADAR-based imaging, detecting and dimensioning subsystems depicted in Figs. 16 through 15K, wherein the extreme portion of one of the amplitude modulated (AM) laser scanning beams produced by the LADAR-based  
25 imaging, detecting and dimensioning subsystem is used to generate an object sensing beam is reflected across the width of the conveyor belt of the system, is reflected off a mirror on the opposite side of the conveyor belt, and is detected at a prespecified "time window" and processed in effort to detect the presence or absence of packages being transported along the  
30 conveyor belt, for use in confirming/validating the package dimension data generated by the package height/width/length profiling subsystem shown in Fig. 3;

35 Fig. 34 is a high-level flow chart illustrating the steps involved in carrying out a generalized method of package (i.e. object) detection along a conveyor belt using a portion of the amplitude-modulated laser scanning beam generated by the LADAR-based imaging, detecting, and dimensioning subsystem of the present invention;

40 Fig. 35A is a schematic representation showing the time versus intensity characteristics of the object sensing signal generated by reflecting the extreme portion of the laser scanning beam from the LADAR-based system, across the conveyor belt of the system to a partially-absorptive mirror element, back across the conveyor belt and back towards the  
45 LADAR-based subsystem for detection and analog signal processing during a prespecified time window referenced against a gate or "start-of-object-sensing cycle" pulse signal generated aboard the LADAR-based subsystem illustrated in Fig. 35A, and having a time duration (i.e. time window) of about 100 milliseconds, as illustrated in Fig. 35B;

50 Fig. 35B is a schematic representation showing the time versus intensity characteristics of the gate or "start-of-object-sensing cycle" pulse signal generated aboard the

5 LADAR-based subsystem when the laser scanning beam is detected by a photosensor located at the extreme end of the scanning window, as shown in Figs. 15B and 15G, and 16A and 16C;

10 Fig. 35C is a schematic representation showing the time versus intensity characteristics of the time duration or time window over which object sensing signal detection and processing occurs, referenced to the "start-of-object-sensing cycle" pulse signal illustrated in Fig. 35B;

15 Fig. 36A is a digital representation of an exemplary object sensing signal which has been derived from a selected portion of the AM laser scanning beam generated by the LADAR-based subsystem of the present invention, and a time-windowed portion of which is digitally processed by a FIR-type digital filter so as to compute a first derivative signal thereof which is then compared against threshold values to determine whether or not a package is present or absent from the conveyor belt over the time period (i.e. time window) of interest, as required by the package-in-the-tunnel (PITT) indication subsystem shown in Fig. 3, as well as the package height, width and length profiling subsystem of Fig. 3;

25 Fig. 36B is a schematic representation the weights (i.e. coefficients) of the FIR-type digital filter used in the software-based programming implementation of the package-in-the-tunnel (PITT) indication subsystem shown in Fig. 3;

30 Fig. 36C is a digital representation of the output of the FIR digital filter employed in the software-based programming implementation of the package-in-the-tunnel (PITT) indication subsystem of Fig. 3, illustrated in Figs. 36A and 36B;

35 Fig. 37 is a hard-ware implementation of the PITT indication subsystem shown in Fig. 3, based on object sensing techniques involving the use of a selected portion of the AM laser scanning beam produced by the LADAR-based imaging, detecting and dimensioning subsystem of Fig. 3, shown comprising a start-of-object-sensing cycle (SOSC) pulse generation circuit, a LADAR-based photo-detection circuit, an analog object detection circuit, controller circuit with object detection window signal generation capabilities (e.g. realizable using an field programmable gate array (FPGA) device);

40 Fig. 38 is an analog circuit implementation of the analog object detection circuit shown in Fig. 37, which performs a first derivative function on the analog intensity varying object sensing signal over a prespecified/controlled time period (i.e. time-window), and thresholds the first derivative signal to determine whether or not an package is present or absent from the conveyor belt over the time-window and generates a digital output signal to indicate the state of such determinations;

5 Fig. 39 is a schematic representation of the digital circuit used to realize the controller circuit with object detection window signal generation capabilities shown in Fig. 37;

10 Figs. 40A and 40B taken together provide a schematic representation of the data element queuing, handling and processing subsystem of the present invention shown in Fig. 3;

15 Figs. 41A and 41B set forth a table of rules used to handle the data elements stored in the system event queue in the data element queuing, handling and processing subsystem of Figs. 40A and 40B;

20 Fig. 42 is a schematic representation of the surface geometry model created for each package surface by the package surface geometry modeling subsystem (i.e. module) deployed with the data element queuing, handling and processing subsystem of Figs. 40A and 40B, illustrating and showing how each surface of each package (transported through package dimensioning/measuring subsystem and package velocity/length measurement subsystem) is mathematically represented (i.e. modeled) using at least three position vectors (referenced to  $x=0, y=0, z=0$ ) in the global reference frame  $R_{\text{global}}$ , and a normal vector drawn to the package surface indicating the direction of incident light reflection therefrom;

25 Fig. 43 is a table setting forth a preferred procedure for creating a vector-based surface model for each surface of each package transported through the package dimensioning/measuring subsystem and package velocity/length measurement subsystem of the system hereof;

30 Figs. 44A1 through 44A2 is schematic representation of a diffraction-based geometric optics model, created by the scan beam geometry modeling subsystem (i.e. module) of Figs. 40A and 40B, for the propagation of the laser scanning beam (ray) emanating from a particular point on the facet, towards its point of reflection on the corresponding beam folding mirror, towards to the focal plane determined by the focal length of the facet, created within the scan beam geometry modeling module shown in Figs. 40A and 40B;

35 Figs. 44B1 through 44B3 set forth a table of parameters used to construct the diffraction-based geometric optics model of the scanning facet and laser scanning beam shown in Figs. 44A1 and 44A2;

40 Figs. 44C1 and 44C2, taken together, set forth a table of parameters used in the spreadsheet design of the holographic laser scanning subsystems of the present invention, as well as in real-time generation of geometrical models for laser scanning beams using 3-D ray-tracing techniques;



5 Fig. 44D is a schematic representation of the laser scanning disc shown in Figs. 44A1 and 44A2, labeled with particular parameters associated with the diffraction-based geometric optics model thereof;

10 Fig. 45 is a table setting forth a preferred procedure for creating a vector-based ray model for laser scanning beams which have been produced by a holographic laser scanning subsystem of the system hereof, that may have collected the scan data associated with a decoded bar code symbol read thereby within the tunnel scanning subsystem;

15 Fig. 46 is a schematic representation graphically illustrating how a vector-based model created within a local scanner coordinate reference frame  $R_{\text{localscanner}}$  can be converted into a corresponding vector-based model created within the global scanner coordinate reference frame  $R_{\text{global}}$  using homogeneous transformations;

20 Fig. 47 is a schematic representation graphically illustrating how a vector-based package surface model created within the global coordinate reference frame  $R_{\text{global}}$  at the "package height/width profiling position" can be converted into a corresponding vector-based package surface model created within the global scanner coordinate reference frame  $R_{\text{global}}$  at the "scanning position" within the tunnel using homogeneous transformations, and how the package travel distance (d) between the package height/width profiling and scanning positions is computed using the package velocity (v) and the difference in time indicated by the time stamps placed on the package data element and scan beam data element matched thereto during each scan beam/package surface intersection determination carried out within the data element queuing, handling and processing subsystem of Figs. 40A and 40B;

35 Figs. 48A and 48B, taken together, provide a procedure for determining whether the scan beam (rays) associated with a particular scan beam data element produced by a holographic scanning subsystem intersects with any surface on the package that has been scanned at a particular scanning position, and thus whether to correlate a particular package identification data element with particular package measurement data element acquired by the system;

45 Fig. 49 is a perspective view of an automated tunnel-type laser scanning package identification and weighing system constructed in accordance with the second illustrated embodiment of the present invention, wherein packages, arranged in a non-singulated or singulated configuration, are transported along a high speed conveyor belt, dimensioned by the LADAR-based imaging, detecting and dimensioning subsystem of the present invention, weighed by a weighing scale, and identified by an automatic bar code symbol reading system employing a 1-D (i.e. linear) CCD-based scanning array below which a light focusing lens is

5 mounted for imaging bar coded packages transported therebeneath and decode processing to read such bar code symbols in a fully automated manner without human intervention;

10 Fig. 50 is a schematic block diagram illustrating the subsystem components of the automated tunnel-type package identification and measurement system of Fig. 49, namely its LADAR-based package imaging, detecting and dimensioning subsystem, package velocity computation subsystem, the package-in-tunnel indication subsystem, the package-out-of-tunnel subsystem, the package weighing-in-motion subsystem, the 1-D (i.e. linear) CCD-based bar code symbol reading subsystem, the data-element queuing, handling and processing subsystem, the input/output port multiplexing subsystem, and the conveyor belt subsystem integrated together as shown;

20 Fig. 51 is a schematic representation showing the relative spatial relation between the global coordinate reference system  $R_{global}$  symbolically embedded within the unitary package identification system, the local coordinate reference system  $R_{LDP}$  symbolically embedded within the package imaging, detecting and dimensioning subsystem, the local coordinate reference system  $R_{CCD}$  symbolically embedded within the 1D CCD array within the bar code symbol reading system;

30 Fig. 52 is a schematic representation illustrating the all coordinates referenced with respect to one of the local coordinate systems depicted in Fig. 51 are converted to the global coordinate reference system using homogeneous transformations;

35 Fig. 53 is a perspective view of an automated tunnel-type laser scanning package identification and weighing system constructed in accordance with the third illustrated embodiment of the present invention, wherein packages, arranged in a non-singulated singulated configuration, are transported along a high speed conveyor belt, dimensioned by the LADAR-based package imaging, detecting and dimensioning subsystem, weighed by the in-weighing subsystem, imaged by a low-resolution CCD camera to locate the x,y position of labels on scanned packages, and identified by an automatic bar code symbol reading system comprising a stationarily-mounted light focusing lens mounted below a vertically-translatable 2-D CCD-based high-resolution scanning array controlled by package height information obtained from the LADAR-based package imaging, detecting and dimensioning subsystem, a pair of orthogonally-mounted field-of-view (FOV) steerable mirrors mounted beneath the 2-D CCD-based scanning array and controlled by the x,y coordinates collected by the low-resolution CCD camera, so as to scan detected package labels, collect high-resolution scan data therefrom, and decode processing the same so as to read bar code symbols within the detected package label in a fully automated manner without human intervention;

Fig. 54 is a schematic block diagram illustrating the subsystem components of the automated tunnel-type package identification and measurement system of Fig. 50, namely its LADAR-based package imaging, detecting and dimensioning subsystem, package velocity computation subsystem, the package-in-tunnel indication subsystem, the package-out-of-tunnel subsystem, the package weighing-in-motion subsystem, the 2-D steerable CCD-based bar code symbol reading subsystem, the data-element queuing, handling and processing subsystem, the input/output port multiplexing subsystem, and the conveyor belt subsystem integrated together as shown;

Fig. 55 is a schematic representation showing the relative spatial relation between the global coordinate reference system  $R_{\text{global}}$  symbolically embedded within the unitary package identification system, the local coordinate reference system  $R_{\text{LDIP}}$  symbolically embedded within the package imaging, detecting and dimensioning subsystem, the local coordinate reference system  $R_{\text{LRCCD}}$  symbolically embedded within the low-resolution 2D CCD scanning array (i.e. camera) within the bar code symbol reading system, and the local coordinate reference system  $R_{\text{HRCCD}}$  symbolically embedded within the high-resolution 2D CCD scanning array (i.e. camera) within the bar code symbol reading system; and

Fig. 56 is a schematic representation illustrating the all coordinates referenced with respect to one of the local coordinate systems depicted in Fig. 56 are converted to the global coordinate reference system using homogeneous transformations.

#### DETAILED DESCRIPTION OF THE ILLUSTRATIVE EMBODIMENTS OF THE PRESENT INVENTION

Referring to the figures in the accompanying Drawings, the preferred embodiments of the automated package identification and measurement system of the present invention will

5 be described in great detail, wherein like elements will be indicated using like reference numerals.

10 Automated Tunnel-Type Laser Scanning Package Identification And Measurement System Of The First Illustrative Embodiment Of The Present Invention

15 In Fig. 1A, there is shown an automated tunnel-type laser scanning package identification and measuring (e.g. dimensioning and weighing) system employing the unitary package identification and dimensioning system (i.e. unit) of the present invention which is shown in greater detail in Figs. 2A through 48B. Three such unitary systems are configured together about a conveyor belt subsystem as shown in Fig. 1B to provide a 3-sided package identification and dimensioning solution, whereas four such unitary units are configured together to provide a 4-sided identification and dimensioning solution. In Fig. 1D, six or more such units are configured together about a conveyor belt system to provide a 6-sided identification and dimensioning solution, as required by the needs of demanding customers, such as the United States Postal Service (USPS), which requires "hands-free" bar code (or code symbol) scanning of at least six-sided packages, wherein the label containing the code symbol to be read could be positioned in any orientation on any one of the six or more sides of the box or container structure. As used hereinafter, the term "hands-free" shall mean scanning of bar codes on boxes or parcels that are travelling past the scanners in only one direction on some sort of conveyor system. As will be described in greater detail, each of these resulting systems can include peripheral subsystems such as for example, radio-frequency (RF) identification tag subsystems, package weighing subsystems, and the like to address the needs of particular customers.

20 As shown in Fig. 3, the automated tunnel-based package identification and dimensioning system of the present invention, illustrated in Figs. 1A through 4D, is indicated by reference numeral 1 and comprises an integration of subsystems, namely: a high-speed package conveyor system 300 having a conveyor belt 300 having a width of at least 48 inches to support one or more package transport lanes along the conveyor belt; a tunnel scanning subsystem 100 including one or more bar code symbol reading subsystems (indicated as 101 through 117) supported overhead and below the conveyor belt by a support frame 150 so as to produce a 3-D omnidirectional scanning volume above the conveyor belt, for scanning bar codes on packages transported therethrough independent of the package or bar code orientation; a LADAR-based imaging, detecting and dimensioning

5 subsystem \_\_ capable of collecting range data from objects on the conveyor belt using a pair  
of multi-wavelength (i.e. containing visible and IR spectral components) laser scanning  
beams projected at different angular spacing as shown in Fig. 32, and within which are  
10 integrated a package velocity measurement subsystem 400 for measuring the velocity of  
transported packages by analyzing range data maps by the different scanning beams, a  
package-in-the-tunnel indication subsystem 500 for automatically detecting the presence of  
each package moving into the scanning tunnel by reflecting a portion of one of the laser  
15 scanning beams across the width of the conveyor belt in a retroreflective manner and then  
analyzing the return signal using first derivative and thresholding techniques; a package (x-y)  
height/width/length dimensioning (or profiling) subsystem 600 for producing x,y,y profile  
data of detected packages, and a package-out-of-the-tunnel indication subsystem 700 realized  
20 using predictive techniques based on the output of the PITT subsystem 500, for  
automatically detecting the presence of packages moving out of the scanning tunnel; a  
weighing-in-motion subsystem 900 for weighing packages as they are transported along the  
conveyor belt; an input/output subsystem 800 for managing the inputs to and output from  
25 the unitary system shown in Figs. 2A and 2B; and a data management computer 900 with a  
graphical user interface (GUI) 901, for realizing a data element queuing, handling and  
processing subsystem 1000 as shown in Figs. 40A and 40B, as well as other data and system  
management functions.  
30

#### Laser Scanning Tunnel Subsystem Of First Illustrative Embodiment Of The Present Invention

35 For purposes of discussion below, the system embodiment shown in Figs. 1D will be  
considered, as this system in principle is capable of more robust performance than the  
systems shown in Figs. 1A and 1B. The other systems are subset of this more robust system.

40 As shown in Figs. 1D, the tunnel scanning system of the first illustrative embodiment  
1 comprises an arrangement of laser scanning subsystems (i.e. scanners) which, by virtue of  
their placement, relative to the conveyor belt subsystem 300, essentially form a "tunnel"  
scanning subsystem over and about the conveyor belt of the conveyor subsystem 300. In the  
45 field of package sortation of any sort, whether it be mail, luggage (as in an airport terminal)  
or other items or boxes, this type of code symbol scanning system is known as a "tunnel  
scanning system" by those skilled in the art.

50 The tunnel scanning system of the first illustrative embodiment can be designed and  
constructed to meet any specific set of customer-defined scanning parameters. For example,

5 in the first illustrative embodiment, the bar code label can be on any one side of a box having  
six or more sides. The bar code label could be in any orientation. Furthermore, the object  
bearing the bar code label to be read would be moving past the scanners of the conveyor belt  
10 travelling at speeds in excess of 600 feet per second. In the illustrative embodiment, the  
conveyor belts 302A and 302B are moving at 520 feet per second but many move faster in  
other embodiments. The types of codes to be read to include such codes as Code 39, Code  
128 and others. The aspect ratio of the bar codes to be read is on the order of 10 mils and  
15 up.

The tunnel scanning system of the present invention can be used in various types of  
applications, such as for example, where the bar codes are read to determine (a)  
20 identification of incoming packages, (b) identification of outgoing packages, and (c) sortation  
of outgoing packages. For sortation types of applications, the information derived from the  
bar code will be used not only to identify the package, but also to direct the package along a  
particular path using deflectors, routers and other instruments well known in the package  
25 and parcel handling art.

In the first illustrative embodiment shown in Fig. 1D, the volume to be scanned  
within the tunneling subsystem (e.g. its 3-D scanning volume) is approximately: 48 inches  
30 wide (i.e. the width of the conveyor belt); ten feet long; and 1 meter tall (i.e. the height of  
the tallest possible box going through). The laser scanning pattern produced by the  
concerted operation of the holographic laser scanning subsystems identified in the drawings,  
and described above, fills this entire 3-D scanning volume with over 400,000 scan lines per  
35 second. The 3-D scanning volume of the tunnel scanning system, measured with respect to  
the surface of the conveyor belt, begins at the surface of the conveyor belt in order to scan  
flat items (such as envelopes), and extends up approximately 1 meter ("h) above the surface  
of the conveyor belt subsystem.

40 The various omnidirectional and orthogonal scanning directions provided for within  
the 3-D scanning volume of the tunnel-scanning system of the present invention are  
schematically illustrated in Figs. 5A through 9B of Applicant's International Application No.  
WO 99/49411. These illustrations indicate how each of the laser scanning subsystems  
45 within the tunnel scanning system contribute to produce the truly omnidirectional scanning  
performance attained by the tunnel scanner hereof.

50 Omni-Directional Holographic Laser Scanning Subsystem of the Present Invention

5 In the first illustrative embodiments shown in Figs. 1A through 1D, each of the  
unitary package identification and dimensioning systems employ triple-disc holographic  
scanning subsystem which, as shown in Fig. 4A1, comprises three laser scanning platforms  
10 installed within a scanner housing 140. Each laser scanning platform, shown in greater detail  
in Fig. 4A2 produces a 3-D laser scanning volume as shown in Fig. 9C to produce Penta 1  
Scanner. Each 3-D scanning volume contains an omnidirectional laser scanning pattern having  
four over-lapping focal zones which are formed by five laser scanning stations indicated as  
15 LS1, LS2, LS3, LS4 and LS5 in Fig. 4A1, arranged about a sixteen-facet holographic  
scanning disc 130. When combining a pair of such scanning platforms, a Penta 2 Scanner is  
produced that is capable of producing a double-sized scanning volume as shown in Fig. 9B.  
When combining three such scanning platforms, a Penta 3 Scanner is produced that is  
20 capable of producing a triple-sized scanning volume as shown in Fig. 9A. The scan pattern  
and scan speeds for such alternative embodiments of this omni-directional scanning  
subsystem of the present invention is shown in the specification table set forth in Fig. 8.

25 In general, each holographic laser scanning subsystem within these triple-disc scanners  
can be designed and constructed using the methods detailed in Applicant's copending  
Application Serial Nos. 08/949,915 filed October 14, 1997; 08/854,832 filed May 12, 1997;  
08/886,806 filed April 22, 1997; 08/726,522 filed October 7, 1996; and 08/573,949 filed  
30 December 18, 1995, each incorporated herein by reference. The design parameters for each  
sixteen facet holographic scanning disc shown in Fig. 4A4, and the supporting subsystem  
used therewith, are set forth in the Table of Fig. 4A5. The design parameters set forth in the  
table of Figs. 4A5 are defined in detail in the above-referenced US Patent Applications. The  
35 scanning pattern projected within the middle (third) focal plane of the holographic scanning  
subsystem by one of its scanning platforms is shown in Fig. 9A. The composite scanning  
pattern projected within the middle (third) focal/scanning plane of the triple-disc  
holographic scanning subsystem of Fig. 4A1 is shown in Fig. 9B.

40 As shown in the system diagram of Figs. 5A through 5C, each holographic laser  
scanning unit of the present invention 101 through 108 comprises a number of system  
components, many of which are realized on a control board 200, a plurality (e.g. six) analog  
45 signal processing boards 201A-201-F, and six digital signal processing boards 202A-202F.

As described in WIPO Patent Application Publication No. WO 98/22945, each  
holographic laser scanning unit 101 through 108 employed herein cyclically generates from  
50 its compact scanner housing 140 shown in Fig. 4A1, a complex three-dimensional laser  
scanning pattern within a well defined 3-D scanning volume which will be described in

5 greater detail hereinbelow. In the system of the first illustrative embodiment, each such laser scanning pattern is generated by a rotating holographic scanning disc 130, about which are mounted five (5) independent laser scanning stations, sometime referred to as laser scanning modules by Applicants. In Fig. 4A1, these laser scanning stations are indicated by LS1, LS2,  
10 LS3, LS4 and LS5.

In Fig. 4A2, one of the laser scanning stations in the holographic scanner is shown in greater detail. For illustration purposes, all subcomponents associated therewith shall be referenced with the character "A", whereas the subcomponents associated with the other four laser scanning stations shall be referenced using the characters B through E. As illustrated in Fig. 4A2, the beam folding mirror 142A associated with each laser scanning station, has a substantially planar reflective surface and is tangentially mounted adjacent to the  
15 holographic scanning disc 130. In the illustrative embodiment, beam folding mirror 142A is supported in this position relative to the housing base (i.e. the optical bench) 143 using support legs 115A and 145A and rear support bracket 146.

As shown in Fig. 4A2, the laser beam production module 147 associated with each laser scanning station is mounted on the optical bench (i.e. housing base plate 143), immediately beneath its associated beam folding mirror 142A. Depending on which embodiment of the laser beam production module is employed in the construction of the  
20 holographic laser scanner, the position of the laser beam production module may be different.

As shown in Figs. 4A1, five laser production modules 142A through 142E are mounted on base plate 143, substantially but not exactly symmetrically about the axis of rotation of the shaft of electric motor 150. During laser scanning operations, these laser beam production modules produce six independent laser beams which are directed through the edge of the holographic disc 130 at an angle of incidence  $A_i$ , which, owing to the symmetry of the laser scanning pattern of the illustrative embodiment, is the same for each laser scanning station (i.e.  $A_i=43.0$  degrees for all values of  $i$ ). The incident laser beams produced from the six laser beam production modules 142A through 142E extend along the five central reference planes, each extending normal to the plane of base plate 143 and arranged about 72 degrees apart from its adjacent neighboring central planes, as best illustrated in Fig. 4A2. While these central reference planes are not real (i.e. are merely virtual), they are useful in describing the geometrical structure of each laser scanning station  
35 in the holographic laser scanner of the present invention.



5 Publication No. WO 98/22945, careful analysis must be accorded to the light diffraction efficiency of the holographic facets on the scanning disc and to the polarization state(s) of collected and focused light rays being transmitted therethrough for detection. As will become more apparent hereinafter, the purpose of such light diffraction efficiency analysis ensures the realization of two important conditions, namely: (i) that substantially all of the incoming light rays reflected off an object (e.g. bar code symbol) and passing through the holographic facet (producing the corresponding instant scanning beam) are collected by the parabolic light collecting mirror; and (ii) that all of the light rays collected by the parabolic light collecting mirror are focused through the same holographic facet onto the photodetector associated with the station, with minimal loss associated with light diffraction and refractive scattering within the holographic facet. A detailed procedure is described in WIPO Patent Application Publication No. WO 98/22945 for designing and installing the parabolic light collecting mirror in order to satisfy the critical operating conditions above.

25 In the first illustrative embodiment of the package identification and processing system, the five digital scan data signal processing boards 202A through 202E are arranged in such a manner to receive and provide for processing the analog scan data signals produced from analog scan data signal processing boards 201A through 201E, respectively. As best shown in Figs. 4A2 and 4A3, each digital scan data signal processing board is mounted vertically behind its respective beam folding mirror. A control board (i.e. motherboard) 200 is also mounted upon the base plate 143 for processing signals produced from the digital scan data signal processing boards. A conventional power supply board 155 is also mounted upon the base plate 143, within one of its extreme corners. The function of the digital scan data signal processing boards, the central processing board, and the power supply board will be described in greater detail in connection with the functional system diagram of Figs. 5A through 5C. As shown, electrical cables are used to conduct electrical signals from each analog scan data signal processing board to its associated digital scan data signal processing board, and from each digital scan data signal processing board to the central processing board. Regulated power supply voltages are provided to the central signal processing board 200 by way of an electrical harness (not shown), for distribution to the various electrical and electro-optical devices requiring electrical power within the holographic laser scanner. In a conventional manner, electrical power from a standard 120 Volt, 60 HZ, power supply is provided to the power supply board by way of flexible electrical wiring (not shown). Symbol character data produced from the central processing board 200 is transmitted to the I/O

5 subsystem 800, over a serial data transmission cable connected to a serial output (i.e. standard RS232) communications jack installed through a wall in the scanner housing 140.

Many of the system components comprising each of the holographic laser scanning  
10 units 101 through 108 are realized on control board 200, the plurality (e.g. five) analog signal processing boards 201A through 201E, and the six digital signal processing boards 202A through 202E.

In the illustrative embodiment shown in Fig. 5A, each analog scan data signal  
15 processing board 201A through 201E has the following components mounted thereon: and photodetector 152A (through 152E) (e.g. a silicon photocell) for detection of analog scan data signals as described hereinabove; and analog signal processing circuit 235A (through 235E) for processing detected analog scan data signals.

In the illustrative embodiment, each photodetector 152A through 152E is realized as  
20 an opto-electronic device and each analog signal processing circuit 235A aboard the analog signal processing board (201A through 201E) is realized as an Application Specific Integrated Circuit (ASIC) chip. These chips are suitably mounted onto a small printed  
25 circuit (PC) board, along with electrical connectors which allow for interfacing with other boards within the scanner housing. With all of its components mounted thereon, each PC board is suitably fastened to the photodetector support frame 153, along its respective  
30 central reference frame, as shown in Fig. 4A2.

In a conventional manner, the optical scan data signal  $D_0$  focused onto the  
35 photodetector 152A during laser scanning operations is produced by light rays of a particular polarization state (e.g. S polarization state) associated with a diffracted laser beam being scanned across a light reflective surface (e.g. the bars and spaces of a bar code symbol) and scattering thereoff. Typically, the polarization state distribution of the scattered light rays is altered when the scanned surface exhibits diffuse reflective characteristics. Thereafter, a  
40 portion of the scattered light rays are reflected along the same outgoing light ray paths toward the holographic facet which produced the scanned laser beam. These reflected light rays are collected by the scanning facet and ultimately focused onto the photodetector of the associated light detection subsystem by its parabolic light reflecting mirror 149A disposed  
45 beneath the scanning disc 130. The function of each photodetector 152A is to detect variations in the amplitude (i.e. intensity) of optical scan data signal  $D_0$ , and to produce in response thereto an electrical analog scan data signal  $D_1$  which corresponds to such intensity  
50 variations. When a photodetector with suitable light sensitivity characteristics is used, the amplitude variations of electrical analog scan data signal  $D_1$  will linearly correspond to the

5 light reflection characteristics of the scanned surface (e.g. the scanned bar code symbol). The function of the analog signal processing circuitry is to band-pass filter and preamplify the electrical analog scan data signal  $D_1$ , in order to improve the SNR of the output signal.

10 In the illustrative embodiment of Fig. 4A1, each digital scan data signal processing board 202A through 202E is constructed in substantially the same manner. On each of these signal processing boards, the following devices are provided: an analog-to-digital (A/D) conversion circuit 238A through 238E, as taught in copending US Application Nos.  
15 09/243,078 filed February 2, 1999 and 09/241,930 filed February 2, 1999, realizable as a first application specific integrated circuit (ASIC) chip; a programmable digitizing circuit 239A through 239E realized as a second ASIC chip; a start-of-facet-sector pulse (SOFSP) generator 236A through 236E realizable as a programmable IC chip, for generating SOFSPs  
20 relative to home-offset pulses (HOP) generated by a HOP generation circuit 244 on the control board 200, shown in Fig. 5B, and received by the SOFSP generator; an EPROM 237A through 237E for storing parameters and information represented in the tables of Figs.  
25 10B, 10D, 10E1 and 10E2; and a programmed decode computer 240A through 240E realizable as a microprocessor and associated program and data storage memory and system buses, for carrying out symbol decoding operations and recovery of SOFSPs from the digitizer circuit 239A in a synchronous, real-time manner as will be described in greater  
30 detail hereinafter. In the illustrative embodiment, the ASIC chips, the microprocessor, its associated memory and systems buses are all mounted on a single printed circuit (PC) board, using suitable electrical connectors, in a manner well known in the art.

35 The function of the A/D conversion circuit 238A is to perform a thresholding function on the second-derivative zero-crossing signal in order to convert the electrical analog scan data signal  $D_1$  into a corresponding digital scan data signal  $D_2$  having first and second (i.e. binary) signal levels which correspond to the bars and spaces of the bar code symbol  
40 being scanned. In practice, the digital scan data signal  $D_2$  appears as a pulse-width modulated type signal as the first and second signal levels thereof vary in proportion to the width of bars and spaces in the scanned bar code symbol.

45 The function of the programmable digitizing circuit 239A of the present invention is two-fold: (1) to convert the digital scan data signal  $D_2$ , associated with each scanned bar code symbol, into a corresponding sequence of digital words (i.e. a sequence of digital count values)  $D_3$  representative of package identification (I.D.) data; and (2) to correlate time-  
50 based (or position-based) information about the facet sector on the scanning disc that generated the sequence digital count data (corresponding to a scanline or portion thereof)

5 that was used to read the decoded bar code symbol on the package scanned in the scanning  
tunnel subsystem 100. Notably, in the digital word sequence D<sub>3</sub>, each digital word  
represents the time length duration of first or second signal level in the corresponding digital  
10 scan data signal D<sub>2</sub>. Preferably, the digital count values are in a suitable digital format for  
use in carrying out various symbol decoding operations which, like the scanning pattern and  
volume of the present invention, will be determined primarily by the particular scanning  
application at hand. Reference is made to U.S. Patent No. 5,343,027 to Knowles,  
15 incorporated herein by reference, as it provides technical details regarding the design and  
construction of microelectronic digitizing circuits suitable for use in each holographic laser  
scanning subsystem 101 through 116 in the system of the present invention.

20 In bar code symbol scanning applications, the each programmed decode computer  
240A through 240E has two primary functions: (1) to receive each digital word sequence D<sub>3</sub>  
produced from its respective digitizing circuit 239A through 239E, and subject it to one or  
more bar code symbol decoding algorithms in order to determine which bar code symbol is  
25 indicated (i.e. represented) by the digital word sequence D<sub>3</sub>, originally derived from  
corresponding scan data signal D<sub>1</sub> detected by the photodetector associated with the decode  
computer; and (2A) to generate a specification for the laser scanning beam (or plane-sector)  
that was used to collect the scan data underlying the decode bar code symbol, or  
30 alternatively, (2B) to generate a specification of the holographic scanning facet sector or  
segment that produced the collected scan data from which each laser-scanned bar code  
symbol is read.

35 In accordance with general convention, the first function of the programmed decode  
computer 240A hereof is to receive each digital word sequence D<sub>3</sub> produced from the  
digitizing circuit 239A, and subject it to one or more pattern recognition algorithms (e.g.  
character recognition algorithms) in order to determine which pattern is indicated by the  
40 digital word sequence D<sub>3</sub>. In bar code symbol reading applications, in which scanned code  
symbols can be any one of a number of symbologies, a bar code symbol decoding algorithm  
with auto-discrimination capabilities can be used in a manner known in the art.

45 The second function of the programmed decode processor 240A through 240E is best  
described with reference to Figs. 11C and 11D. In the illustrative embodiment hereof, each  
programmed decode computer 240A through 240E generates a specification for the laser  
scanning beam (or plane-sector) in terms of the minimum and maximum facet angles  
50 delimited by the facet sector involved in the scanning the decoded bar code symbol. Such  
minimum and maximum facet angles are indicated in the last column of the table shown in

5 Fig. 11C. Alternatively, each programmed decode computer 240A through 240E could generate a specification of the holographic scanning facet sector or segment that produced the collected scan data from which each laser-scanned bar code symbol is read. In such a case, each programmed decode processor would generate for each decoded bar code symbol, 10 the following items of information: the identification number of the laser scanning subsystem that produced the underlying scan data from which the bar code symbol was read; the identification number of the laser scanning station that produced the underlying scan data from which the bar code symbol was read; the facet number of the scanning facet on the scanning disc that produced the underlying scan data from which the bar code symbol was read; and the facet sector number of the scanning facet on the scanning disc that produced the underlying scan data from which the bar code symbol was read. Such information items 20 could be generated using tables similar to those set forth in Figs. 11C1 through 11C3, except that instead of reading out minimum and maximum facet angles (as provided in the rightmost column thereof), the facet sector (or segment) number could be read out, and assembled with the other items of information providing the specification of how the laser scanning beam in issue was generated from the holographic laser scanning subsystem. In either case, such information will enable the data management computer system 900 to compute a vector-based geometrical model of the laser scanning beam used to scan the read bar code symbol represented by the coordinated symbol character data.

As will be described in greater detail hereinafter, the geometrical model of the laser beam is produced in real-time aboard the data management computer system 900 using "3-D ray-tracing techniques" which trace the laser scanning beam from (1) its point of original on the holographic scanning disc, (2) to its point of reflection off the corresponding beam folding mirror, and (3) towards the focal point of the laser scanning beam determined by the focal length of the scanning facet involved in the production of the laser scanning beam. 40 From the computed vector-based geometrical model of the laser scanning beam, the location of the decoded bar code symbol (i.e. when it was scanned by the laser scanning beam being geometrically modeled) can be specified (i.e. computed) in real-time relative to a local coordinate reference system symbolically embedded within the laser scanning subsystem.

As shown in Fig. 5B, the control board 200 comprises a number of components mounted on a small PC board, namely: a programmed microprocessor 242 with a system bus and associated program and data storage memory, for controlling the system operation of the holographic laser scanner and performing other auxiliary functions; first, second, third, forth and fifth serial data channels 243A through 243E, for receiving serial data input from the 55

programmable decode computers 240A through 240E; an input/output (I/O) interface circuit 248 for interfacing with and transmitting symbol character data and other information to the I/O subsystem 800, and ultimately to the data management computer system 900; home pulse detector 245 realizable as the electronic circuit shown in Fig. 5C, for detecting the home pulse generated when the laser beam 250 from VLD 253 (in home pulse marking sensing module 251 shown in Fig. 5C) is directed through home-pulse gap 260 (between Facets Nos. 6 and 7) and sensed by photodetector 253; and a home-offset-pulse (HOP) generator 244 realized as an ASIC chip, for generating a set of five home-offset pulses (HOPs) in response to the detection of each home pulse by circuit 245. In the illustrative embodiment, each serial data channel 243A through 243E is realized as an RS232 port, although it is understood that other structures may be used to realize the function performed thereby. The programmed control computer 242 also produces motor control signals, and laser control signals during system operation. These control signals are received as input by a power supply circuit 252 realized on the power supply PC board. Other input signals to the power supply circuit 252 include a 900 Volt, 60 Hz line voltage signal from a standard power distribution circuit. On the basis of the received input signals, the power supply circuit produces as output, (1) laser source enable signals to drive VLDs 253A through 253E, respectively, and (2) a motor enable signal in order to drive the scanning disc motor 150 coupled to holographic scanning disc 130.

Method Of Determining Laser Beam Position In Laser Scanning Subsystems Hereof Under Constant Scanning Motor Speed Conditions

In Figs. 10 through 11D, a first method is shown for (i) determining the position of the laser scanning beam produced by either the laser scanning subsystems shown in Fig. 4A1 and/or 4A2 when scanning motor speed is constant, and (ii) synchronously encoding facet section information with digital count data generated by the digitizer circuit on each decode board of such subsystems. In general, this method involves optically encoding the "home pulse mark/gap" along the edge of the holographic scanning disc, and upon detecting the same, generating home offset pulses (HOPs) which are used to automatically generate the start of each facet pulse (SOFPs), and the SOFPs in turn are used to automatically generate the start-of-facet-sector pulses (SOFSPs) aboard each decode board. The details of this process will be described hereinbelow.

5 Referring now to Figs. 10 through 11D, it is noted that each home offset pulse  
produced from HOP generating circuit 244 is provided to the SOFSP generator 236A  
through 236F on the decode processing board. When the HOP pulse is received at the  
10 SOFSP generator 236A through 236F on a particular decode processing board, the home  
pulse gap on the scanning disc 130 is starting to pass through the laser beam directed  
therethrough at the scanning station associated with the decode signal processing board. As  
15 shown in Figs. 10 through 11D, timing information stored in the tables shown in these  
figures is used by the SOFSP generator 236A to generate a set of SOFSPs in response to the  
received HOP pulse during each revolution of the scanning disc. This enables a digital  
number count (referenced from the HOP) to be generated and correlated along with the  
20 digital data counts produced within the digitizer circuit 239A in a synchronous manner. As  
shown in Fig. 10A, each SOFSP generator 236A through 236C1 comprises: a clock 260 for  
producing clock pulses (e.g. having a pulse duration of about 4 microseconds); a SOFP  
generation module 261 for generating SOFPs using the table of Fig. 10B in accordance with  
25 the process depicted in Fig. 10C; a SOFSP generation module 262 for generating SOFSPs  
using the table of Fig. 10D and production rules set forth in Figs. 10E1 and 10E2, in  
accordance with the process depicted in Fig. 10F; and a control module 263 for controlling  
the SOFP generator 261 and the SOFSP generator 262, and resetting the clock 260 upon  
30 each detection of a new HOP from the HOP generator on the control board 200 associated  
with the holographic scanning unit.

As shown in Fig. 11, the digitizer circuit 239A of the present invention comprises a  
35 number of subcomponents. In particular, a scan data input circuit 322 is provided for  
receiving digital scan data signal  $D_2$ . A clock input 312 is provided from an external fixed  
frequency source 313, e.g. a 40 MHz crystal, or another external clock 15 to produce a pulse  
train. The output of the clock input circuit 312 is provided to the clock divider circuitry  
40 314. That circuit 314 includes dividers for successively dividing the frequency of the clock  
pulses by a factor of two to produce a plurality of clock frequencies, as will be described in  
detail later. This plurality of clock signals is provided to a clock multiplexer 136. As shown  
45 in Fig. 11, the 40 MHz clock input signal is also provided directly to the clock multiplexer  
316. The clock multiplexer 136 selects the desired output frequencies for the device based  
upon control signals received from clock control circuitry in the programmable processor  
240A and in associated circuitry. The output of the clock multiplexer 316 comprises an S  
50 clock signal which provides the basic timing for the digitizer circuit 239A, as well as the  
input to digital counters. The processing of the input (bar code) scan data  $D_2$  is provided

5 from signal processor 238A. The scanner input circuit 322 provides output signals which represent the detected bar code signal to be processed and are provided to the transition and sign detecting circuit 324. That circuit detects the transition from a bar to a space or from a space to a bar from the input signals provided thereto, and also determines whether the  
10 symbol occurring before the transition is a bar or a space. Thus, the transition and sign detector 324 provides a signal bearing the "sign: information (referred to as the "SIGN" signal) which is provided to multiplexer 342, and thus a primary first-in, first-out (FIFO) memory which serves as the input of programmable processor 240A. The transition and sign circuit 324 also provides a signal to the sequencing means 328 to commence operation of the sequencing circuit 328. The sequencing circuit 328 sequences the digitizer circuit through a predetermined number of steps which begin at the occurrence of each symbol transition and which will be described in detail later. Sequencing circuit 328 provides a FIFO write signal to the FIFO input of primary FIFO 340 and the auxiliary FIFO 341, at the proper time to enable it to accept data thereinto. The sequencing circuit 328 provides input signals to  
25 digitizing counting circuit 330 so that the starting and stopping of the counters, occurring with the detection of each transition, is properly sequenced. The counting circuit 330 also receives an input signal from the clock multiplexer 316 (S Clock). This signal runs the counters at the selected rate when they are enabled by the sequencing means 328. The clock multiplexer 316, the sequencer circuit 328 and the counting circuit 330 each supply signals to the interface circuit 333 which enables it to properly pass the digitized count data to the primary and auxiliary FIFOs 340 and 341, via multiplexer 342, as shown in Figs. 11 and  
30 11A. The clock multiplexer 316 is arranged to provide two banks of available frequencies for the device to use, namely, an upper and a lower bank. The selection of frequencies from the upper bank or the lower bank is determined by a frequency bank switching circuit 362. The frequency bank switching circuit 362 also provides an input to an array reset 38 which provides a signal to reset the clock divider 314 on command. The clock divider circuitry 314 also generates a TEST reset signal by inverting the array reset signal. The TEST reset signal resets the remainder of the circuit 239A. The command which initiates this reset condition is normally generated by a testing device (not shown) connected to device 239A and used to  
40 test it upon its fabrication.

As shown in Figs. 11 and 11B, digital count data or a string of zeros (representative of correlated SOFP data or count values from the HOP) are written into the primary FIFO using multiplexer 342 and write enable signals generated by the sequencing circuit 238. The SOFP marker (i.e. string of zeros) is written over the data in the primary FIFO 340 whenever  
50



5 the SOFP count data is presented to the digitizer circuit. Also, digital count data or a string  
of zeros (representative of correlated SOFSP data or SFS count values from the HOP) are  
written into the auxiliary FIFO 341 using multiplexer 342 and write enable signals generated  
10 by the sequencing circuit 238. The SOFSP marker (i.e. string of zeros) is written over the  
data in the auxiliary FIFO 341 whenever the SOFP count data is presented to the digitizer  
circuit. With such a data encoding scheme, the decoder 240A is allowed to decode process  
the scan count data in the FIFOs, as well as determine which facet sector produced the laser  
15 scanning beam. The later function is carried out using the tables set forth in Figs. 11B1  
through 11C and the method described in the flow chart of Fig. 11D. As shown in Fig. 11A,  
the output of the 240A is a scan beam data element comprising the package ID data, the  
scanner number (SN), the laser scanning station number (SSN), facet number (FN) and  
20 minimum and maximum facet angles subtending the facet sector involved in generating the  
laser beam used to read the decoded bar code symbol representative of the package ID data.  
Additional details concerning the design and construction of digitizer circuit (239A) can be  
found in Applicant's US Patent No. 5,343,027 incorporated herein by reference in its  
25 entirety.

30 Laser Position Determination In Holographic Laser Scanners Under Varying Scanning Motor  
Speed Conditions

In Figs. 12A through 14B, a novel system and method is illustrated for (i) accurately  
determining the position of the laser scanning beam produced by either the laser scanning  
35 subsystems shown in Fig. 4A1 and/or 4A2 independent of whether or not the scanning motor  
speed can be maintained constant, and (ii) synchronously encoding facet section information  
with digital count data generated by the digitizer circuit on each decode board of such  
subsystems. In this embodiment of the present invention, a holographic scanning disc  
40 having a home pulse mark or gap 260 (260) as described hereinabove can be used to generate  
the required laser scanning pattern. Also, as shown in Fig. 4B1, each holographic scanning  
disc is provided with a home pulse sensing module 251 (251') and home pulse detection  
45 circuit 245 (245') as described in detail hereinabove. For purposes of illustration, this  
subsystem and method will be described below with reference to the laser scanning  
subsystem of Fig. 4A1, although the same remarks apply equally to the holographic scanning  
subsystem of Fig. 4B1, as well as the polygonal scanning subsystem of Fig. 4C1.  
50

5 As illustrated in Fig. 12A, each time the home pulse mark or gap on the scanning disc 130 passes the home pulse sensing module 251, a home pulse (HP) is automatically generated from the home pulse detection circuit 245. Each time a home pulse is generated from the home pulse detection circuit 245, a set of home offset pulses (HOPs) is sequentially  
10 produced from HOP generation circuit 244' in accordance with the process depicted in Fig. 14A. The number of HOPs produced in response to each detected HP is equal to the number of laser scanning stations (i.e. scanning modules), N, arranged about the laser scanning disc. Each generated HOP is provided to the SOFSP generator (236A' through 236F') on the decode processing board (202A' through 202F') associated with the HOP.  
15 When the HOP pulse is received at the SOFSP generator on its respective decode signal processing board, the home pulse mark or gap on the scanning disc 130 is then starting to pass through the laser beam directed therethrough at the laser scanning station associated with the decode signal processing board. During each revolution of the scanning disc, the SOFSP generation module 261' within each SOFSP generation circuit 236A' through 236F' generates a set of start of facet pulses (SOFPs) relative to the HOP, and also a set of start of facet sector pulses (SOFSPs) relative to each SOFSP. This enables a SOFP and a SOFSP (referenced from the HOP) to be generated by each SOFSP generation circuit 236A' through 236F' and provided to the digitizer circuit 239A through 239F so that the SOFP and SOFSP data can be correlated with the digital data counts produced within the digitizer circuits in a synchronous manner. Within the decode processor, SOFP and SOFSP data can be  
20 translated into laser beam position data expressed in terms of the minimum and maximum angles that delimit the facet sector producing the scan data from which the bar code symbol was decoded.

25 In the illustrative embodiment, the HOP generation circuit 244' is implemented using an 87C51 microcontroller. The microcontroller uses two inputs: the home-pulse detected signal from the home pulse detection signal 245" connected to an interrupt pin of the 87C51; and a "motor-stable" signal from the scanning motor controller. The microcontroller has as many outputs as there are laser scanning stations (i.e. scanning modules) in each laser scanning subsystem. Each output pin is dedicated to sending HOPs to a particular laser  
30 scanning station within the subsystem.

35 In general, each SOFSP generation circuit is realized as a programmed microprocessor. However, for purposes of understanding the SOFSP generation circuit, it will be helpful to schematically represent it as comprising a number of subcomponents, as shown in Fig. 12B. As shown therein, each SOFSP generator 236A" through 236C1"

comprises: a clock 260" for producing clock pulses (e.g. having a pulse duration of about 4 microseconds); a SOFP generation module 261" for generating SOFPs in accordance with the process depicted in Fig. 14B; a SOFSP generation module 262" for generating SOFSPs in accordance with the process depicted in Fig. 14B; and a control module 263" for controlling the SOFP generator 261" and the SOFSP generator 262", and resetting the clock 260" upon each detection of a new HOP from the HOP generator 244" on the control board 200" associated with the holographic scanning unit.

In the illustrative embodiment, the SOFP/SOFSP generation circuit 236A" (through 236F") has been implemented using an programmed 87C52 microcontroller mounted on each decoding board associated with a particular scanning station. The HOP for the corresponding scanning station is received on an interrupt pin of the microcontroller. The microcontroller outputs three signals to the decode processor 240A (through 240F): (i) SOFPs; (ii) SOFSPs; and (iii) a signal processor adjustment signal which constitutes a level high (or low ) when the facet that passes the scanning station's laser is a facet on a near (or far) focal plane.

The operation of the HOP generation circuit 244" and the SOFSP generation circuit 236A" (through 236F') will now be described within reference to the flow charts set forth in Fig. 14A and 14B. In these flow charts described below, the following list of symbols are used:

- $t_i$  = timer value at start of home-pulse for the  $i^{\text{th}}$  rotation of the disc;
- $T_i$  = time-period of the  $(i-1)^{\text{th}}$  rotation of the disc;
- $x^{Hj}$  = angular value of the position of the laser of the  $j^{\text{th}}$  scanning station (i.e. scanning module) of the system, relative to the previous scanning station (home-pulse laser for scanning station 1);
- $x^{Fj}$  = angular width of the  $j^{\text{th}}$  facet of the disc;
- $x^{Fjm}$  = angular width of the  $m^{\text{th}}$  sector (i.e. segment) of the  $j^{\text{th}}$  facet of the disc;
- $t_i^{Hj}$  = time elapsed between the  $j^{\text{th}}$  HOP and the  $(j-1)^{\text{th}}$  HOP of the  $i^{\text{th}}$  rotation of the disc;
- $t_i^{Fj}$  = time elapsed between the Start of Facet Pulse (SOFP) of facet  $j$  and facet  $j-1$  of the  $i^{\text{th}}$  rotation of the disc;
- $t_i^{Fjm}$  = time elapsed between the Start of Facet Segment Pulse (SOFSP) of sector  $m$  and sector  $m-1$  of facet  $j$  of the  $i^{\text{th}}$  rotation of the disc;
- $t_i^n$  = time at which the  $n^{\text{th}}$  HOP/SOFP of the  $i^{\text{th}}$  rotation of the disc is outputted; and
- $t_i^{pn}$  = time at which the  $p^{\text{th}}$  SOFSP of the  $n^{\text{th}}$  facet of the  $i^{\text{th}}$  rotation of the disc is outputted.

5 As illustrated in Fig. 12A, each time the home pulse mark or gap on the scanning disc  
130 passes the home pulse sensing module 251, a home pulse (HP) is automatically  
generated from the home pulse detection circuit 245. Each time a home pulse is generated  
10 from the home pulse detection circuit 245, a set of home offset pulses (HOPs) is sequentially  
produced from HOP generation circuit 244' in accordance with the process depicted in Fig.  
14A. The number of HOPs produced in response to each detected HP is equal to the  
number of laser scanning stations (i.e. scanning modules), N, arranged about the laser  
15 scanning disc. Each generated HOP is provided to the SOFSP generator (236A' through  
236F') on the decode processing board (202A' through 202F') associated with the HOP.  
When the HOP pulse is received at the SOFSP generator on its respective decode signal  
processing board, the home pulse mark or gap on the scanning disc 130 is then starting to  
20 pass through the laser beam directed therethrough at the laser scanning station associated  
with the decode signal processing board. During each revolution of the scanning disc, the  
SOFSP generation module 261' within each SOFSP generation circuit 236A' through 236F'  
generates a set of start of facet pulses (SOFPs) relative to the HOP, and also a set of start of  
25 facet sector pulses (SOFSPs) relative to each SOFP. This enables a SOFP and a SOFSP  
(referenced from the HOP) to be generated by each SOFSP generation circuit 236A' through  
236F' and provided to the digitizer circuit 239A through 239F so that the SOFP and SOFSP  
data can be correlated with the digital data counts produced within the digitizer circuits in a  
30 synchronous manner. Within the decode processor, SOFP and SOFSP data can be  
translated into laser beam position data expressed in terms of the minimum and maximum  
angles that delimit the facet sector producing the scan data from which the bar code symbol  
was decoded.

35 In the illustrative embodiment, the HOP generation circuit 244' is implemented using  
an 87C51 microcontroller. The microcontroller uses two inputs: the home-pulse detected  
signal from the home pulse detection signal 245" connected to an interrupt pin of the  
87C51; and a "motor-stable" signal from the scanning motor controller. The microcontroller  
40 has as many outputs as there are laser scanning stations (i.e. scanning modules) in each laser  
scanning subsystem. Each output pin is dedicated to sending HOPs to a particular laser  
scanning station within the subsystem.

45 In general, each SOFSP generation circuit is realized as a programmed  
microprocessor. However, for purposes of understanding the SOFSP generation circuit, it  
will be helpful to schematically represent it as comprising a number of subcomponents, as  
50 shown in Fig. 12B. As shown therein, each SOFSP generator 236A" through 236C1"

comprises: a clock 260" for producing clock pulses (e.g. having a pulse duration of about 4 microseconds); a SOFP generation module 261" for generating SOFPs in accordance with the process depicted in Fig. 14B; a SOFSP generation module 262" for generating SOFSPs in accordance with the process depicted in Fig. 14B; and a control module 263" for controlling the SOFP generator 261" and the SOFSP generator 262", and resetting the clock 260" upon each detection of a new HOP from the HOP generator 244" on the control board 200" associated with the holographic scanning unit.

In the illustrative embodiment, the SOFP/SOFSP generation circuit 236A" (through 236F") has been implemented using an programmed 87C52 microcontroller mounted on each decoding board associated with a particular scanning station. The HOP for the corresponding scanning station is received on an interrupt pin of the microcontroller. The microcontroller outputs three signals to the decode processor 240A (through 240F): (i) SOFPs; (ii) SOFSPs; and (iii) a signal processor adjustment signal which constitutes a level high (or low ) when the facet that passes the scanning station's laser is a facet on a near (or far) focal plane.

The operation of the HOP generation circuit 244" and the SOFSP generation circuit 236A" (through 236F") will now be described within reference to the flow charts set forth in Fig. 14A and 14B. In these flow charts described below, the following list of symbols are used:

- $t_i$  = timer value at start of home-pulse for the  $i^{\text{th}}$  rotation of the disc;
- $T_i$  = time-period of the  $(i-1)^{\text{th}}$  rotation of the disc;
- $x^{ij}$  = angular value of the position of the laser of the  $j^{\text{th}}$  scanning station (i.e. scanning module) of the system, relative to the previous scanning station (home-pulse laser for scanning station 1);
- $x^{ji}$  = angular width of the  $j^{\text{th}}$  facet of the disc;
- $x^{fjm}$  = angular width of the  $m^{\text{th}}$  sector (i.e. segment) of the  $j^{\text{th}}$  facet of the disc;
- $t_i^{ij}$  = time elapsed between the  $j^{\text{th}}$  HOP and the  $(j-1)^{\text{th}}$  HOP of the  $i^{\text{th}}$  rotation of the disc;
- $t_i^{fj}$  = time elapsed between the Start of Facet Pulse (SOFP) of facet  $j$  and facet  $j-1$  of the  $i^{\text{th}}$  rotation of the disc;
- $t_i^{fjm}$  = time elapsed between the Start of Facet Segment Pulse (SOFSP) of sector  $m$  and sector  $m-1$  of facet  $j$  of the  $i^{\text{th}}$  rotation of the disc;
- $t_i^n$  = time at which the  $n^{\text{th}}$  HOP/SOFP of the  $i^{\text{th}}$  rotation of the disc is outputted; and
- $t_i^{pn}$  = time at which the  $p^{\text{th}}$  SOFSP of the  $n^{\text{th}}$  facet of the  $i^{\text{th}}$  rotation of the disc is outputted.

Each time the "start of home-pulse mark" is detected, the home-pulse pickup circuit 251 described hereinabove automatically produces a negative going output pulse which is provided to the HOP generation circuit 244", as shown in Fig. 12A. The HOP generation circuit 244' uses this negative going output pulse to calculate the times at which the home-pulse mark reaches the different modules (i.e. laser scanning stations) and, in response to such calculated times, to automatically generate and provided HOPs to the SOFSP generation circuit 236A' (through 236F'). The calculation is based on the important assumption that the motor speed for the  $i^{\text{th}}$  rotation is very close to the motor speed for the  $(i-1)^{\text{th}}$  rotation.

As indicated at Block A in Fig. 14A, the process within the SOFSP generation circuit 236A" defines N as the number of laser scanning stations (i.e. scanning modules) in the holographic scanner, and  $x^{\text{th}}$  as the angular offset (i.e. position) of a laser scanning station from the home-pulse sensing module (i.e. pickup) 251. At Block B in Fig. 14A, the process involves initializing the time period or setting  $T_0 = 0$ . Then at Block C, the HOP generation circuit determines whether a home pulse (HP) has been detected at its input port. Until an HP is detected, the circuit remains at this control block. When an HP is detected, then at Block D the circuit starts the timer therewithin (i.e.  $t = t_0$ ). Then at Block E, the circuit determines whether another HP has been detected. As shown, the circuit remains at this control block until the next HP is detected. When the HP is detected, then at Block F the circuit samples the timer. The time-period of rotation of the scanning disc is calculated from two consecutive home-pulse detections as follows:

$T_i = t_i - t_{i-1}$ , where  $T_i$  is the time-period for the  $i^{\text{th}}$  rotation of the disc. Then at Block G, the circuit determines whether the time-period for the  $i^{\text{th}}$  rotation is "close" to that for the  $(i-1)^{\text{th}}$  rotation.

As indicated at Block G, a measure of "closeness" is defined as:  $|T_i - T_{i-1}| < 45 \text{ uS}$ . If the time measure is not close, i.e.  $|T_i - T_{i-1}| > 45 \text{ uS}$ , then if the time-period of rotation for the  $i^{\text{th}}$  and  $(i-1)^{\text{th}}$  rotation does not satisfy,  $|T_i - T_{i-1}| < 45 \text{ uS}$ , the circuit checks at Block H to determine whether the scanning disc has rotated at least a 100 times (experimental value). If the scanning disc has not rotated at least a 100 times, then the circuit proceeds to Block E and waits for the next home-pulse and carries out the control process over again. Since it is critical to the performance of the scanner that every scan be associated with laser position information, the time-period has to be accurately predicted when for some reason the time-period between two consecutive rotations of the disc differs by more than 45 uS

(experimental value). The assumption here is that the scanning motor speed cannot change suddenly between two rotations.

If the scanning disc has rotated at least a 100 times (i.e.  $i > 100$ ), then the circuit proceeds to Block I and estimates the time-period of the current rotation  $T_i$  by using the time period data for the past  $n$  rotations of the disc, given by the following expression:

$$T_i = \sum_{k=i-1-n}^{i-1} a_k * T_k$$

Where the  $n$  coefficients  $a_{i-1-n}$  through  $a_{i-1}$  can be calculated beforehand (and offline) as follows:

If  $T_i$  is the actual time-period of rotation  $i$  of the disc, at least squares estimate of the time-period for rotation  $i+1$  can be calculated by minimizing the function,

$$E = \sum_{k=i-1-n}^{i-1} (T_k^* - \sum_{j=1}^n a_j T_{k-j}^*)^2 \text{ with respect to each } a_j (j = 1, \dots, n)$$

The final expressions for the minimized "optimal" values of the coefficients  $a_j$  are given by :

$$a_j = ( \sum_k T_k^* T_{k-j}^* ) / ( \sum_k T_{k-j}^* )$$

A good value for  $n$  with reasonable computational complexity was found to be 5.

As indicated at Block J, the circuit then calculates the "inter-HOPS"  $t_i^{Hj}$  which is the time taken by the home-pulse mark to reach to scanning station  $j$  from scanning station  $j-1$ . This measure is given by the expression :  $t_i^{Hj} = x^{Hj} * T_i$ ,  $j = 1, \dots, N$

Finally, at Block K, the circuit sends (i.e. transmits) HOPs to the SOFSP generation circuit of each laser scanning station (for the  $i$ th rotation) at each instant of time given by the expression:  $t_i^k = \sum_{j=1}^k t_i^{Hj}$ ,  $k = 1, \dots, N$

Thereafter, the control process returns to Block E as indicated in Fig. 14A. If at Block G, the time measure is "close" (i.e.  $|T_i - T_{i-1}| < 45 \mu S$ ), then the circuit proceeds directly to control Block J.

As described above, the HOP generation circuit 244" on the control board 200 accurately predicts when the home-pulse mark on the scanning disc arrives at each scanning station and sends out a negative going pulse to each laser scanning station. In contrast, the SOFP generation circuit 236A" uses the HOPs to calculate when each facet/facet sector passes the laser module in each laser scanning station. Notably, an important assumption here is that the scanning motor speed does not vary too much between two consecutive rotations of the scanning disc.

As indicated at Block A in Fig. 14A, the process within the SOFSP generation circuit 236A defines the following parameters:  $N$  as the number of laser scanning stations (i.e. scanning modules) in the holographic scanner;  $M$  as the number of sectors (or "Ticks") on each facet of the scanning disc;  $x^{Fj}$  as the angular width of facet  $j$  of the scanning disc; and  $x^{Fjm}$  as the angular width of sector  $m$  of facet  $j$  of the scanning disc.

At Block B in Fig. 14A, the process involves initializing the time period or setting  $T_0 = 0$ . Then at Block C, the SOFSP generation circuit determines whether a home pulse (HP) has been detected at its input port. Until an HP is detected, the SOFSP generation circuit remains at this control block. When an HP is detected, then at Block D the SOFSP generation circuit starts the timer therewithin (i.e.  $t = t_0$ ). Then at Block E, the SOFSP generation circuit determines whether another HP has been detected. As shown, the SOFSP generation circuit remains at this control block until the next HP is detected. When the HP is detected, then at Block F the SOFSP generation circuit samples the timer contained therewithin. The time-period of rotation of the scanning disc is calculated from two consecutive home-pulse detections as follows:  $T_i = t_i - t_{i-1}$ , where  $T_i$  is the time-period for the  $i^{\text{th}}$  rotation of the disc. Then at Block G, the SOFSP generation circuit determines whether the time-period for the  $i^{\text{th}}$  rotation is "close" to that for the  $(i-1)^{\text{th}}$  rotation.

As indicated at Block G, a measure of "closeness" is defined as:  $|T_i - T_{i-1}| < 45 \text{ uS}$ . If the time measure is not close, then the time-period of rotation for the  $i^{\text{th}}$  and  $(i-1)^{\text{th}}$  rotation does not satisfy,  $|T_i - T_{i-1}| < 45 \text{ uS}$ , and the SOFSP generation circuit returns to Block E, as indicated in Fig. 14B and looks for another HOP, without sending any SOFP/SOFSP.

If the time-period of rotation for the  $i^{\text{th}}$  and  $(i-1)^{\text{th}}$  rotation does satisfy,  $|T_i - T_{i-1}| < 45 \text{ uS}$ , then the SOFSP generation circuit proceeds to Block H where the time between start of facet pulses (SOFSPs) for facets  $j-1$  and  $j$  of the disc for the  $i^{\text{th}}$  rotation is calculated using the expression:

$$t_i^{Fj} = x^{Fj} * T_i, \quad j = 1, \dots, N$$

Then at Block I, the SOFSP generation circuit calculates the "inter-HOPs" which are defined as the time between start of sector pulses  $m-1$  and  $m$  for facet  $j$ , corresponding to rotation  $i$  of the disc. Such inter-HOPs are calculated by the expression:

$$t_i^{Fjm} = t_i^{Fj} / M, \quad m = 1, \dots, M$$

At Block J, the SOFP generation circuit sends out (to the decode processor) SOFPs at the times given by the expression:



$$t_i^n = \prod_{j=1}^n t_i^{Fj}, n=1, \dots, N$$

Likewise, the SOFSP generation circuit sends out (to the decode processor) SOFSPs at the times given by the expression:

$$t_i^{pn} = \prod_{j=1}^n \prod_{m=1}^p t_i^{Fjm}, n=1, \dots, N; p=1, \dots, M$$

Using the transmitted SOFPs/SOFSPs, correlated with bar code scan data at the digitizer circuit 239A (through 239F), the decode circuit 240A (240F) can then specify the laser beam position in terms of the minimum and maximum angle of the scanning facet sector that generated the bar code scan data that has been correlated therewith using the dual-FIFO digitizer circuit 240 of the present invention. Typically, calculations for each SOFP / SOFSP will be performed in a pipelined fashion since the total computation time far exceeds the time between any two SOFSPs. The laser beam position determination subsystem illustrated in Figs. 12A through 14B and described hereinabove, has been built and tested in holographic tunnel scanning system employing holographic laser scanners having 5 laser scanning stations, scanning discs with 16 facets and 20 facet sectors/segments, and scanning motor speed variations within the range of between 4800 rpm and 5800 rpm. The system can handle small scanning-motor accelerations (and decelerations).

Notably, the above-described subsystem has limitations on the number of sectors (or segments) that each facet can be resolved into along the scanning disc. While a large number of sectors per facet will guarantee more accurate laser beam position information, the subsystem is limited by the computational time required to output each SOFSP. Average computational times for outputting SOFPs is found to be about 20  $\mu$ S, and about 12  $\mu$ S for SOFSPs.

#### The LADAR-Based Package Imaging, Detecting and Dimensioning Subsystem of The Present Invention

As explained above, the subsystems 400, 500, 600 and 700 depicted in the system diagram of Fig. 3 are realized using the technological platform provided by the LADAR-based imaging, detecting and dimensioning subsystem 3301 which is integrated within the unitary package identification and dimensioning system of the present invention. In Fig. 15, the subcomponents of subsystem 3301 are schematically represented. In principle, this subsystem 3301 is a Laser-Doppler based Imaging and Profiling device and is therefore often

(experimental value). The assumption here is that the scanning motor speed cannot change suddenly between two rotations.

If the scanning disc has rotated at least a 100 times (i.e.  $i > 100$ ), then the circuit proceeds to Block I and estimates the time-period of the current rotation  $T_i$  by using the time period data for the past  $n$  rotations of the disc, given by the following expression:

$$T_i = \sum_{k=i-1-n}^{i-1} a_k * T_k$$

Where the  $n$  coefficients  $a_{i-1-n}$  through  $a_{i-1}$  can be calculated beforehand (and offline) as follows:

If  $T_i$  is the actual time-period of rotation  $i$  of the disc, at least squares estimate of the time-period for rotation  $i+1$  can be calculated by minimizing the function,

$$E = \sum_{k=i-1-n}^{i-1} (T_k^* - \sum_{j=1}^n a_j T_{k-j}^*)^2 \text{ with respect to each } a_j (j = 1, \dots, n)$$

The final expressions for the minimized "optimal" values of the coefficients  $a_j$  are given by :

$$a_j = (T_k^* T_{k-j}^*) / (\sum_k T_{k-j}^*)$$

A good value for  $n$  with reasonable computational complexity was found to be 5.

As indicated at Block J, the circuit then calculates the "inter-HOPS"  $t_i^{Hj}$  which is the time taken by the home-pulse mark to reach to scanning station  $j$  from scanning station  $j-1$ . This measure is given by the expression :  $t_i^{Hj} = x^{Hj} * T_p$ ,  $j = 1, \dots, N$

Finally, at Block K, the circuit sends (i.e. transmits) HOPs to the SOFSP generation circuit of each laser scanning station (for the  $i$ th rotation) at each instant of time given by

$$\text{the expression: } t_i^k = \sum_{j=1}^k t_i^{Hj}, k=1, \dots, N$$

Thereafter, the control process returns to Block E as indicated in Fig. 14A. If at Block G, the time measure is "close" (i.e.  $|T_i - T_{i-1}| < 45 \text{ uS}$ ), then the circuit proceeds directly to control Block J.

As described above, the HOP generation circuit 244" on the control board 200 accurately predicts when the home-pulse mark on the scanning disc arrives at each scanning station and sends out a negative going pulse to each laser scanning station. In contrast, the SOFP generation circuit 236A" uses the HOPs to calculate when each facet/facet sector passes the laser module in each laser scanning station. Notably, an important assumption here is that the scanning motor speed does not vary too much between two consecutive rotations of the scanning disc.

As indicated at Block A in Fig. 14A, the process within the SOFSP generation circuit 236A'' defines the following parameters: N as the number of laser scanning stations (i.e. scanning modules) in the holographic scanner; M as the number of sectors (or "Ticks") on each facet of the scanning disc;  $x^{Fj}$  as the angular width of facet  $j$  of the scanning disc; and  $x^{Fjm}$  as the angular width of sector  $m$  of facet  $j$  of the scanning disc.

At Block B in Fig. 14A, the process involves initializing the time period or setting  $T_0 = 0$ . Then at Block C, the SOFSP generation circuit determines whether a home pulse (HP) has been detected at its input port. Until an HP is detected, the SOFSP generation circuit remains at this control block. When an HP is detected, then at Block D the SOFSP generation circuit starts the timer therewithin (i.e.  $t = t_0$ ). Then at Block E, the SOFSP generation circuit determines whether another HP has been detected. As shown, the SOFSP generation circuit remains at this control block until the next HP is detected. When the HP is detected, then at Block F the SOFSP generation circuit samples the timer contained therewithin. The time-period of rotation of the scanning disc is calculated from two consecutive home-pulse detections as follows:  $T_i = t_i - t_{i-1}$ , where  $T_i$  is the time-period for the  $i^{\text{th}}$  rotation of the disc. Then at Block G, the SOFSP generation circuit determines whether the time-period for the  $i^{\text{th}}$  rotation is "close" to that for the  $(i-1)^{\text{th}}$  rotation.

As indicated at Block G, a measure of "closeness" is defined as:  $|T_i - T_{i-1}| < 45 \text{ uS}$ . If the time measure is not close, then the time-period of rotation for the  $i^{\text{th}}$  and  $(i-1)^{\text{th}}$  rotation does not satisfy,  $|T_i - T_{i-1}| < 45 \text{ uS}$ , and the SOFSP generation circuit returns to Block E, as indicated in Fig. 14B and looks for another HOP, without sending any SOFP/SOFSP. If the time-period of rotation for the  $i^{\text{th}}$  and  $(i-1)^{\text{th}}$  rotation does satisfy,  $|T_i - T_{i-1}| < 45 \text{ uS}$ , then the SOFSP generation circuit proceeds to Block H where the time between start of facet pulses (SOFSPs) for facets  $j-1$  and  $j$  of the disc for the  $i^{\text{th}}$  rotation is calculated using the expression:

$$t_i^{Fj} = x^{Fj} * T_i, \quad j = 1, \dots, N$$

Then at Block I, the SOFSP generation circuit calculates the "inter-HOPs" which are defined as the time between start of sector pulses  $m-1$  and  $m$  for facet  $j$ , corresponding to rotation  $i$  of the disc. Such inter-HOPs are calculated by the expression:

$$t_i^{Fjm} = t_i^{Fj} / M, \quad m = 1, \dots, M$$

At Block J, the SOFP generation circuit sends out (to the decode processor) SOFPs at the times given by the expression:

$$t_i^n = \sum_{j=1}^n t_i^{Fj}, n=1, \dots, N$$

Likewise, the SOFSP generation circuit sends out (to the decode processor) SOFSPs at the times given by the expression:

$$t_i^{pn} = \sum_{j=1}^n \sum_{m=1}^p t_i^{Fjm}, n=1, \dots, N; p=1, \dots, M$$

Using the transmitted SOFPs/SOFSPs, correlated with bar code scan data at the digitizer circuit 239A (through 239F), the decode circuit 240A (240F) can then specify the laser beam position in terms of the minimum and maximum angle of the scanning facet sector that generated the bar code scan data that has been correlated therewith using the dual-FIFO digitizer circuit 240 of the present invention. Typically, calculations for each SOFP / SOFSP will be performed in a pipelined fashion since the total computation time far exceeds the time between any two SOFSPs. The laser beam position determination subsystem illustrated in Figs. 12A through 14B and described hereinabove, has been built and tested in holographic tunnel scanning system employing holographic laser scanners having 5 laser scanning stations, scanning discs with 16 facets and 20 facet sectors/segments, and scanning motor speed variations within the range of between 4800 rpm and 5800 rpm. The system can handle small scanning-motor accelerations (and decelerations).

Notably, the above-described subsystem has limitations on the number of sectors (or segments) that each facet can be resolved into along the scanning disc. While a large number of sectors per facet will guarantee more accurate laser beam position information, the subsystem is limited by the computational time required to output each SOFSP. Average computational times for outputting SOFPs is found to be about 20  $\mu$ S, and about 12  $\mu$ S for SOFSPs.

#### The LADAR-Based Package Imaging, Detecting and Dimensioning Subsystem of The Present Invention

As explained above, the subsystems 400, 500, 600 and 700 depicted in the system diagram of Fig. 3 are realized using the technological platform provided by the LADAR-based imaging, detecting and dimensioning subsystem 3301 which is integrated within the unitary package identification and dimensioning system of the present invention. In Fig. 15, the subcomponents of subsystem 3301 are schematically represented. In principle, this subsystem 3301 is a Laser-Doppler based Imaging and Profiling device and is therefore often

5 referred to by Applicants as an LDIP device. In general, there are various way in which this  
subsystem 3301 can be realized. In Fig. 15A, subsystem 3301 is realized so that its multi-  
wavelength dual AM laser scanning/ranging beams are projected from its subhousing (i.e.  
10 optical bench) in such a way that the AM laser beams intersect the surface of the conveyor  
belt at different angles as the beams scan across the width of the conveyor belt. In Fig. 15B,  
the subsystem is realized using a polygonal-based laser beam scanning mechanism, whereas  
in Fig. 15G, the subsystem is realized using a holographic-scanning disc based scanning  
15 mechanism. In Fig. 15F, the subsystem 3301 is realized so that the multiwavelength laser  
beam source is stationary, whereas the field-of-view of the avalance photodiode is scanned  
across the width of the conveyor belt (or other region of space) by a rotating polygon  
mechanism.

20 In Fig. 16, subsystem 3301 is realized so that its multi-wavelength dual AM laser  
scanning/ranging beams are projected from its subhousing (i.e. optical bench) in such a way  
that the AM laser beams intersect the surface of the conveyor belt in a substantially normal  
(i.e. perpendicular) manner as the beams scan across the width of the conveyor belt. This  
25 technique employed cylindrical-type lens elements shown in Figs. 16D and 16E in order to  
collimate the direction of the dual scanning/ranging beams and thus avoid the effects of  
shadowing which can be created by tall packages and other objects moving along the  
conveyor belt. In Fig. 16A, this improved subsystem design 3301 is shown realized using  
30 holographic scanning mechanism, whereas in Fig. 16C it is shown realized using a polygonal-  
type reflective scanning mechanism, as shown in Fig. 15B.

35 Having provided a brief overview on the LADAR-based imaging, detecting and  
dimensioning subsystem of the present invention, it is appropriate at this juncture to now  
describe its structure and functions in greater detail, and thereafter, detail the various  
illustrative embodiments of this subsystem, within the context of the system within which it  
40 is integrated.

As shown in Fig. 15, the LADAR-based imaging, detecting and dimensioning  
subsystem 3301 comprises an integration of subsystems and components, namely: a first  
45 multi-wavelength dual laser scanning/ranging beam generator 2000A for scanning packages  
and other objects over the visible portion of their reflection characteristics; and : a second  
multi-wavelength dual laser scanning/ranging beam generator \_\_ for scanning packages and  
other objects over the IR portion of their reflection characteristics; an image processing  
50 computer 3356 for enabling the package height, width and length profiling subsystem 600  
and the package velocity measurement subsystem 400 shown in Fig. 3); a start-of-object

5 sensing cycle (SOSC) or start-of-scan (SOS) pulse photodetector 335 disposed inside the  
system housing, at the corner of the window, for automatically detecting an extreme portion  
of one of the AM scanning/ranging beams (as it sweeps through the scanning window, and  
10 pulse generator 335A for receiving a signal from photodetector 335 and generating a SOSC  
(or SOS) pulse signal for referencing purposes within the PITT indication subsystem 500; an  
optional 1D/2S bar code symbol reading system comprising subcomponents 3357A, 3357,  
3358 and 3359) for reading 1D and 2D code symbols when the subsystem 3301 is used in a  
15 stand-alone application independent of the unitary package identification and dimensioning  
system of the present invention; and a programmable controller (e.g. microcomputer) 2001  
for controlling the operation of such subsystem components within the context of the greater  
system structure in which subsystem 3301 is integrated.

20 In general, the structure and function of the first and multi-wavelength dual laser  
scanning/ranging beam generators 2000A and 2000B are substantially the same, and  
comprise a number of subcomponents, namely: at least one visible laser diode VLD 3340A  
25 for producing a low power visible laser beam 3341A (for generator 2000A) and a low power  
IR laser beam 3341B (for generator 2000B); an amplitude modulation (AM) circuit 3342 for  
modulating the amplitude of the visible laser beam produced from the VLD at a frequency  $f_0$   
(e.g. 75Mhz) with up to 7.5 milliWatts of optical power; an opto-mechanical (e.g.  
30 polygonal-reflective or holographic-diffractive) scanning mechanism, an electro-optical  
scanning mechanism or an acousto-optical scanning mechanism 3343 for sweeping the  
modulated laser beam across a conveyor belt or like transport structure; optical detector (e.g.  
35 an avalanche-type photodetector) 3344, a part of a larger photodetection module 3315A, for  
converting received optical signal 3341A' into an electrical signal 3341A'' (or signal 3341B'  
into electrical signal 3341B''); an amplifier and filter circuit 3345 for isolating the  $f_0$  signal  
component and amplifying it; a limiting amplifier 3345A for maintaining a stable signal level;  
40 a phase detector 3346 for mixing the reference signal component  $f_0$  from the AM circuit  
3342 and the received signal component  $f_0$  reflected from the packages and producing a  
resulting signal which is equal to a DC voltage proportional to the Cosine of the phase  
difference between the reference and the reflected  $f_0$  signals; an amplifier circuit 3346A for  
45 amplifying the phase difference signal; a received signal strength indicator (RSSI) 3354 for  
producing a voltage proportional to a LOG of the signal reflected from the package (i.e.  
target) which can be used to provide additional information; a reflectance level threshold  
50 analog multiplexer 3355 for rejecting information from the weak signals; and a 12 bit A/D

5 converter 3348 for converting the DC voltage signal from the RSSI circuit 3354 into a linear  
array of M raw range data elements  $\{R_{n,i}\}$ , taken along  $m=M$  (e.g.  $M=256$ ) equally spaced  
10 sampling positions (i.e. locations) along the width of the conveyor belt, where each range  
data element  $R_{n,i}$  provides a measure of the distance from the VLD 3340 to a point on the  
surface of the scanned package moving therealong. Notably, in the illustrative  
15 embodiments, both the first and second scanning/ranging beam generators 2000A and  
2000B share a common optical scanning mechanism which ensures that each laser beam is  
spatially-separated, as shown in Fig. 32, to enable the package velocity computation method  
within the context of the package velocity measurement subsystem 400. In other  
20 embodiments of the present invention, each wavelength-dependent scanning/ranging beam  
generator can employ its own scanning mechanism, regardless of whether it is physical  
implementation.

In the illustrative embodiments of the present invention, the image processing  
25 computer 3356 is realized as a high performance range/image data microcomputer provided  
with sufficient program memory, and data storage memory for buffering arrays of range data,  
and derivatives thereof, during the 2-D image data processing methods of the present  
invention. Also, the optional bar code symbol reading module 2003 can be realized by  
30 integrating the following components in a manner well known in the art: a preamplifier  
circuit 3357 for preamplifying the analog AM signal from the avalanche photodetector 3344  
(functioning in this module as an analog scan data signal); an A/D conversion circuit 3357  
for converting analog scan data signals into lines of digital scan data samples; scan line data  
35 buffer array 3358 for buffering lines of digital scan data samples; and a programmed 2-D  
image data processor/decoder (e.g. microcomputer) 3359 for decode processing the bar code  
symbol represented in the structure of digital image in the scan line data buffer array, and  
producing symbol character data representative of the bar code symbol.

40 As shown in Figs. 1A through 1D and 15A, subsystem 3301A further comprises: a  
first beam reflecting mirror 2005 mounted on one edge of the conveyor belt by a first  
mounting bracket 2006; a second beam reflecting mirror 2007 mounted on the opposite edge  
45 of the conveyor belt by a second mounting bracket 2008; a relatively narrow (e.g. 1/8" to  
1/4") strip of light absorptive (e.g. black) material 2011 applied to the upper portion of the  
second beam reflecting mirror 207 for absorbing the intensity of the retro-reflective object  
sensing laser beam directed across the width of the conveyor belt; and a beam attenuating  
50 plate 2009 (having about a 70-90% attenuation coefficient) mounted on bracket 2006

5 between the first and second beam reflecting mirrors 2005 and 2007 for attenuating the reflected AM scanning/ranging beam (functioning as an object sensing beam within a relatively short prespecified time-window) to prevent over saturation at the avalanche photodetector 3344.

10 As illustrated in Fig. 15A, function of the above described optical components in relation to the LADAR-based subsystem 3301 is to generate over a short time window, from one of the multi-wavelength AM laser scanning/ranging beams, an object sensing beam produced by the scanning/ranging beam reflecting off the first beam reflecting mirror at time t1, passing through attenuation plate and travelling across the width the conveyor belt towards the second beam reflecting mirror 2007, reflecting thereof and travelling back through the attenuation plate 2009, reflecting off the first reflecting off the first reflecting mirror 2005 and received by the avalanche photodetector 3344 to produce an analog signal with a time-varying intensity characteristics that are eventually processed to detect the presence or absence of a package along the conveyor belt, between the first and second beam reflecting mirrors 2005 and 2007 over each instance of the prespecified time-window, allocated at the end of each laser scanning/ranging beam cycle carried out within the LADAR-based imaging, detecting and dimensioning subsystem of the present invention. As such optical elements can be thought of as components of the PITT indication subsystem 500, as well as the package height/width/length dimensioning subsystem 600, as package presence/absence information detected using these optical elements can be used to confirm and/or validate package dimensional data prior to transmission to the data element queuing, handling and processing subsystem 1000 which will be described in greater detail hereinafter.

35 As shown in Fig. 15B, the LADAR-based imaging, detecting and dimensioning subsystem of the first illustrative embodiment 3301A comprises: an optical bench 3360 for supporting optical and electro-optical components of the subsystem; a system housing 3361 having a light transmission aperture 3361A for enclosing the optical bench and components mounted thereon in a shock-resistant manner; an eight-sided two-angle polygonal scanning element 3343B, detailed in Figs. 15E1 through 15E4, rotatably supported on the shaft of an electrical motor 3343C mounted on the optical bench 3360, as shown, for scanning the amplitude modulated (AM) multi-wavelength (composite) laser beam 3341 produced by the VLDs 3340A and IR laser diode ILD 3340B, along a single scanning plane projected through the light transmission aperture 3361 formed in the subsystem housing; a light collecting mirror 3362 mounted on the optical bench for collecting reflected laser light off a scanned object (e.g. package) 3364 and focusing the same to a focal point 3365A on the surface of a



5 stationary planar mirror 3365 mounted on the optical bench; a small beam directing mirror  
3366 mounted on the center of the light collecting mirror 3362 for directing the laser beams  
from VLD 3340 and ILD 3340B to the rotating polygonal scanning element 3343B, to  
10 produce and project a pair of angularly-separated multi-wavelength AM laser  
scanning/ranging beams (Nos. 1 and 2) out the light transmission aperture 3361A towards  
the conveyor belt surface along which packages are being transported in a high-speed  
manner. The ambient light is filtered by a "front window" over the light transmission  
15 aperture 3361A. The avalanche-type photodetector (APD) 3344 detects reflected laser light  
returning onto the surface of the rotating polygon 3343B collected by the light collecting  
mirror 3362 and focused onto the stationary planar mirror 3365. The APD 3344, within  
APD module 3315A, converts light into electrical current signal having a frequency of  
20 75MHz signal and a phase delayed in relation to the reference signal. LOG amplifier 3345  
amplifies the signal significantly and at the same time keep it within certain amplitude range.  
The limiting amplifiers 3345 and 3346A ensure that a signal of the same amplitude is  
25 produced at the output of each stage regardless of how weak or how strong the reflected light  
signal is upon striking the APD 3344. This automatic control feature allows the LADAR-  
based system to "scan" surfaces of different light reflectance at different distances. Also, the  
LOG amplifier 3345 enables the subsystem to measure an absolute value of the amplitude of  
30 the received laser signal. This gives information about any pattern of different reflectance on  
the "target" (the labels, large letters, pictures, etc.). In addition, the LOG amplifier 3345  
enables setting a "threshold" for a signal level so that very weak or very strong reflections are  
attenuated.

35 All incoming laser return signals are processed in a synchronized manner using the  
SOS (i.e. SOSC) pulse produced by SOS pulse generating circuit 3353 and associated  
photodetector 3353A so as to produce lines of digital range data representative of the  
40 distance from the polygonal scanning element to sampled points along the scanned object  
3364. As will be described in greater detail hereinafter, the programmed 2-D digital image  
data processing computer 3356, realizable as a serial or parallel computing machine,  
45 preprocesses each row of raw range data collected during each scan of the amplitude-  
modulated laser beam, removes background information components, stores the object  
related data in an image buffer within image processing computer 3356, and extracts  
therefrom information regarding the dimensions (e.g. area, height, length, width and vertices)  
50 of the object (e.g. package), after performing the necessary geometrical transformations. In  
connection therewith, it is noted at this juncture that the collection and processing of raw

5 "range" data is carried out with respect to the polar coordinate reference system  $R_{LDIPolar}$ ,  
symbolically embedded within the LADAR-based imaging and profiling subsystem, and that  
geometric transformations, schematically depicted in Fig. 15D, are used to translate (i.e.  
10 convert) such information to a Cartesian type coordinate system  $R_{LDIPCartesian}$  embedded within  
the LADAR-based subsystem, and then from this coordinate system to a global coordinate  
reference system  $R_{global}$  symbolically embedded within the package identification and  
measuring system, as illustrated in Figs. 42, 46 and 47.

15 Simultaneously, the analog electrical signal input to the logarithmic amplifier 3345 is  
also processed along a separate data processing channel, as shown in Fig. 15A, in order to  
recover amplitude modulation information from received lines of scan image data, for  
subsequent buffering in the 2-D image array 3358 and decoded-processing by 2-D image  
20 data processor/decoder 3359. The output from the 2-D image data processor/decoder 3359  
is symbol character data representative of the bar code symbol embodied within the structure  
of the received scan data image.

25 The signal and data processing circuitry employed in the subsystem 3301 can be  
realized on one or more PC boards 3368. Various types of I/O interfaces 3369 can be used to  
interface the subsystem 3301 to I/O subsystem 3900, as well as other devices with which it  
may be used in any particular application under consideration.

30 In Fig. 15F, there is shown an alternative embodiment of the dual-beam/multi-  
wavelength LADAR-based imaging, detecting and dimensioning subsystem shown in  
Fig. 15B, wherein the laser scanning beams are projected through a holographic  
spatial filter 2020 causing a plurality of modulated laser beams to be simultaneously  
35 projected over the conveyor belt surface at different angular spacings to achieve a  
desired degree of spatial sampling of the conveyor belt surface and objects transported  
therealong, while a rotating eight-sided polygon scanning element 3343B is used to  
move (i.e. scan) the field of view (FOV) of the avalanche photodiode 3344 across the  
40 illuminated conveyor belt. As each mirror on the polygon turns, it collects the  
reflected light from the particular spot of the laser beam, then directs that light into a  
collector mirror 2250 which focuses it into a photo receiver 3344. A motor control  
circuit 2251 controls the polygon motor so that it rotates the polygon with constant  
45 speed. The laser beam is amplitude modulated at a high frequency (for the  
convenience we can use a radio IF of 10.7 MHz). The receiver circuit 3344 comprises  
50 of a series of the amplifiers, filters and limiters that amplify only the modulated signal  
collected from the scan field, as described above in connection with Fig. 15. As a

5 result of a signal processing, a pulse sequence is generated where the time between the pulses corresponds to the angle  $B$  between the light spots in the scan field.

10 By having a single source of light and by splitting the laser beams into multiple sets of angularly spaced AM scanning/ranging beams having a fixed angle ( $\alpha$ ) between adjacent beams, produces a line of evenly spaced apart light spots in the scanning field to illuminate any object that might pass therethrough. The number of the light spots determines the resolution of the measurements (the more the light spots the better the resolution). The distance between the light spots ( $a$ ) on a package in the scanning field increases as the distance from the device to the package increases, following the tangent function of the distance measure ( $h$ ). The angle  $\beta$  between the light spots, as shown in Fig. 15F, is related to the time between the pulses in the digital signal received by the receiver circuit 3344. Therefore knowing the angle  $a$  between the light spots on the object, an on-board computer can be used to calculate the distance  $h$  from the device to the object. The equation for the calculation of the distance from the device to the object:

$$a = h \tan \alpha \quad a = d \tan \beta \quad d = h + c$$

$$h = (c \tan \beta) / (\tan \alpha - \tan \beta)$$

25 Using a holographic element it is possible to create a matrix of the light spots (instead of one line) in the scan field. Then with another mirror moving in a direction perpendicular to the "scan," the device can collect the information from an area instead of just a single line.

30 In Fig. 15G, there is shown a second illustrative embodiment of the dual-beam/multi-wavelength LADAR-based imaging, detecting and dimensioning subsystem of the present invention 3301B. Subsystem 3301B has a holographic scanning disc 3370, rotatably mounted on an optical bench within the subsystem housing, for generating a pair of amplitude modulated laser beams having multi-wavelengths (produced from a pair of laser beam production module) and projected along a pair of spaced-apart scanning planes (along multiple depths of focus) through a light transmission aperture 3373A formed in the subsystem housing. As shown, the subsystem further comprises: a parabolic light collecting mirror 3375 mounted  
45 beneath the holographic scanning disc 3370 for collecting reflected laser light off a scanned object (e.g. package) and focusing the same through a condenser-type lens 3376 onto an avalanche-type photodetector 3344 mounted below the scanning disc, and producing an electrical signal corresponding thereto; and signal processing circuitry as shown in Fig. 15 for processing the produced electrical signal and

5 generating raw digital range data representative of the distance from the holographic  
scanning element to sampled points along the scanned object (as well digital scan  
data representative of any bar code symbol the scanned surface of the object), and a  
10 programmed digital image data processor 3356 as shown in Fig. 15 for preprocessing  
the raw digital range data and removing background information components, and  
for processing the preprocessed range data so as to extract therefrom information  
15 regarding the dimensions (e.g. area, height, length, width and vertices) of the scanned  
object and produce data representative thereof as well as the velocity of the  
dimensioned package.

Many of the details regarding the construction of the LADAR-based imaging, detecting  
20 and dimensioning subsystem 3301B are taught in Applicants' copending Application Serial  
Nos. 08/949,915 filed October 14, 1997; 08/854,832 filed May 12, 1997; 08/886,806 filed  
April 22, 1997; 08/726,522 filed October 7, 1996; and 08/573,949 filed December 18,  
25 1995, and International Application No. WO \_\_\_\_\_ [108-059PCT], each incorporated  
herein by reference. Notably, the VLD 3340A and ILD 3340B can be realized as a laser  
beam production module having a multi-function-HOE (i.e. plate) embedded therewithin as  
taught in Applicants' copending Application Serial Nos. 08/949,915 filed October 14, 1997;  
30 08/854,832 filed May 12, 1997; 08/886,806 filed April 22, 1997; 08/726,522 filed October  
7, 1996; and 08/573,949 filed December 18, 1995, and International Application No. WO  
\_\_\_\_\_ [108-059PCT]. The function of these laser beam production modules is to produce  
35 a pair of focused amplitude-modulated (AM) laser beams having characteristic wavelengths  
in both the visible and IR bands of the electromagnetic spectrum so that the subsystem is  
capable of sensing the color characteristics of packages transported along the conveyor belt.  
It is understood, that more than two laser beam sources can be used to cover the broadest  
40 possible extent of the spectrum over which packages reflect incident AM laser  
scanning/ranging beams in accordance with the principles of the present invention. As  
shown in Figs. 15G and H, the laser beam scanning mechanism 3341 of Fig. 15 is realized as  
a holographic laser scanning disc 3370 rotatably mounted on the shaft of an electric motor  
45 3371 mounted on the optical bench 3372 within the subsystem housing 3373. As shown  
in Fig. 15J1 and J2, the holographic scanning disc of the first illustrative embodiment has  
eight facets each having the same focal distance to essentially produce a collimated laser  
beam over the working distance of the subsystem. The design parameters for each facet on  
50 the holographic scanning disc of Fig. 15G are set forth in the Table of Figs. 15J1 and 15J2.

5 The design parameters set forth in this table are defined in detail in the above-referenced US Patent Applications. Notably, four of the scanning facets have one diffraction angle to generate the first AM laser scanning/ranging beam while the remaining four facets have a  
10 different diffraction angle to produce the second AM laser scanning/ranging beam, to generate a pair of angularly separate scanning beams required by the method of package velocity computation employed in the system and depicted in Figs. 31 through 32B.

15 As shown in Figs. 15G and 15H, a post-disk beam folding mirror 3374 is mounted above the scanning disc 3370, at a tilt angle which causes the reflected laser beams to travel above scanning disk, substantially parallel to the disk surface, and exit through the light transmission window 3373A at the opposite end of the subsystem scanner housing. This arrangement allows for a more compact scanner design and increases the optical path length  
20 inside the scanner box. In turn, this reduces the optical throw of the scanner (i.e. the minimum distance from the scanner window at which the scanner can read). By selecting the proper angle of diffraction off the scanning disc, it is possible, with this holographic laser scanner design, to minimize, and virtually eliminate, scan line bow so that the scan lines are  
25 all essentially straight lines. This has a number of important advantages in a variety of bar code scanning applications (e.g. when reading high density bar codes with high aspect ratios, when reading bar codes with relatively larger errors in orientation, and when reading bar code symbols at greater label velocities).

30 Alternatively, a second beam folding mirror (not shown) can be mounted within the scanner housing, just prior to the scanner window 3373A, in order to direct the AM laser beam out a new window formed on the top surface of the scanner, rather than on the side surface thereof, as shown in Fig. 15E2. This modification to the scanner design would allow  
35 the AM laser beam to exit the scanner housing perpendicular to the scanning disk, rather than parallel thereto. This modification would minimize the distance that the scanner extends out into the area next to a conveyor system, or it could reduce the required overhead space in an overhead scanning application. Other beam folding options are also envisioned.

40 As shown in Fig. 15E2, the LADAR-based subsystem 3301B also includes a parabolic light collecting mirror 3375 mounted beneath the scanning disc, upon the optical bench 3372. The function of the light collection mirror 3375 is to (1) collect laser light that reflects off the scanned package 3364 and transmitted back through the scanning facet that generated the respective laser beam, and (2) focus the same to a focal point 3376 on a  
45 photodetector 3344 mounted on bracket 3377, above the scanning disc and light collection mirror 3375 as shown in Fig. 15E2. Preferably, bracket 3377 can be used to support post  
50

5 disc beam folding mirror 3374, as well. Also, photodetector 3344 can be realized as an avalanche-type photodetector as described above and shown in greater detail in Fig. 15C. The function of photodetector 3344 is to detect collected laser (return) light focused to focal  
10 point 3376, and produce an electrical signal corresponding thereto. The signal and data processing shown in Fig. 15A can be realized on one or more PC boards 3378 and can be provided with the necessary I/O interfaces required in any particular application.

15 The holographic LADAR-based subsystem 3301B operates in a manner similar to subsystem 3301A, described above. For each sweep of the AM laser beam, raw digital range data is generated and processed to produce package dimension type data described above. Also, the programmed digital image data processor 3359, or an analog equivalent of the  
20 the analog signal from photodetector 3344 to produce symbol character data representative of bar code symbols on the scanned packages.

25 Notably, the holographic LADAR-based imaging and dimensioning systems 3301B described above, without modification, can also function as compact single-line, laser scanner having very large depth of field, reduced optical throw, and laser scan lines with minimum curvature (i.e. minimum bow). The single line scanner uses a holographic disk to create a  
30 sequence of scan lines that all leave the disk at the same diffraction angle but have different focal lengths. In the first embodiment of this scanner described above, the resulting linear scan pattern has six focal planes, providing a very large depth of field for high density bar codes. As mentioned above, six different holographic facets for the six focal planes are  
35 spatially repeated three times around the disk for a total of eighteen facets on the scanning disk. This replication of the basic scan pattern results in a high speed scanner.

40 In Fig. 16, there is shown another embodiment of the unitary package identification and dimensioning system of Fig. 2A, wherein any of the dual-beam/multi-wavelength LADAR-based subsystems shown in Figs. 15 through 15J can be modified so that the pair of laser scanning beams generated from its polygon scanning mechanism are projected  
45 perpendicular to the surface of the conveyor belt along the entire length thereof, using a beam folding mirror 2030 and a cylindrical-type focusing element (i.e. cylindrical holographic optical element HOE) 2231 as shown in Figs. 16A, through 16C. Such optical  
50 elements 2230 and 2231 have been used to transform the subsystem 3301A of Fig. 15B into the subsystem 3301C shown in Fig. 16C, whereas these same optical components have been used to transform the subsystem 3301B of Fig. 15G into the subsystem 3301D shown in Fig. 16A. Either of these alternative subsystems, the cylindrical lens can be realized using a

5 volume or reflective type holographic optical element (HOE) shown in Fig. 16D, or a  
refractive type lens element as shown in Fig. 16E. In either case, the beam folding mirror  
2230 and cylindrical lens element 2231 each extend along substantially the entire length of  
10 the scanning window of the subsystem, in order to simultaneously project pairs of multi-  
wavelength laser scanning beams substantially perpendicular to the surface of the conveyor  
belt. The holographic and refractive cylindrical elements 2231 can be made as either a  
single unitary optical element, or can be made in pieces which are then assembled together as  
15 a unitary structure. Essentially, the cylindrical element 2231 emulates a narrow cross-  
sectional section (slice) of a light collimating lens having an aperture which is substantially  
equal to the length of the width of the conveyor belt along which packages are conveyed.  
The width of the cross-sectional slice is dependent on the angular separation of the incident  
20 multi-wavelength AM laser scanning/ranging beams passed therethrough during scanning  
operations. Notably, the advantages associated with the holographic implementation of this  
optical element is that it will enable the construction of a lighter and more compact device.

25 The LADAR-based imaging and dimensioning devices 3501A and 3501B described  
above have numerous applications outside of automatic package identifications and  
measurement, described hereinabove. In general, each of these ultra-compact devices of the  
present invention has the following capacities: measuring box size and shape to a tolerance of  
30 +/- 2 to 3 mm, at 800 scans per second; locate positions of labels on transported boxes;  
operating from the top of a conveyor belt avoiding the need to make a break therein; identify  
material present or not present in tilt trays, slots, etc.; confirming the shapes and sizes of  
objects in tunnel applications (e.g. also solves supermarket check out problem); counting  
35 people, animals, and other moving items moving through a portal; measuring shape and size  
of items; as well as measuring (or profiling) virtually anything in the three dimension space  
to a tolerance of about 2 mm or so, and generate an accurate coordinate map therefrom.

#### Two-Dimensional Range Data Processing Method of Present Invention

45 The primary function of the package height/length/width dimensioning subsystem  
600 is to compute the height, width, length, width and vertice coordinates of packages  
transported along the conveyor belt. This function is carried out using the enabling  
technology provided by the LADAR-based imaging, detecting and dimensioning subsystem  
50 3301 of the present invention, wherein its 2-D range data map processor (i.e. image  
processing compute) 3356 of Fig. 15 bears a great deal of the computational burden to carry

5 out such functions for subsystem 600. In practice, the primary function of processor 3356  
is to process rows of raw range data captured by the LADAR-based imaging, detecting and  
dimensioning subsystem 3301 so that package dimension (PD) data is extracted therefrom  
10 and data representative of the package dimension data is produced for use by other  
subsystems in the package identification and measuring system. In accordance with the  
principles of the present invention, this functionality is realized using a novel 2-D range data  
processing method which, as shown in Fig. 17, employs three stages of preprocessing  
15 operations on the captured rows of range data, indicated as (1) "Smoothing", (2) "Edge-  
detection", and (3) "Background Discrimination". Thereafter, the method employs one  
stage of "Data Extraction" operations for extracting package dimension data therefrom. As  
will be described in greater detail hereinafter, the data extraction stage employs (i) 2-D image  
20 contour tracing techniques to locate range data indices spatially corresponding to the corner  
points associated with the scanned object (e.g. package), and (ii) geometric transformations  
to compute the height, vertices and surface area of the scanned package.

25 However, the LADAR-based subsystem 3301 also carries the burden of implementing  
the package velocity measurement subsystem 400 as well as the PIIT indication subsystem  
500 and the predictive-type POOT indication subsystem 700. Also, the velocity  
measurement computation method of the present invention shown in Figs. 30 to 32B  
30 requires simultaneously capturing and processing two raw data streams obtained from a pair  
of angularly-separated AM laser scanning/ranging beams scanned along the width of the  
conveyor belt. Also, shown in the flow chart of Fig. 30, computation of package dimensions  
involves knowledge of the package's  
35 velocity, as indicated at Block CC in Fig. 30, so that the velocity computation algorithm  
shown in Fig. 31 is nested within the high-level data processing method of the present  
invention schematically depicted in Fig. 30.

40 Thus, in order to fully appreciate the data processing method used to compute  
package dimensions of the present invention, regardless of whether the package's velocity is  
determined by external sensors as taught in Applicant's International Application No.  
WO \_\_\_\_\_ [108-059PCT00] or is computed using the integrated dual-beam method  
45 depicted in Figs. 31 through 32B, Applicants will first describe how package dimensions are  
computed using the general method of the present of the present invention shown in Figs. 17  
through 29B, and thereafter using the integrated methods depicted in Figs. 30 through 32B.

50 As shown in Fig. 18, the steps involved in carrying out the preprocessing stages of  
data processing are indicated as Steps A1 through A5, described in Blocks A through E in



5 Figs. 20A through 20B, whereas the steps involved in carrying out the data extraction stage are indicated as Steps B1 through B14, described in Blocks F through S in Figs. 20B

10 As indicated at Block A in Fig. 20A, Step A1 involves capturing lines (rows) of digitized "range data" produced by the laser scanning/ranging unit, during each sweep of the amplitude modulated laser beam across the width of the conveyor belt. Each row of raw range data has a predetermined number of range value samples (e.g.  $M=256$ ) taken during each scan across the conveyor belt. Each such range data sample represents the magnitude of the position vector pointing to the corresponding sample point on the scanned package, referenced with respect to a polar-type coordinate system symbolically embedded within the LADAR-based imaging, detecting and dimensioning subsystem. As indicated at Block A, Step A1 also involves loading a predetermined number of rows of raw range data samples  
20 into a FIFO-type Preprocessing Data Buffer (e.g.  $M=9$ ) for buffering 9 rows of range data at any instant of time.

25 Fig. 21A sets forth a graphical image of a 2-D range data map synthesized from a large number of rows of raw range data captured from the LADAR-based imaging, detecting and dimensioning subsystem of the present invention, in accordance with the data capturing operations specified in Step A1 of the flow chart set forth in Figs. 20A through 20D.

30 As schematically illustrated in block form in Fig. 18, "CONTROL STRUCTURES" are required and used by the LADAR-based subsystem of the present invention. General *control structures* as "divide and conquer," backtracking, dynamic programming etc. support the implementation of the specific algorithms used in the LADAR-based subsystem hereof. These control structures consist of sequences or loops connecting abstract program modules. Window functions or operator kernels employed herein have to be embedded into a general control structure (pixel program loop), organizing the move of placed windows  $F(p)$  into all (non-border) point positions  $p$  of a given image according to a selected scan order, ensuring  
40 that the entire image domain is processed. Allowing small modifications, such a pixel program loop can be used for different local operators. For example, logically structured operators are characterized by data-dependent and/or location-dependent decisions. These decisions take place within the pixel program loop, and do not influence the general control structure of the program.

45 The use of predefined control structures allows often to describe the operators by giving only the operator kernel, which plays the role of a subroutine called at each pixel program loop. In general, the control structure of window operators allows the selection between different square windows of  $n \times n$  pixel  $s$  (odd  $n$ ), and between parallel or sequential  
50

5 processing. Special inputs made by the user at the beginning of the control structure, i.e. at program start, specify these selections.

10 With the input of a special parameter ( $PARSEQ = 0$  or  $= 1$ ) the user chooses parallel or sequential processing. If only parallel processing has to be implemented, then some program lines of the control structure can be eliminated. These rows have the label  $\otimes$  in the following control structures.

15 The structure requires the following data arrays:  
Ind(1...n): This array contains the indices of indirect row addressing. for example, the initial values are given by DATA ind (1, 2, 3, 4, 5), if  $n = 5$ .

20 Xind(1...a), and these values are used as arguments of the operator kernel (cp. Figure 3.5). For example, if  $n = 5$  then the following arrays are used:

DATA xind(1, 1, 0, -1, -1 -1, 0, 1, 2, 2, 2, 1, 0, -1, -2, -2, -2, -2, -2, -1, 0, 1, 2, 2, 0);  
DATA yind(0, 1, 1, 1, 0, -1, -1, -1, 0, 1, 2, 2, 2, 2, 1, 0, -1, -2, -2, -2, -2, -2, -1, 0)

25 The control structure uses the following parameters and image files:  
The window size  $n$  is assumed to be odd. The value  $k:: = (n - 1)/2$  follows after initializing  $n$ .

30  $\otimes$  The parameter  $PARSEQ$  is specified for selecting parallel ( $PARSEQ=0$ ) or sequential ( $PARSEQ = 1$ ) processing. For label  $\otimes$ , see above.

The original image  $f$  (or several input images in certain cases) and the resultant image  $h$  (in file *OUT*) have to be allocated as image files

35 Processing follows the scheme as given in Fig. 181. Afterwards all open image files must be closed. Many of the operator kernels can be inserted at the location "processing of picture window..." of this control structure in Fig. 181. If so then this control structure is mentioned in the operator pseudo-program at the beginning of point (4). In this way repeated listings of identical control structures are avoided.

40 While the control structure includes row-wise buffering, operations which are necessary for opening or allocating an image file, acquiring an image (e.g. 2-D range map) via the LADAR-based scanning mechanism described above, are not contained in this control structure. Details on "general control structures" are provided in the textbook  
45 "HANDBOOK OF IMAGE PROCESSING OPERATORS" (1996) by R. Klette and P. Zamperoni, published by John Wiley and Sons, incorporated herein by reference.

50 As indicated at Block B in Fig. 20A, Step A2 involves using, at each processing cycle and synchronized with the capture of each new row of raw range data, a 2-D (9x9) window function embedded into a general control structure (e.g. pixel program loop), to "smooth"

5 each line (or row) of raw range data buffered in the M=9 FIFO Data Buffer using Dilution and Erosion (D/E) processes based on non-linear type min./max methods well known in the image processing art. The output from this non-linear operation is a single row of smooth range data of length M=256 which is input to a three row FIFO buffer, as shown in Fig. 18.  
10 Details on the operation of the D/E algorithm are provided in the textbook "HANDBOOK OF IMAGE PROCESSING OPERATORS" (1996) by R. Kletpe and P. Zamperoni, published by John Wiley and Sons, supra.

15 Fig. 21B sets forth a graphical image of a 2-D range data map after being processed by the data processing operations specified in Step A2 of the flow chart set forth in Figs. 20A through 20D.

20 As indicated at Block C in Fig. 20A, Step A3 involves using, at each processing cycle, a 2-D (3x3) convolution kernel based on the Sobel operator, and embedded into a general control structure (e.g. pixel program loop), to edge-detect each buffered row of smoothed range data of length M=256 which is input to a first one row (N=1) FIFO buffer as shown in Fig. 18. The output row of "edge-detected" range data represents the first spatial derivative of the buffered rows of range data along the n direction of the N=9 FIFO (corresponding to the first spatial derivative of the range data captured along the y direction of the conveyor belt). Details on the operation of the D/E algorithm are provided in the  
25 textbook "HANDBOOK OF IMAGE PROCESSING OPERATORS" (1996) by R. Kletpe and P. Zamperoni, supra.  
30

Fig. 21C sets forth a graphical image of a 2-D range data map after being processed by the data processing operations specified in Step A3 of the flow chart set forth in Figs. 20A through 20D;  
35

As indicated at Block D in Fig. 20A, Step A4 involves using, at each processing cycle, a 7-tap FIR-type digital filter (H1) to compute the first spatial derivative of the buffered row of edge-detected range data along the m direction of the N=9 FIFO (corresponding to the first spatial derivative of the range data captured along the x direction of the conveyor belt). The output of this operation is stored in a second one row (N=1) FIFO, as shown in Fig. 18.  
40

Fig. 21D sets forth a graphical image of a 2-D range data map synthesized from a large number of rows of background data removed from rows of raw range data by the data processing operations specified in Step A4 of the flow chart set forth in Figs. 20A through 20D;  
45

50 As indicated at Block E in Fig. 20B, Step A5 involves analyzing, at each processing cycle, the edge-detected derivative stored in the second one row FIFO in order to (1) find the

5 maximum value thereof, and then (2) compare the maximum derivative value to a  
predetermined threshold value. If any of the maximum first derivative values is larger than  
the predetermined threshold value, then the unloaded row of smoothed range data (from  
10 output port of the three row FIFO) is labeled as containing object data, and is loaded into  
the input port of the FIFO-type Object-related Data Buffer (ODB), also referred to as the "f"  
Buffer, for future use. Otherwise, the unloaded row of smoothed range data from the three  
row FIFO is labeled as containing background data and is loaded into the input port of the  
15 FIFO-type Background Data Buffer (BDB), and possibly also the ODB, for future use. This  
concludes the preprocessing operations performed on the input stream of range data from the  
conveyor belt. The subsequent steps form part of the Dimension Extraction stage of the  
image data processing method of the present invention.

20 As indicated at Blocks F through H in Fig. 20B, Steps B1 through B3 involves  
processing rows of smoothed range data in order to derive a 2-D first spatial derivative of the  
range data map so that 2-D contour tracing can be reliably performed on this spatial  
derivative data, and the indices m and n associated with corner points of the scanned  
25 package found. These data processing operations are further described in the algorithm set  
forth in Fig. 22.

30 As indicated at Block F in Fig. 20B, Step B1 involves computing, at each processing  
cycle and for each column position in the rows of background data in the BDB (referenced  
by index m), the "median value" based on the current rows of background data buffered  
therein. This computational operation is indicated in the first line of Block B in Fig. 22.

35 As indicated at Block G in Fig. 20B Step B2 involves subtracting, at each processing  
cycle and for each row of object-related data in the ODB, the precomputed median value  
(BG) from the corresponding range value ( $f=X$ ), so as to produce a difference value ( $X-BG$ )  
for each of the 256 column positions in corresponding row of object-related data being  
40 buffered in the ODB (also indicated as the "f buffer"), thereby producing a vertical first  
discrete derivative thereof (having a column length  $M=256$ ). This computational operation  
is indicated in the second line of Block B in Fig. 22.

45 As indicated at Block H in Fig. 20B, Step B3 involves smoothing, at each processing  
cycle, the computed vertical first discrete derivative. This can be achieved by convolving the  
same with a 5-tap FIR smoothing filter and truncating the resultant row of smoothed discrete  
derivative data to length  $M=256$ . Thereafter, the row of smoothed discrete derivative data is  
50 loaded into the "diff" (i.e. derivative) data buffer having  $m=256$  columns and  $n=10,000$  or  
more rows (as required by the collected range data map). The output row of discrete

5 derivative data contains object-related data only, and most background noise will be  
eliminated. This computational operation is indicated at Block C in Fig. 22. As shown  
therein, this operation is repeated for each row of smoothed range data until the condition  
10 set forth in Block D in Fig. 22 is satisfied. When this condition is satisfied, then the control  
structure underlying the data processing method hereof invokes the contour tracing  
algorithm set forth in Fig. 22, and described in greater detail hereinbelow.

15 Fig. 21E is a graphical image of a differentiated 2-D range data map from  
which background data, indicated in Fig. 21D, is subtracted during being processed  
by the data processing operations specified in Steps B1, B2 and B3 of the flow chart  
set forth in Figs. 20A through 20D.

20 As indicated at Block I in Fig. 20B, Step B4 involves performing, at each processing  
cycle, a 2-D image-based contour tracing operation on the discrete derivative data currently  
buffered in the diff data buffer in order to produce an array of m,n contour points in M-N  
Space (and corresponding to x,y contour points in X-Y Cartesian Space). The contour  
25 tracing algorithm set forth in Fig. 22 can be used to carry out this step of the data processing  
method hereof. The array of contour points m,n produced by the algorithm will correspond  
to the sides of the polygonal object embodied in the rows of object data currently buffered  
within the Object Data Buffer, and the output data set produced from this step of the  
30 method contains extraneous corner points which need to be removed from the produced  
array of contour points.

35 The program set forth in Fig. 22A traces contours of objects in a *bilevel image*. It stores  
the encoded contours (*Freeman code*) into a file. This program could also be extended to an  
image-to-image transformation, e.g. by computing geometrical features (convex hull,  
diameter etc.) for these contours and by visualizing these features. These possible extensions  
40 are not introduced here, because this would require the development of a composite program  
taking account of too many different geometrical features.

45 The program of Fig. 22A performs a complete *object search* in the input image (i.e. the  
diff. Of the 2-D range map). If there are several objects (i.e. 8-components), then the  
program finds all of them, and it computes the contour codes of all objects. The input image  
can also be a gray value image, as would the case in many package scanning environments.  
50 In this case, a global threshold discriminates between object points (gray value higher than  
the threshold) and background points (gray value lower or equal than the threshold.). The

5 resultant file of encoded contours allows an error-free reconstruction of the bilevel input image.

10 The contour encoding algorithm is a fundamental procedure. It is often used in the context of shape analysis, of geometrical feature extraction of data-reducing image code generation, or of geometrical transformations of bilevel images. Contour codes have the advantage that geometrical features of the object can be computed by means of numerical manipulations of the contour code chain, sometimes just based on simple syntax rules.

15 Algorithms on contour code chains are very time-efficient in general, and they do not need access to the image data. A contour code chain allows an exact reconstruction of the object.

20 The whole process of contour code determination consists of two phases: object finding, and contour tracing. The first phase searches the image line-by-line until a reliable initial point of the next object is found. The second phase traces the contour of this object, and stores the resultant code sequence into a file. Both phases alternate during the whole process until all objects are encoded.

25 Details on the operation of the contour tracing algorithm, set forth in Fig. 22A, provided in the textbook "HANDBOOK OF IMAGE PROCESSING OPERATORS" (1996) by R. Kletpe and P. Zamperoni, published by John Wiley and Sons, incorporated herein by reference.

30 As indicated at Blocks J through M in Fig. 20C, Steps B5 through B8 involves processing the m and n indices associated with the traced contours generated in Step B4, so as to find the m and n indices of those corner points which qualify as "break points" and thus possibly corresponding to the corners of the scanned package.

35

As indicated at Block J in Fig. 20C, Step B5 involves storing, at each processing cycle, the m,n indices (associated with the corner points of the traced contours) in the x-boundary and y-boundary buffers, respectively. Notably, the length of the X-boundary buffer is M=256, and the length of the y-boundary buffer is also M=256. This computational operation is indicated at Block A in Fig. 23.

40

45 As indicated at Block K in Fig. 20C, Step B6 involves detecting, at each processing cycle, the m indices associated with "corner points" in the traced contours. This step can be achieved by convolving the current discrete data set stored in the x-boundary buffer (of length M=256) with the 11-tap FIR filter (i.e. low-pass 1st differentiator) and storing the resultant discrete m indice data set in the x-temp-array. This computational operation is indicated at Block B in Fig. 23.

50

5 Fig. 23 is a flow chart describing the operations carried out during Step B6 and B7 of the flow chart set forth in Figs. 20A through 20D.

10 As indicated at Block L in Fig. 20C, Step B7 involves detecting, at each processing cycle, the n indices associated with the "corner points" in the traced contours. This step can be achieved by convolving the discrete data set stored in the y-boundary buffer (of length N) with the an 11-tap FIR digital filter (i.e. low-pass 1st differentiator) and then storing the resultant discrete n indice data set in the y-temp-array. This computational operation is indicated at Block B in Fig. 24.

15 Fig. 24 is a flow chart describing the operations carried out during Steps B6 and B7 of the flow chart set forth in Figs. 20A through 20D.

20 As indicated at Block M in Fig. 20C, Step B8 involves finding, at each processing cycle, the "break points" among the detected corner indices stored in the x-temp-array and y-temp-arrays, and buffering the m and n indices associated with these break points in the breaks buffer. In essence, the algorithm set forth in Fig. 24 first determines which m indices in the x\_temp\_array undergoes a "first derivative" zero-crossing, and then determines which n indices in the y\_temp\_array undergoes a "first derivative" zero-crossing. Any point along the traced contour having an m or n index (i.e. coordinate) with a zero value, indicating a range peak at the corresponding indices, is deemed to be a "break" point along the traced contour. A break point can be thought of as a location where the traced contour experiences a spatial discontinuity, corresponding to a possible corner point associated with the scanned package.

35 As indicated at Block N in Fig. 20D, Step B9 involves performing, at each processing cycle, linear curve fitting between every two consecutive break points stored in the breaks buffer, in order to produce a single line representation thereof. Each line constitutes a side of a polygon representation of the object embodied within the structure of the range data map buffered in the ODB (i.e. "f data buffer"). For every two consecutive sides of the polygon representation, the intersection point is determined, and deemed a corner vertex of the polygon.

45 Figs. 26A through 26D set forth polygonal contours of the type traced during Step B9, having corner points which are reduced using the algorithm set forth in Fig. 25.

50 As indicated at Block O in Fig. 20D, Step B10 involves at each processing cycle, reducing the corner vertices once all corner coordinates (vertices) have been obtained. This step can be carried out using the Sharp/Dull Angle Elimination algorithm and close corner elimination operators, set forth in Fig. 25. Typically, the final result is a set of m and n

5 indices corresponding to the x and y coordinates associated with the four corners coordinates of a cubic box, which set is thereafter stored in a corner coordinate (or indice) array.

10 Fig. 25 is a flow chart describing the operations carried out during Step B10 of the flow chart set forth in Figs. 20A through 20D.). This algorithm eliminate wrong corners produced by the LADAR-based subsystem hereof. Vertex deletion is done in 2 stages where vertexes with too sharp an angle are deleted in the first. The following, second, stage deletes dull vertexes.

15 Fig. 15D1 is an example of an output representing a rectangle with three erroneous corners caused by noise. The goal of the algorithm is to combine lines '2', '3', '4', and '5' into a single line, therefore vertexes 'c', 'd', and 'e' have to be eliminated. The first stage searches vertexes 'a' to 'g' and eliminates any sharp ones. Vertex 'd' is deleted in the first round and the polygon would look like the one in Fig. 26C1. A second round turns out no sharp angles and stage 1 is completed.

20 The second stage of the algorithm again searches vertexes 'a' to 'g' and eliminates any dull ones. First, vertex 'c' is deleted, then 'e'. The polygon transformed from Fig. 26C1 to Fig. 26C then to Fig. 26D4. A second round in stage 2 also turns out nothing and the algorithm yields the polygon in Fig. 26D.

25 As indicated at Block P in Fig. 20D, Step B11 involves computing the average range value of the contour points currently buffered in the ODB so as to provide an average height value for the box, and then, for each corner point in the corner coordinate array, use the computed average range value to compute the z coordinate corresponding thereto, and referenced with respect to the global coordinate frame of reference.

30 Having determined the (m,n) indices corresponding to the corner points of the scanned package, and the average height of the scanned package, the data processing method hereof then proceeds to compute corresponding x and y coordinates for each such set of corner indices using geometric transformations. As shown in Fig. 27, these geometric transformation can be schematically represented in the "polar-coordinate" based geometrical representation of the LADAR-based imaging, detecting and dimensioning subsystem of the present invention.

35 In particular, as indicated at Block Q in Fig. 20D, Step B12 involves computing the x and y coordinates associated with each corner point currently buffered in the corner coordinate array and specified by indices m and n. Coordinates x and y are referenced with respect to the global coordinate frame. Mathematical expressions for computing these



parameters, within geometric transformations implicitly embodied therewithin, can be derived using the geometrical model set forth in Fig. 15D.

According to the geometrical model shown in Fig. 15D, every point scanned by the AM laser beam of the LADAR-based subsystem hereof can be specified a position vector defined within a polar coordinate system embodied therewithin, as shown. Each position vector can be represented by  $(R, \alpha)$ , where  $R$  is the magnitude of the distance from the light source/scanner (e.g. polygonal or holographic scanning element) to the point on the scanned object, and  $\alpha$  is the angle of the position vector emanating from the start of scan point, as reference in Fig. 15D, and where the SOS pulse photodetector 3352A in Fig. 15A is located. The value  $R$  is obtained through the phase detection output from A/D conversion circuit 3348. The value  $\alpha$  is obtained from the sampling set up, using the SOS pulse generator 3352, shown in Fig. 15A. For example, if a total of  $M$  points were sampled at a constant interval from the start of scanning line to the stop scanning line, as shown in Fig. 15D, and the point in question in the  $m^{\text{th}}$  sampling, then  $\alpha = m/M$ .

In view of the fact that the polar-coordinate based LADAR unit hereof 3501 and 3502 produces accurately measured values for parameters  $(R, \alpha, m)$ , the data processing method hereof must employ a geometric transformation between  $(R, \alpha, m)$  and  $(H, x, y)$ , Cartesian coordinate parameters referenced relative to the global coordinate reference employed by the greater system for package data tracking operations and the like. A suitable geometrical transformation for  $(R, \alpha, m) \rightarrow (H, x, y)$  is set forth below.

The first parameter in  $(H, x, y)$ , namely "H", the height of the scanned object (e.g. package), can be computed using the formula:

$$H = D - R \sin(\pi/2 - \theta/2 + \alpha)$$

The second parameter in  $(H, x, y)$ , namely, "x", the position of the sampling point with respect to the edge of the conveyor belt can then be computed using the formula:

$$x = w/2 - R \cos(\pi/2 - \theta/2 + \alpha)$$

assuming the LADAR-based unit is mounted at the center of the conveyor belt (i.e. at  $w/2$ ).

Finally, the third parameter in  $(H, x, y)$ , namely "y", the coordinate along the belt's travelling direction, can be computed using the following formula:

5

$$y = m.v$$

10

where  $v$  is the velocity from the tachometer (3803).

15

As indicated at Block R in Fig. 20D, Step B13 involves computing the surface area of the object represented by the contours currently represented in the contour buffer, using the  $m,n$  coordinates associated with the corner vertices  $m$  and  $n$  currently buffered in the corner coordinate array.

20

The algorithm set forth in Fig. 28 sets forth surface computation operations which can be carried out during Step B13 of the flow chart set forth in Figs. 20A through 20D. Figs. 29A and 29B set forth polygonal contours which illustrative the method of package surface area computation carried out during Step B13 of the flow chart set forth in Figs. 20A through 20D.

25

The algorithm shown in Fig. 28 computes the area of the polygon-shaped package by summing the areas of the triangles that made up the polygon. For example, the polygon shown in Fig. 29A is made up of 5 triangles. The area 012, 023, 034, and 056 are positive and is negative for 045. In order to determine the polarity of the triangle (OAB)'s area, angles of the 2 vectors OA and OB need to be computed. If the angle of OB is bigger than OA, the area is positive, otherwise negative. The package's area will be the absolute value of the above sum.

30

35

As indicated at Block S in Fig. 20D, Step B14 involves outputting, for the geometrically represented object (which has been scanned and data-sampled), the computed surface area, height, and corner  $x,y$  coordinates thereof, referenced with respect to the global coordinate reference frame.

40

In summary, the LADAR-based imaging and profiling subsystem of the present invention is capable of performing two distinct functions: by analyzing the phase difference between the transmitted AM laser beam, and the received (i.e. return) AM laser beam, the subsystem can geometrically profile virtually any object that is passed; also, by analyzing the magnitude of the return AM laser beam, the subsystem can generate a video image of the object (e.g. box or package or whatever) that is moved across the transmitted AM laser beam.

45

50

In alternative embodiments, it would be desirable to use blue VLDs with a characteristic wavelength of about 405nm (now commercially available from Nichia, of Japan in order to generate the AM laser beam having a high resolution and long DOF. This would enable the LADAR-based imaging and profiling subsystem hereof to capture fairly high

55

5 resolution images of scanned objects and thus read 1D and 2D bar code symbols embodied  
in the structure thereof, and thus be successfully decoded. Also, by providing mechanisms  
for causing the AM laser beam to be locally "dithered" about a reference direction, it should  
10 be possible to produce high density raster scanning patterns for read labels bearing text and  
the like using OCR techniques, well known in the art. Furthermore, the LADAR-based  
imaging and profiling subsystem of the present invention can be used in systems, wherein the  
AM laser beam produced therefrom is used to locate the label (e.g. text or bar code symbol  
15 label), while a high speed rastered laser beam is steered to the located label and aggressively  
scanned therewith in order to read the label.

Having described the general method of package dimensioning, it is now appropriate  
to discuss a more specialized version involving the use of a dual-beam method of velocity  
20 computation that is nested within the package dimension computation method at Block CC.

As indicated at Blocks AA1 and AA2 in Fig. 30, the specialized method using an  
integrated technique of package velocity computation (requiring the use of dual angularly-  
25 separated AM laser beams) involves the image processing computer 3356 in Fig. 15  
performing steps A1 through B10 in Figs. 18 through 20D for raw data collected from laser  
beams Nos. 1 and 2, and then buffer the resulting "corner coordinate array" data sets in  
separate buffers (beam buffer No. 1 and beam buffer No. 2 ). Then, at Block CC, the  
30 computer computes the velocity of the package (v) by analysing the corner coordinate array  
data sets buffered in buffers Nos. 1 and 2, in accordance with the computational method  
illustrated in Fig. 31, and supporting schematics set forth in Figs. 32 through 32B. Then,  
after having computed the velocity measure at Block CC, the image processing computer  
3356 proceeds to Blocks DD1 and DD2 to perform steps B11 through B12 to obtain the  
35 packages's surface area, length, width height and vertice coordinates, based on information  
collected from the different AM laser beams. At Block EE, the dimension data results can be  
combined using various criteria to provide a final package dimension data set.  
40

#### The Package-In-Tunnel Signaling Subsystem Of The First Illustrative Embodiment Of The Present Invention

45

As shown in Fig. 33A, the package-in-the-tunnel (PITT) indication subsystem shown  
in Fig. 3 can be realized using any of the LADAR-based imaging, detecting and dimensioning  
50 subsystems depicted in Figs. 15 through 15K, wherein the extreme portion of one of the  
amplitude modulated (AM) laser scanning beams produced by the LADAR-based imaging,

5 detecting and dimensioning subsystem is used to generate an object sensing beam is reflected  
off mirror 2005 across the width of the conveyor belt of the system, is reflected off mirror  
2007 on the opposite side of the conveyor belt, and is eventually detected at a prespecified  
10 "time window" and processed in effort to detect the presence or absence of packages being  
transported along the conveyor belt. Upon detecting a package into the tunnel, the  
subsystem 500 then generates a package-out-of-tunnel (POOT) data element which is  
provided to subsystem 100 as described hereinbelow. Also, such information can be used to  
15 confirm/validate package dimension data generated by the package height/width/length  
profiling subsystem 600 shown in Fig. 3. In the event that the computed package  
dimension data does not appear reliable, for whatever reason, then the PIIT  
indication/signal subsystem 500 can compute the length of the detected package, and  
20 provide this information to the package queuing, processing and handling subsystem 1000,  
as will be described in greater detail below.

As shown in Fig. 33B, the package-in-the-tunnel (PITT) indication subsystem shown  
25 in Fig. 3 may also be realized using any of the LADAR-based imaging, detecting and  
dimensioning subsystems depicted in Figs. 16 through 15K, wherein the extreme portion of  
one of the amplitude modulated (AM) laser scanning beams produced by the LADAR-based  
imaging, detecting and dimensioning subsystem is used to generate an object sensing beam is  
30 reflected off mirror 2005 across the width of the conveyor belt of the system, is reflected off  
mirror 2007 on the opposite side of the conveyor belt, and is eventually detected at a  
prespecified "time window" and processed in effort to detect the presence or absence of  
packages being transported along the conveyor belt. Upon detecting a package into the  
35 tunnel, the subsystem 500 then generates a package-out-of-tunnel (POOT) data element  
which is provided to subsystem 100 as described hereinbelow. Also, such information can be  
used to confirm/validate package dimension data generated by the package  
40 height/width/length profiling subsystem 600 shown in Fig. 3. In the event that the computed  
package dimension data does not appear reliable, for whatever reason, then the PIIT  
indication/signal subsystem 500 can compute the length of the detected package, and  
provide this information to the package queuing, processing and handling subsystem 1000,  
45 as will be described in greater detail below.

Referring to Fig. 34, there is shown a flow chart describing the major steps associated  
50 with a generalized method of package (i.e. object) detection along a conveyor belt using a  
portion of the amplitude-modulated laser scanning beam generated by the LADAR-based  
imaging, detecting, and dimensioning subsystem of the present invention. As indicated at

Block A in Fig. 34, the method involves generating a SOSC pulse signal as an AM laser scanning beam is scanned along the edge of the conveyor belt by LADAR-based subsystem 3301. As illustrated in Fig. 35B, the SOSC pulse signal is generated by photodetector 335 and pulse generator circuit 335A shown in Fig. 15. As indicated at Block B in Fig. 34, the SOSC pulse signal is used to generate a predefined time-window (e.g. 100 microseconds) over which detection of sensor signal (produced by absorptive strip 2010 shown in Fig. 15A) and depicted in Fig. 35A, will occur. As illustrated in Fig. 35C, the time window signal can be generated in various ways depending on the type of implementation involved. As indicated at Block C in Fig. 34, the object sensing signal (illustrated in Fig. 35A) is produced and changes in the intensity of returned object sensing signal are detected during the predefined time-window using first derivative signal processing and thresholding techniques in effort to detect either the leading or falling edge of the sensor pulse signal shown in Fig. 35A. Finally, as indicated at Block D, an object detection signal is generated in response to detecting the sensor pulse signal within the prespecified time window. For each such output signal generated, a PIIT data element is produced to indicate that an object has entered the scanning tunnel.

Figs. 36A through 36C describe a software implementation of the PIIT indication subsystem 500 described above and generally illustrated in Figs. 33A through 33C. Fig. 36A shows a digital representation of an exemplary object sensing signal which has been derived from a selected portion of the AM laser scanning beam generated by the LADAR-based subsystem of the present invention. A time-windowed portion of this signal is digitally processed by a FIR-type digital filter so as to compute a first derivative signal thereof. The output data set is then compared against threshold values to determine whether or not a package is present or absent from the conveyor belt over the time period (i.e. time window) of interest, as required by the package-in-the-tunnel (PITT) indication subsystem shown in Fig. 3, as well as the package height, width and length profiling subsystem of Fig. 3. Fig. 36B provides a schematic representation of the weights (i.e. coefficients) used in the FIR-type digital filter. Fig. 36C shows a digital representation of the output of the FIR digital filter.

A hard-ware implementation of the PITT indication subsystem 500 is shown in Figs. 37 through 39. Like the software based implementation described above, this implementation too is based on object sensing techniques involving the use of a selected portion of the AM laser scanning beam produced by the LADAR-based imaging, detecting and dimensioning subsystem of Fig. 3. As shown in Fig. 37, the PIIT subsystem 500 comprises a number of subcomponents, namely: a start-of-object-sensing cycle (SOSC) pulse

5 detecting and dimensioning subsystem is used to generate an object sensing beam is reflected  
off mirror 2005 across the width of the conveyor belt of the system, is reflected off mirror  
2007 on the opposite side of the conveyor belt, and is eventually detected at a prespecified  
"time window" and processed in effort to detect the presence or absence of packages being  
10 transported along the conveyor belt. Upon detecting a package into the tunnel, the  
subsystem 500 then generates a package-out-of-tunnel (POOT) data element which is  
provided to subsystem 100 as described hereinbelow. Also, such information can be used to  
confirm/validate package dimension data generated by the package height/width/length  
15 profiling subsystem 600 shown in Fig. 3. In the event that the computed package  
dimension data does not appear reliable, for whatever reason, then the PIIT  
indication/signal subsystem 500 can compute the length of the detected package, and  
20 provide this information to the package queuing, processing and handling subsystem 1000,  
as will be described in greater detail below.

As shown in Fig. 33B, the package-in-the-tunnel (PITT) indication subsystem shown  
25 in Fig. 3 may also be realized using any of the LADAR-based imaging, detecting and  
dimensioning subsystems depicted in Figs. 16 through 15K, wherein the extreme portion of  
one of the amplitude modulated (AM) laser scanning beams produced by the LADAR-based  
imaging, detecting and dimensioning subsystem is used to generate an object sensing beam is  
30 reflected off mirror 2005 across the width of the conveyor belt of the system, is reflected off  
mirror 2007 on the opposite side of the conveyor belt, and is eventually detected at a  
prespecified "time window" and processed in effort to detect the presence or absence of  
packages being transported along the conveyor belt. Upon detecting a package into the  
35 tunnel, the subsystem 500 then generates a package-out-of-tunnel (POOT) data element  
which is provided to subsystem 100 as described hereinbelow. Also, such information can be  
used to confirm/validate package dimension data generated by the package  
40 height/width/length profiling subsystem 600 shown in Fig. 3. In the event that the computed  
package dimension data does not appear reliable, for whatever reason, then the PIIT  
indication/signal subsystem 500 can compute the length of the detected package, and  
provide this information to the package queuing, processing and handling subsystem 1000,  
45 as will be described in greater detail below.

Referring to Fig. 34, there is shown a flow chart describing the major steps associated  
with a generalized method of package (i.e. object) detection along a conveyor belt using a  
50 portion of the amplitude-modulated laser scanning beam generated by the LADAR-based  
imaging, detecting, and dimensioning subsystem of the present invention. As indicated at

5 Block A in Fig. 34, the method involves generating a SOSC pulse signal as an AM laser scanning beam is scanned along the edge of the conveyor belt by LADAR-based subsystem 3301. As illustrated in Fig. 35B, the SOSC pulse signal is generated by photodetector 335 and pulse generator circuit 335A shown in Fig. 15. As indicated at Block B in Fig. 34, the  
10 SOSC pulse signal is used to generate a predefined time-window (e.g. 100 microseconds) over which detection of sensor signal (produced by absorptive strip 2010 shown in Fig. 15A) and depicted in Fig. 35A, will occur. As illustrated in Fig. 35C, the time window signal can be generated in various ways depending on the type of implementation involved. As indicated at  
15 Block C in Fig. 34, the object sensing signal (illustrated in Fig. 35A) is produced and changes in the intensity of returned object sensing signal are detected during the predefined time-window using first derivative signal processing and thresholding techniques in effort to detect  
20 either the leading or falling edge of the sensor pulse signal shown in Fig. 35A. Finally, as indicated at Block D, an object detection signal is generated in response to detecting the sensor pulse signal within the prespecified time window. For each such output signal generated, a PIIT data element is produced to indicate that an object has entered the  
25 scanning tunnel.

Figs. 36A through 36C describe a software implementation of the PIIT indication subsystem 500 described above and generally illustrated in Figs. 33A through 33C. Fig. 36A  
30 shows a digital representation of an exemplary object sensing signal which has been derived from a selected portion of the AM laser scanning beam generated by the LADAR-based subsystem of the present invention. A time-windowed portion of this signal is digitally processed by a FIR-type digital filter so as to compute a first derivative signal thereof. The  
35 output data set is then compared against threshold values to determine whether or not a package is present or absent from the conveyor belt over the time period (i.e. time window) of interest, as required by the package-in-the-tunnel (PITT) indication subsystem shown in Fig. 3, as well as the package height, width and length profiling subsystem of Fig. 3. Fig. 36B provides a schematic representation of the weights (i.e. coefficients) used in the FIR-type  
40 digital filter. Fig. 36C shows a digital representation of the output of the FIR digital filter.

A hard-ware implementation of the PITT indication subsystem 500 is shown in Figs.  
45 37 through 39. Like the software based implementation described above, this implementation too is based on object sensing techniques involving the use of a selected portion of the AM laser scanning beam produced by the LADAR-based imaging, detecting and dimensioning subsystem of Fig. 3. As shown in Fig. 37, the PIIT subsystem 500  
50 comprises a number of subcomponents, namely: a start-of-object-sensing cycle (SOSC) pulse

5 generation circuit 335A and photodetector 335; LADAR-based photo-detection circuit 334  
and avalanche photodetector 3344; an analog object detection circuit 2260; and controller  
circuit 2262 (e.g. a programmed field programmable gate array (FPGA) device), having object  
10 detection window signal generation capabilities embodied therewithin, as specified by Figs.  
35A through 35C, described above.

An analog circuit implementation of the analog object detection circuit 2260 is  
shown in Fig. 37. The function of this circuit is to perform a first derivative function on the  
15 analog intensity varying object sensing signal (shown in Fig. 35A) over a  
prespecified/controlled time period (i.e. time-window) (indicated in Fig. 35C), and thresholds  
the first derivative signal to determine whether or not an package is present or absent from  
the conveyor belt over the time-window based on the detection of the object sensor signal  
20 (shown in Fig. 35A), and generate a digital output signal to indicate the state of such  
determinations.

The digital circuit implementation of the controller circuit 2262 is shown in Fig. 38.  
Its functions are to control the sampling of the intensity varying input signal, generating the  
25 time-window signals for circuit 2260 (referenced to the SOSC pulse signal input and a  
programmable time delay parameter provided by LDIP controller 2001. In practice, there  
are many way in which to realize the generalized method shown in Figs. 33A through 35C.

#### The Package-Out-Of The-Tunnel Indication Subsystem Of The Illustrative Embodiment Of The Present Invention

35 In the illustrative embodiment, the POOT indication subsystem 700 is realized as a  
predictive system in which, time stamped PITT data elements are provided to the POOT  
system 700 for each package detected as entering the scanning tunnel, and based on the  
velocity of the package, the LDIP controller 2001 can generate a POOT data element for  
40 supply to subsystem 1000 when the corresponding package has predictively travelled to the  
output point of the scanning tunnel (at the detected velocity) some time delay later.  
Alternatively, although less preferred, a LDIP device 3301 or other object sensing device can  
be mounted at the exit of the scanning tunnel to detect when a package has exited the  
45 scanning tunnel and generating a POOT data element each time such an occurrence has been  
detected.

#### The In-Motion Package Weighing Subsystem Of The First Illustrative Embodiment Of The Present Invention



5 As shown in the Fig. 1C and 1D, the in-motion package weighing subsystem 750 is preferably arranged about the package height/width profiling subsystem 600. As shown, the in-motion weighing subsystem 750 comprises: a scale platform integrated with the conveyor  
10 subsystem 300, for producing analog or digital weight signals indicative of the weight of a package(s) moving across the scale platform ; a filtering circuit for filtering the analog or digital weight signals in order to remove noise components and artifacts therefrom; and a  
15 signal processor for processing the filtered weight signals in order to produce a digital word representative of the measured weight of the package. Notably, the in-motion weighing subsystem of the illustrative embodiment can be used to realize using the 9480 EXPRESSWEIGHT™ In-Motion Variable Box and Package Weighing System from Mettler-  
20 Toledo, Inc. of Worthington, Ohio.

The Data Element Queuing, Handling And Processing Subsystem Of The First Illustrative Embodiment Of The Present Invention

25 In Figs. 40A and 40B, the structure and function of data element queuing, handling and processing subsystem 1000 is shown in greater detail. As shown in Figs. 40A and 40B, all data elements entering subsystem 1000 are provided to an I/O subsystem 1001, the  
30 output port of which is connected to a data element time-stamping unit 1002 that is controlled by a timing/control unit 1003. In the illustrative embodiment, there are four possible types of data elements that might be loaded into the system event queue 1004, realized as a FIFO data structure known in the computing art. As shown in Figs. 40A and  
35 40B, the four possible data element types are: package data elements; scan beam data elements; package-in-tunnel (PIT) data elements; and package out-of-tunnel (POOT) data elements.

40 As shown in Figs. 40A and 40B, the data element queuing, handling and processing subsystem 1000 further comprises a number of other modules, namely: a moving package tracking queue 1005, realized as a FIFO data structure known in the computing art, for  
45 queuing package data elements, package-in-tunnel (PIT) data elements and package out-of-tunnel (POOT) data elements; and a data element analyzer 1006 (e.g. programmed microprocessor and associated memory structures) for reading the different types of data elements from the output of the system event queue 1004 and analyzing and handling the  
50 same according to the Data Element Handling Rules set forth in Figs. 41A1 and 41B.

5           As shown in Fig. 40A, scan beam data elements generated from "holographic type" laser scanning subsystems shown in Fig. 4A1 must be processed using a system of data processing modules illustrated in Figs. 40A and 40B. As shown in Figs. 40A and 40B, this system of data processing modules comprises a data element combining module 1007A for  
10           combining (i) each scan beam data element generated from "holographic-type" laser scanning subsystems and accessed from the system event queue 1004 with (ii) each and every package data element in the moving package tracking queue 1005, so as to produce a plurality of combined data element pairs; a package surface geometry modeling module 1008A for  
15           generating a geometrical model for the package represented by the package data element in each combined data element pair produced by the data element combining module 1007A; a homogeneous transformation (HG) module 1009A for transforming (i.e. converting) the coordinates of each package surface geometry model produced at the "dimensioning position" in the global coordinate reference frame  $R_{global}$ , into package surface geometry model coordinates at the "scanning position" within the scanning tunnel (i.e. displaced a distance  $z$  from the package dimensioning position); a scan beam geometry modeling module  
20           1010A for generating a geometrical model for the laser scanning beam represented by the scan beam data element in each combined data element pair produced by the data element combining module 1007A; a homogeneous transformation (HG) module 1011A for transforming (i.e. converting) the coordinates of each scanning beam geometry model referenced to the local frame of reference symbolically embedded within the holographic laser scanning system, into scanning beam geometry model coordinates referenced to the global coordinate reference  $R_{global}$  at the "scanning position" within the scanning tunnel; a scan  
25           beam and package surface intersection determination module 1012A for determining, for each combined data element pair produced from the data element combining module, whether the globally-referenced scan beam model produced by the HG transformation module 1009A intersects with the globally-referenced package surface model produced by the HG transformation module 1011A, and if so, then the data output subsystem 1013A produces, as output, package identification data, package dimension data (e.g. height, width data etc.), and package weight data, for use by auxiliary systems associated with the tunnel  
30           scanning system of the present invention.

          Scan beam data elements generated from "non-holographic type" laser scanning subsystems must be processed using a different system of data processing modules than that shown in Figs. 40A and 40B. Such alternative methods are described in Applicants's  
50           Internation Application No. WO \_\_\_\_\_ [108-059PCT000], supra. Such methods can be

5 applied to polygonal-type scanning methods, as well as 1D and 2D image scanning methods, as employed for example in the system embodiments described in Figs. 49 and 53, to be described in detail hereinbelow.

10 Having described the overall structure and function of the data element queuing, handling and processing subsystem 1000, it is appropriate at this juncture to now briefly describe the operation thereof with reference to Figs. 40A and 40B.

15 Prior to loading into the system event queue 1004, each data element is time-stamped (i.e.  $T_j$ ) by the timing stamping module 1002 driven by a master clock within timing/control unit 103 referenced to the global reference frame  $R_{\text{global}}$ . All data elements in the system event queue 1004 are handled by a data element analyzer/handler 1006 which is governed by the table of Data Element Handling Rules set forth in Figs. 41A and 41B. In general,  
20 subsystem 1000 is best realized by a computing platform having a multi-tasking operating system capable of handling multiple "threads" at the same time.

25 Each package moving through the scanning tunnel will be represented by a data element (i.e. an object in an object-oriented programming environment e.g. Java programming environment) stored in a moving package tracking queue 1005 operably connected to the data element handler 1006. Package data elements are placed in the moving package tracking queue 1005 and matched with each scan beam data element  
30 accessed from the system event queue 1004 using a data element combining module 1007A. Scan beam data elements generated from holographic-based scanning units are processed along the scan data processing channel illustrated by blocks 1008A, 1009A, 1010A, 1011A, 1012A, and 1013A set forth in Figs. 40A and 40B. This is because scan beam data elements  
35 generated from holographic-based scanning units have been generated from laser scanning beams (or finite scanning sectors) which can be tracked with scan package identification data by tracking facet sectors on the scanning disc in issue.

#### The Package Surface Geometry Modeling Subsystem Of The First Illustrative Embodiment Of The Present Invention

40  
45 As shown in Fig. 42, a surface geometry model is created for each package surface by the package surface geometry modeling subsystem (i.e. module) 1008A deployed with the data element queuing, handling and processing subsystem 1000 of Fig. 40A. In the illustrative embodiment, each surface of each package transported through package  
50 dimensioning/measuring subsystem 600 is mathematically represented (i.e. modeled) using at least three position vectors (referenced to  $x=0$ ,  $y=0$ ,  $z=0$ ) in the global reference frame

5  $R_{\text{global}}$ , and a normal vector to the package surface indicating the direction of incident light reflection therefrom. The table of Fig. 43 describes a preferred procedure for creating a vector-based surface model for each surface of each package transported through the package dimensioning/measuring subsystem 600 hereof.

10  
The Scan Beam Geometry Modeling Subsystem Of The First Illustrative Embodiment Of The Present Invention

15 As shown in Figs. 44A1 through 44A2, a vector-based model is created by the scan beam geometry modeling subsystem (i.e. module) 1010A, for the propagation of the laser scanning beam (ray) emanating from a particular point on the facet, to its point of reflection on the corresponding beam folding mirror, towards to the focal plane determined by the focal length of the facet.

20 The table set forth in Figs. 44B1 through 44B3 define the parameters used to construct the diffraction-based geometric optics model of the scanning facet and laser scanning beam shown in Figs. 44A1 and 44A2. Details of this modeling procedure can be found in Applicant's copending Application No. 08/726,522 filed October 7, 1996; and 08/573,949 filed December 18, 1995.

25 Fig. 44D provides a schematic representation of the laser scanning disc shown in Figs. 44A1 and 44A2, labeled with particular parameters associated with the diffraction-based geometric optics model thereof.

30 In Fig. 45, a preferred procedure is described for creating a vector-based ray model for laser scanning beams which have been produced by a holographic laser scanning subsystem of the system hereof, that may have collected the scan data associated with a decoded bar code symbol read thereby within the tunnel scanning subsystem.

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40 The Scan Surface Modeling Subsystem Of The First Illustrative Embodiment Of The Present Invention

45 Fig. 46 schematically shows how the scan surface modeling subsystem (i.e. module) shown of Figs. 40A and 40B can be used to define a vector-based 2-D surface geometry model for each candidate scan beam generated by the polygonal-based bottom scanners in the tunnel scanning system. As shown in Fig. 46, each omnidirectional scan pattern produced from a particular polygon-based bottom scanning unit is mathematically represented (i.e. modeled) using four position vectors (referenced to  $x=0$ ,  $y=0$ ,  $z=0$ ) in the

5 global reference frame  $R_{\text{global}}$ , and a normal vector to the scanning surface indicating the direction of laser scanning rays projected therefrom during scanning operations.

10 The Homogeneous (HG) Transformation Module Of The First Illustrative Embodiment Of The Present Invention

Figs. 46 and 47 schematically describes how the homogeneous (HG) transformation module 1009A of Figs. 40A and 40B uses homogeneous transformations to convert a vector-based model within a local scanner coordinate reference frame  $R_{\text{localscanner}}$  into a corresponding vector-based model created within the global scanner coordinate reference frame  $R_{\text{global}}$ . This mathematical technique is essential in that it converts locally-referenced coordinates used to represent a laser beam (which scanned a bar code symbol) into globally-referenced coordinates used to represent the same laser scanning beam.

Fig. 46 describes how the homogeneous (HG) transformation module 1010A of Fig. 22 uses homogeneous transformations to convert a vector-based package surface model specified within the global coordinate reference frame  $R_{\text{global}}$  at the "package height/width profiling position", into a corresponding vector-based package surface model created within the global coordinate reference frame  $R_{\text{global}}$  specified at the "scanning position" within the tunnel scanning system. This mathematical technique is essential in that it converts locally-referenced coordinates used to represent a package surface into globally-referenced coordinates used to represent the same package surface. Notably, this method of coordinate conversion involves computing the package travel distance ( $z=d$ ) between the package height/width profiling and scanning positions using (1) the package or conveyor belt velocity ( $v$ ) and the difference in time (i.e.  $\Delta T = T1 - T2$ ) indicated by the time stamps ( $T1$  and  $T2$ ) placed on the package data element and scan beam data element, respectively, matched thereto during each scan beam/package surface intersection determination carried out within module 1012A in the data element queuing, handling and processing subsystem 1000 of Figs. 40A and 40B. Notably, this package displacement distance  $z=d$  between the profiling and scanning positions is given by the mathematical expression  $d = v \Delta T$ .

45 The Scan Beam And Package Surface Intersection Determination Subsystem Of The First Illustrative Embodiment Of The Present Invention For Use With Scan Beam Data Elements Produced By Holographic Scanning Subsystems

50 Figs. 48A and 48B, taken together, describes a procedure which is carried out within the scan beam and package surface intersection determination module 1012A of the

5 illustrative embodiment in order to determine whether (i) the scan beam (rays) associated with a particular scan beam data element produced by a holographic scanning subsystem intersects with (ii) any surface on the package that has been scanned at a particular scanning position, and thus whether to correlate a particular package identification data element with  
10 particular package measurement data element acquired by the system.

As indicated at Block A in Fig. 48A, the first step of the procedure involves using the minimum and maximum scan rays models of the laser scan beam to determine the intersection point between the scan ray and a surface on the package (using the vector-based  
15 models thereof) referenced to the global coordinate reference frame. As indicated at Block B in Fig. 48A, if an intersection point has been determined at Block A, then confirm that the sign of the normal vector of the surface is opposite the sign of the scan ray direction vector. As indicated at Block C in Fig. 31A, if the sign of the normal vector is opposite the sign of the scan ray direction vector, then determine if the intersection point (found at Block A) falls within the spatial boundaries of the package surface. As indicated at Block D in Fig. 48B, if the intersection point falls within the boundaries of the surface, then output a data element  
25 to the output queue in the data output subsystem 1013A, wherein the data element comprises package identification data and data representative of the dimensions and measurements of the package by the system for use by other subsystems. When a scan beam data element taken from the system event queue 1004 is correlated with a package data element using the above described method, then the subsystem 1000 outputs a data element (in an output data queue 1013A) containing the package ID data and the package  
30 dimensional and measurement data. Such data elements can be displayed graphically, printed out as a list, provided to sorting subsystems, shipping pricing subsystems, routing subsystems and the like.

40 Unitary Package Identification And Dimensioning System Constructed According To A Second Illustrated Embodiment Of The Present Invention;

Referring now to Figs. 49 through 52, a unitary package identification and dimensioning system of the second illustrated embodiment 3000 will now be described in  
45 detail.

As shown in Fig. 49, system 3000 mounted above a conveyor belt like the system shown in Figs. 1A through 1D, and employs a LADAR-based imaging, detecting and dimensioning subsystem 3301 as described above, and an automatic bar code symbol reading  
50 system 3001 employing a 1-D (i.e. linear) CCD-based scanning array 3002 (having 6000

5 pixels to cover 48" at 130 dots/inch resolution), below which a light focusing lens 3003 is mounted for imaging bar coded packages transported therebeneath and decode processing to read such bar code symbols in a fully automated manner without human intervention.

10 Fig. 50 shows that this unitary system 3000 is similar to system 1 except that it employs a 1-D (i.e. linear) CCD-based bar code symbol reading subsystem 3001 to read bar code symbols on packages, rather than a holographic scanning system. In all other respects, these systems are similar in operation.

15 Fig. 51 illustrates the relative spatial relation between the global coordinate reference system  $R_{\text{global}}$  symbolically embedded within the unitary package identification system 3000, the local coordinate reference system  $R_{\text{LDIP}}$  symbolically embedded within the package imaging, detecting and dimensioning subsystem 3301, 20 the local coordinate reference system  $R_{\text{CCD}}$  symbolically embedded within the 1D CCD array within the bar code symbol reading system 3001. Also, Fig. 52 illustrates that all coordinates referenced with respect to one of the local coordinate systems depicted in Fig. 51 are converted to the global coordinate reference system using homogeneous transformations, as practiced in the first system embodiment described above. In this system, the scan surface and package surface intersection 25 determination subsystem will be modified to account for the fact that images of bar code symbols are captured and read and not scanned by laser scanning beams. To address this fact, the center of the image read by the 1D CCD-based bar code read by subsystem 3001 is determined relative to the local coordinate system embedded within the 1D CCD camera system, and then such coordinates are converted back to the global coordinate reference frame embedded within the unitary system 3000. This enables the system to determine whether there is an intersection between a 30 particular bar code read by the 1D CCD scanner 3001 and a package dimensioned by subsystem 600.

45 Unitary Package Identification And Dimensioning System Constructed According To A Third Illustrated Embodiment Of The Present Invention;

Referring now to Figs. 53 through 56, a unitary package identification and dimensioning system of the second illustrated embodiment 3000 will now be described in detail.

5 As shown in Fig. 53, system 4000 mounted above a conveyor belt like the system shown in Figs. 1A through 1D, and comprises: a LADAR-based imaging, detecting and dimensioning subsystem 3301 as described above; a low-resolution CCD camera 4001 (having 640X640 pixels) to locate the x,y position of bar code labels on scanned packages;  
10 and an automatic bar code symbol reading system 4002 comprising a stationarily-mounted light focusing lens 4003 mounted below a vertically-translatable (along the z axis) 2-D CCD-based high-resolution scanning array 4004 (e.g.  
15 1000X1000 pixels) having its position along the z axis controlled by package height information obtained from the LADAR-based package imaging, detecting and dimensioning subsystem 3301; a pair of orthogonally-mounted field-of-view (FOV) steerable mirrors 4005 and 4006 mounted beneath the lens 4003 and controlled by the x,y coordinates collected by the low-resolution CCD camera 4001, so as to scan detected package labels, collect high-resolution scan data therefrom, and decode processing the same so as to read bar code symbols within the detected package label in a fully automated manner without human  
20 intervention;

25 Fig. 54 shows that this unitary system 4000 is similar to system 1 and 3000 except that it employs a 2-D High resolution CCD-based bar code symbol reading subsystem 4002 is used to read bar code symbols on packages, rather than a holographic scanning system, and that a low resolution CCD camera 4001 and subsystem 3301 are used to adjust the focus and steer the FOV of this CCD camera 4002 to where the low-resolution CCD camera determine where the bar code label is located. All other respects, these systems are similar in  
30 operation.

35 Fig. 55 illustrates the relative spatial relation between the global coordinate reference system  $R_{\text{global}}$  symbolically embedded within the unitary package identification system 4000, the local coordinate reference system  $R_{\text{LDIP}}$  symbolically embedded within the package imaging, detecting and dimensioning subsystem 3301,  
40 the local coordinate reference system  $R_{\text{LRCCD}}$  symbolically embedded within the low-resolution 2D CCD scanning array (i.e. camera) 4001, and the local coordinate reference system  $R_{\text{HRCCD}}$  symbolically embedded within the high-resolution 2D CCD scanning array (i.e. camera) 4002. Also, Fig. 56 illustrates that all coordinates  
45 referenced with respect to one of the local coordinate systems depicted in Fig. 55 are converted to the global coordinate reference system using homogeneous  
50 transformations, as practiced in the first system embodiment described above. In



5           this system, the scan surface and package surface intersection determination  
subsystem will be modified to account for the fact that images of bar code symbols  
are captured and read and not scanned by laser scanning beams. To address this  
10           fact, the center of the image read by by the low resolution 2D CCD-based subsystem  
4001 is determined relative to the local coordinate system embedded therewithin,  
and then such coordinates are converted back to the global coordinate reference  
15           frame embedded within the unitary system 4000. Also, the center of the image read  
by by the high resolution 2D CCD-based subsystem 4002 is determined relative to  
the local coordinate system embedded therewithin, and then such coordinates are  
converted back to the global coordinate reference frame embedded within the unitary  
20           system 4000. Such coordinate transformations enables the system 4000 to  
determine whether there is an intersection between a particular bar code read by the  
high-resolution CCD scanner 4002 and a package dimensioned by subsystem 600.

#### 25           Applications Of The System Of The Present Invention

30           In general, the package identification and measuring systems of the present invention  
can be installed in package routing hubs, shipping terminals, airports, factories, and the like.  
There of course will be numerous other applications for such systems as new situations arise,  
and the capabilities of such systems become widely known to the general public.

#### 35           Modifications Of The Illustrative Embodiments

40           While the package conveyor subsystems employed in the illustrative embodiments  
have utilized belt or roller structure to transport package, it is understood that this  
subsystem can be realized in many ways, for example: using trains running on tracks passing  
through the laser scanning tunnel; mobile transport units running through the scanning  
45           tunnel installed in a factory environment; robotically-controlled platforms or carriages  
supporting packages, parcels or other bar coded objects, moving through a laser scanning  
tunnel subsystem.

50           While the various embodiments of the package identification and measuring system  
hereof have been described in connection with linear (1-D) and 2-D code symbol scanning

5 applications, it should be clear, however, that the system and methods of the present invention are equally suited for scanning alphanumeric characters (e.g. textual information) in optical character recognition (OCR) applications, as well as scanning graphical images in graphical scanning arts. All that will be required is to provide image data storage buffers in  
10 each of the scanning units so that images of bar code symbols can be reconstructed during scanning operations, and then character recognition techniques, such as taught in US Patent No. 5,727,081 to Burges, et al, incorporated herein by reference.

15 Advantages And Other Features Of The System Of The Present Invention

20 Through proper programming, the automated package identification and measuring systems of the illustrative embodiments described hereinabove can read virtually any bar code symbology imaginable (e.g. Interleaved two of five, Code 128 and Code three of nine) and formats so as to sort and identify packages at various package rates required by USPS or other end-users. The systems of the illustrative embodiments can read the ZIP Code (six  
25 digits), Package Identification Code (PIC) (sixteen characters)<sup>1</sup> and Tray bar code (ten digits) symbols.

30 The tunnel scanning systems hereof can be configured so that all of the products passing through the "tunnel" shall be scanned and read for the valid USPS bar coded symbols regardless of the position of the bar code symbol on the surface of the product. This also includes the bottom surface of the product.

35 The tunnel scanning system hereof can be provided with additional equipment including, for example, tachometers, dimensioning units, support structures, special power units (if required), air compressors and any other support equipment required by an application at hand.

40 Preferably, the tunnel scanning systems of the illustrative embodiments are constructed using standard interfaces such that scanners, decoders, concentrator, etc. are interchangeable.

45 The tunnel scanning systems hereof can read bar coded symbols through the entire population of tray and tub label holders in use by the USPS, and other package or parcel carriers. In addition, the tunnel scanning systems can read bar code symbols on the package products when the bar code symbol label is placed under diaphanous materials.

50 There will be more than one bar code symbol on many of the packages found in the tunnel system hereof. Some of these symbols will not be valid USPS symbols. If there are

5 multiple symbols on a package, the scanner logic will automatically identify and process only the USPS valid symbols.

10 The tunnel scanning systems of the illustrative embodiments can process all types of products (e.g. trays and tubs having extremely large variance in surface types, colors, and plastics (e.g. Tyvek material, canvass, cardboard, polywrap, Styrofoam, rubber, dark packages). Some of these product types include: softpack-pillows, bags; packages having non-flat bottoms, such as flats, trays, and tubs with and without bands; cartons; rugs; duffel  
15 bags (without strings or metal clips); tires; wooden containers; and sacks.

It is understood that the laser scanning systems, modules, engines and subsystems of the illustrative embodiments may be modified in a variety of ways which will become readily apparent to those skilled in the art, and having the benefit of the novel teachings disclosed  
20 herein. All such modifications and variations of the illustrative embodiments thereof shall be deemed to be within the scope and spirit of the present invention as defined by the Claims to Invention appended hereto.

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## Claims

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CLAIMS TO INVENTION:

1. An automated package identification and measuring system, wherein an omni-directional laser scanning tunnel is used to read bar codes on packages entering the tunnel, while a package dimensioning subsystem is used to capture information about the package prior to entry into the tunnel.

2. An automated package identification and measuring system, wherein Laser Detecting And Ranging (LADAR-based) scanning methods are used to capture two-dimensional range data maps of the space above a conveyor belt structure, and two-dimensional image contour tracing methods are used to extract package dimension data therefrom.

3. An automated package identification and measuring system, wherein the package dimensioning subsystem is realized as a LADAR-based package imaging and dimensioning unit (i.e. subsystem) supported above the conveyor belt structure of the system.

4. An automated package identification and measuring system, wherein the LADAR-based imaging, detecting and dimensioning subsystem produces a synchronized amplitude-modulated laser beam that is automatically scanned across the width of the conveyor belt structure and, during each scan thereacross, detects and processes the reflected laser beam in order to capture a row of raw range (and optionally reflection-intensity) information that is referenced with respect to a polar-type coordinate system symbolically-embedded within the LADAR-based imaging, detecting and dimensioning subsystem.

An automated unitary-type package identification and measuring system (i.e. contained within a single housing or enclosure), wherein a scanning subsystem is used to read bar codes on packages entering the system, while a package dimensioning subsystem is used to capture information about the package prior to entry into the tunnel.

An automated package identification and measuring system, wherein Laser Detecting And Ranging (LADAR-based) scanning methods are used to capture two-dimensional range data maps of the space above a conveyor belt structure, and two-dimensional image contour tracing methods are used to extract package dimension data therefrom.

5 A unitary system, in which the scanning subsystem can be realized using either a holographic scanning mechanism, a 1D or 2D camera system or polygonal scanning mechanism.

10 A unitary system, in which the package velocity is computed by using a pair of laser beams projected at different angular projections over the conveyor belt.

The unitary system in which the laser scanning lasers beams having multiple wavelengths to sensing packages having a wide range of reflectivity characteristics.

15 A system and method, in which the same amplitude modulated laser beam used to dimension packages is also used to detect the presence of packages over a prespecified time interval.

20 A system and method, wherein an omni-directional laser scanning tunnel is used to read bar codes on packages entering the tunnel, while a package dimensioning subsystem is used to capture information about the package prior to entry into the tunnel.

25 A package identification and measuring system, wherein Laser Detecting And Ranging (LADAR-based) scanning methods are used to capture two-dimensional range data maps of the space above a conveyor belt structure, and two-dimensional image contour tracing methods are used to extract package dimension data therefrom.

30 A package identification and measuring system, wherein the package dimensioning subsystem is realized as a LADAR-based package imaging and dimensioning unit (i.e. subsystem) supported above the conveyor belt structure of the system.

35 A package identification and measuring system, wherein the LADAR-based imaging, detecting and dimensioning subsystem produces a synchronized amplitude-modulated laser beam that is automatically scanned across the width of the conveyor belt structure and, during each scan thereacross, detects and processes the reflected laser beam in order to capture a row of raw range (and optionally reflection-intensity) information that is  
40 referenced with respect to a polar-type coordinate system symbolically-embedded within the LADAR-based imaging, detecting and dimensioning subsystem.

45 A package identification and measuring subsystem, wherein the rows of range data captured by the LADAR-based imaging, detecting and dimensioning subsystem are continuously

5 loaded into a preprocessing data buffer, one row at a time, and processed in real-time using window-type convolution kernels that smooth and edge-detect the raw range data and thus improve its quality for subsequent dimension data extraction operations.

10 A package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically subtracts detected background information (including noise) from the continuously updated range data map as to  
15 accommodate for changing environmental conditions and enable high system performance independent of background lighting conditions.

20 A package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically buffers consecutively captured rows of smoothed/edge-detected range data to provide a range data map of the space above the conveyor belt, and employs two-dimensional image contour tracing techniques to detect  
25 image contours within the buffered range data map, indicative of packages being transported through the laser scanning tunnel system.

30 A package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the indices (m,n) of the computed contours in order to detect vertices associated with polygonal-shaped objects extracted from the range data map, which are representative of packages or like objects being  
35 transported through the laser scanning tunnel system.

40 A package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the m and n indices of the detected vertices associated with the computed contours in order to detect candidates for corner points associated with the corners of a particular package being transported through the laser scanning tunnel system.

45 A package identification and measuring subsystem, wherein the LADAR-based imaging, detecting and dimensioning subsystem automatically processes the m and n indices of detected corner point candidates in order to reduce those corner point candidates down to  
50 those most likely to be the corners of a regular-shaped polygonal object (e.g. six sided box).

5 A system, wherein the tunnel scanning subsystem provided therein comprises a plurality of laser scanning subsystems, and each such laser scanning subsystem is capable of automatically generating, for each bar code symbol read by the subsystem, accurate  
10 information indicative of the precise point of origin of the laser scanning beam and its optical path to the read bar code symbol, as well as produced symbol character data representative of the read bar code symbol.

15 A system, wherein the plurality of laser scanning subsystems generated an omnidirectional laser scanning pattern within a 3-D scanning volume, wherein a bar code symbol applied to any one side of a six-sided package (e.g. box) will be automatically scanned and decoded when passed through the 3-D scanning volume using the conveyor subsystem.

20 A system, wherein the laser scanning subsystems comprise holographic laser scanning subsystems, and also polygonal-type laser scanning subsystems for reading bar code symbols facing the conveyor surface.

25 A system, wherein each holographic laser scanning subsystem employed in the tunnel scanning subsystem comprises a device for generating information specifying which holographic scanning facet or holographic facet sector (or segment) produced the laser scan  
30 data used to read any bar code symbol by the subsystem.

35 A system, wherein each non-holographic (e.g. polygonal-type and CCD camera type) laser scanning subsystem employed in the tunnel scanning subsystem comprises a device for generating information specifying which mirror facet or mirror sector produced the laser scan data used to read any bar code symbol by the subsystem.

40 A system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a scan beam geometry modeling subsystem for producing, relative to a local coordinate reference system symbolically embedded within the laser scanning  
45 subsystem, coordinate information comprising a geometric model of each laser scanning beam used to read a particular bar code symbol for which symbol character data has been produced by the laser scanning subsystem.

50 A system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a first homogeneous transformation module for converting the



5 coordinate information comprising the geometric model of each laser scanning beam used to  
read a particular bar code symbol on a detected package, from the local coordinate reference  
system symbolically embedded within the laser scanning subsystem, to a global coordinate  
10 reference system symbolically embedded within the tunnel-type scanning system.

A system, wherein the data element queuing, handling and processing subsystem provided  
therein further comprises a package surface modeling subsystem for producing, relative to a  
15 local coordinate reference system symbolically embedded within the laser scanning  
subsystem, coordinate information comprising a geometric model of each surface on each  
package detected by the package detection and dimensioning subsystem.

20 A system, wherein the data element queuing, handling and processing subsystem provided  
therein further comprises a second homogeneous transformation module for converting the  
coordinate information comprising the geometric model of each surface on a detected  
25 package, from the local coordinate reference system symbolically embedded within the laser  
scanning subsystem, to a global coordinate reference system symbolically embedded within  
the tunnel-type scanning system.

30 A system, wherein a laser scan beam and package surface intersection determination  
subsystem is provided for determining which detected package was scanned by the laser  
scanning beam that read a particular bar code symbol, and for linking (i.e. correlating)  
35 package measurement data associated with the detected package with package identification  
data associated with the laser scanning beam that read a bar code symbol on a detected  
package.

40 A system with a package velocity measurement subsystem for measuring the velocity of the  
package as it moves from the package detection and dimensioning subsystem through the  
laser scanning tunnel subsystem of the system.

45 A system, wherein the package velocity measurement subsystem is realized as an integral part  
of the LADAR-based imaging, detecting and dimensioning subsystem.

5 A system, wherein a package weighing-in-motion subsystem is provided for weighing singulated packages moving through the package detection and dimensioning subsystem, and producing weight measurement information for assignment to each detected package.

10 A package identification and measuring system, wherein singulated packages can be detected, dimensioned, weighed, and identified in a fully automated manner without human intervention, while being transported through a laser scanning tunnel subsystem using a  
15 package conveyor subsystem.

Another object of the present invention is to provide such a system, wherein the tunnel scanning subsystem provided therein comprises a plurality of laser scanning  
20 subsystems, and each such laser scanning subsystem is capable of automatically generating, for each bar code symbol read by the subsystem, accurate information indicative of the precise point of origin of the laser scanning beam and its optical path to the read the bar code symbol, as well as symbol character data representative of the read bar code symbol.

25 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a scan beam geometry modeling subsystem for producing, relative to a local coordinate reference system symbolically embedded within the laser scanning subsystem, coordinate  
30 information comprising a geometric model of each laser scanning beam used to read a particular bar code symbol for which symbol character data has been produced by the laser scanning subsystem.

35 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a first homogeneous transformation module for converting the coordinate information comprising the geometric model of each laser scanning beam used to read a particular bar  
40 code symbol on a detected package, from the local coordinate reference system symbolically embedded within the laser scanning subsystem, to a global coordinate reference system symbolically embedded within the tunnel-type scanning system.

45 Another object of the present invention is to provide such a system, wherein the data element queuing, handling and processing subsystem provided therein further comprises a package surface modeling subsystem for producing, relative to a local coordinate reference system symbolically embedded within the laser scanning subsystem, coordinate information  
50 comprising a geometric model of each surface on each package detected by the package detection and dimensioning subsystem.

5 Another object of the present invention is to provide such a system, wherein the data  
element queuing, handling and processing subsystem provided therein further comprises a  
second homogeneous transformation module for converting the coordinate information  
10 comprising the geometric model of each surface on a detected package, from the local  
coordinate reference system symbolically embedded within the laser scanning subsystem, to a  
global coordinate reference system symbolically embedded within the tunnel-type scanning  
system.

15 Another object of the present invention is to provide such a system, wherein a laser  
scan beam and package surface intersection determination subsystem is provided for  
determining which detected package was scanned by the laser scanning beam that read a  
particular bar code symbol, and for linking (i.e. correlating) package measurement data  
20 associated with the detected package with package identification data associated with the  
laser scanning beam that read a bar code symbol on a detected package.

25 Another object of the present invention is to provide such a system with a package  
velocity measurement subsystem for measuring the velocity of the package as it moves from  
the package detection and dimensioning subsystem through the laser scanning tunnel  
subsystem of the system.

30 Another object of the present invention is to provide such a system, wherein  
the package detection and dimensioning subsystem provided on the input side of the  
laser scanning tunnel subsystem comprises a laser scanning mechanism that generates  
an amplitude modulated laser scanning beam that is scanned across the width of the  
35 conveyor structure in the package conveyor subsystem while the scanning beam is  
disposed substantially perpendicular to the surface of the conveyor structure, and  
light reflected from scanned packages is collected, detected and processed to produce  
information representative of the package height profile across the width of the  
40 conveyor structure for each timing sampling instant carried out by the package  
detection and dimension subsystem.

45 Another object of the present invention is to provide a LADAR-based package  
imaging, detecting and dimensioning subsystem for imaging and/or profiling packages  
transported thereby a substantially constant velocity..

50 Another object of the present invention is to provide such a LADAR-based imaging,  
detecting and dimensioning subsystem, wherein a synchronized amplitude-modulated laser  
beam is automatically produced and scanned across the width of a conveyor belt structure

5 and, during each scan thereacross, detects and processes the reflected laser beam in order to capture a row of raw range (and optionally reflection-intensity) information that is referenced with respect to a polar-type coordinate system symbolically-embedded within the LASAR-based imaging, detecting and dimensioning subsystem.

10 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein captured rows of range data are continuously loaded into a preprocessing data buffer, one row at a time, and processed in real-time using window-type convolution kernals that smooth and edge-detect the raw range data and thus  
15 improve its quality for subsequent dimension data extraction operations.

Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein detected background information (including  
20 noise) is automatically subtracted from consecutively captured rows of smoothed/edge-detected range data to provide a range data map of the space above the conveyor belt, for use in carrying out package dimension data extraction operations involving the same.

25 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein two-dimensional image contour tracing techniques are used to detect image contours within the buffered range data map, indicative of packages being transported thereby.

30 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem automatically processes the indices (m,n) of the computed contours in order to detect possible vertices associated with polygonal-shaped objects extracted from the range data map, which are representative of packages or like  
35 objects being transported by the subsystem.

Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of the vertices  
40 associated with the computed contours are automatically processed in order to detect candidates for corner points associated with the corners of packages transported by the subsystem.

45 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of detected corner point candidates are automatically processed in order to reduce those corner point candidates down to those most likely to be the corners of a regular-shaped polygonal object (e.g. six  
50 sided box).

5 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of the corner points extracted from the range data map are automatically processed in order to compute the surface area of the package represented by the contours traced therein.

10 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of the corner points extracted from the range data map are automatically processed in order to compute the x,y and z coordinates corresponding to the corners of the package represented by the contours traced therein, referenced relative to a Cartesian-type global coordinate reference system symbolically embedded within the automated package identification and measuring subsystem.

20 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein the m and n indices of the corner points extracted from the range data map are automatically processed in order to compute the average height of the package represented by the contours traced therein, referenced relative to the Cartesian-type global coordinate reference system.

25 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein a polygonal-type laser scanning mechanism is used to scan an amplitude-modulated laser beam across the width of the conveyor belt.

30 Another object of the present invention is to provide such a LADAR-based imaging, detecting and dimensioning subsystem, wherein a holographic-type laser scanning mechanism is used to scan an amplitude-modulated laser beam across the width of the conveyor belt.

35 A dual-beam LADAR-based imaging, detecting and dimensioning subsystem integrated within the housing of a unitary package identification and dimensioning system.

40 A dual-beam LADAR-based imaging, detecting and dimensioning subsystem comprising retro-reflective beam-steering mirrors for mounting on opposite sides of a conveyor belt.

45 A dual-beam LADAR-based imaging, detecting and dimensioning subsystem of the present invention, comprising:

50 an multi-sided polygonal scanning element, mounted on an optical bench within the subsystem housing, for generating a pair of amplitude modulated laser beams (from a pair of

5 laser beam production modules) which are projected along a pair of spaced-apart scanning planes through a light transmission aperture formed in the subsystem housing, a light  
collecting mirror mounted on the optical bench for collecting reflected laser light off a  
10 scanned object (e.g. package) and focusing the same to a focal point located on the surface of a stationary planar mirror mounted on the optical bench, and an avalanche-type  
photodetector mounted on the optical bench for detecting laser light focused onto the  
stationary planar mirror and producing an electrical signal corresponding thereto, signal  
15 processing circuitry for processing the produced electrical signal and generating raw digital range data representative of the distance from the polygonal scanning element to sampled  
points along the scanned object (as well digital scan data representative of any bar code  
symbol the scanned surface of the object), and a programmed digital image data processor for  
20 preprocessing the raw digital range data and removing background information components,  
and for processing the preprocessed range data so as to extract therefrom information  
regarding the dimensions (e.g. area, height, length, width and vertices) of the scanned object  
and produce data representative thereof as well as the velocity of the dimensioned package.  
25

A dual-beam LADAR-based imaging, detecting and dimensioning subsystem comprising:  
30 means for producing a pair of laser scanning beams for projection through a  
holographic spatial filter causing a plurality of modulated laser beams to be simultaneously  
projected over the conveyor belt surface at different angular spacings to achieve a desired  
degree of spatial sampling of the conveyor belt surface and objects transported therealong,  
35 while a rotating eight-sided polygon scanning element is used to create a moving field of  
view (FOV) across the illuminated conveyor belt.

40 A dual-beam LADAR-based imaging, detecting and dimensioning subsystem comprising:  
a holographic scanning disc, rotatably mounted on an optical bench within the  
subsystem housing, for generating a pair of amplitude modulated laser beams having multi-  
wavelengths (produced from a pair of laser beam production module) and projected along a  
45 pair of spaced-apart scanning planes (along multiple depths of focus) through a light  
transmission aperture formed in the subsystem housing, a parabolic light collecting mirror  
mounted beneath the holographic scanning disc for collecting reflected laser light off a  
scanned object (e.g. package) and focusing the same to an avalanche-type photodetector  
50 mounted above the scanning disc, and producing an electrical signal corresponding thereto,

5 signal processing circuitry for processing the produced electrical signal and generating raw  
digital range data representative of the distance from the polygonal scanning element to  
sampled points along the scanned object (as well digital scan data representative of any bar  
10 code symbol the scanned surface of the object), and a programmed digital image data  
processor for preprocessing the raw digital range data and removing background information  
components, and for processing the preprocessed range data so as to extract therefrom  
information regarding the dimensions (e.g. area, height, length, width and vertices) of the  
15 scanned object and produce data representative thereof as well as the velocity of the  
dimensioned package.

20 A dual-beam LADAR-based subsystem comprising:

a mechanism for generating a pair of laser scanning for projection perpendicular to the  
surface of a conveyor belt along the entire length thereof, using a cylindrical-type focusing  
element (i.e. cylindrical holographic optical element HOE).

25 A package-in-the-tunnel (PITT) indication subsystem comprising:

a LADAR-based imaging, detecting and dimensioning subsystem, wherein the extreme  
portion of one of the amplitude modulated (AM) laser scanning beams produced by said  
30 LADAR-based imaging, detecting and dimensioning subsystem is used to generate an object  
sensing beam is reflected across the width of the conveyor belt of the system, is reflected off a  
mirror on the opposite side of the conveyor belt, and is detected at a prespecified "time  
window" and processed in effort to detect the presence or absence of packages being  
35 transported along the conveyor belt.

40 A method of package (i.e. object) detection along a conveyor belt using a portion of the  
amplitude-modulated laser scanning beam generated by the LADAR-based imaging,  
detecting, and dimensioning subsystem of the present invention.

45 A method of deriving from a selected portion of a AM laser scanning beam generated by the  
LADAR-based subsystem of the present invention, and a time-windowed portion of which is  
digitally processed by a FIR-type digital filter so as to compute a first derivative signal thereof  
which is then compared against threshold values to determine whether or not a package is  
50 present or absent from the conveyor belt over the time period (i.e. time window) of interest,

5 A LADAR-based imaging, detecting and dimensioning subsystem comprising a start-of-object-sensing cycle (SOSC) pulse generation circuit; a LADAR-based photo-detection circuit; an analog object detection circuit; and controller circuit with object detection window signal generation capabilities.

10 The system above wherein said analog object detection circuit performs a first derivative function on the analog intensity varying object sensing signal over a prespecified/controlled time period (i.e. time-window), and thresholds the first derivative signal to determine  
15 whether or not an package is present or absent from the conveyor belt over the time-window and generates a digital output signal to indicate the state of such determinations.

20 A tunnel-type laser scanning package identification and weighing system comprising:  
a high speed conveyor belt;  
25 a LADAR-based imaging, detecting and dimensioning subsystem; AND and AN automatic bar code symbol reading system employing a 1-D (i.e. linear) CCD-based scanning array below which a light focusing lens is mounted for imaging bar coded packages transported therebeneath and decode processing to read such bar code symbols in a fully  
30 automated manner without human intervention.

35 An automated tunnel-type laser scanning package identification and weighing system comprising:  
a high speed conveyor belt;  
a LADAR-based package imaging, detecting and dimensioning subsystem,  
40 a low-resolution CCD camera to locate the x,y position of labels on scanned packages;  
an automatic bar code symbol reading system comprising a stationarily-mounted light focusing lens mounted below a vertically-translatable 2-D CCD-based high-resolution scanning array controlled by package height information obtained from the LADAR-based  
45 package imaging, detecting and dimensioning subsystem; and  
a pair of orthogonally-mounted field-of-view (FOV) steerable mirrors mounted beneath the 2-D CCD-based scanning array and controlled by the x,y coordinates collected  
50 by the low-resolution CCD camera, so as to scan detected package labels, collect high-resolution scan data therefrom, and decode processing the same so as to read bar code



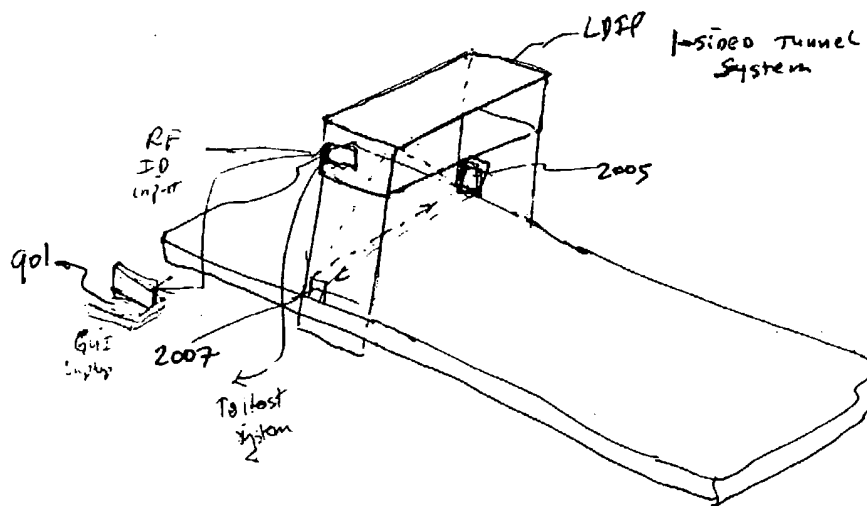


FIG 1A

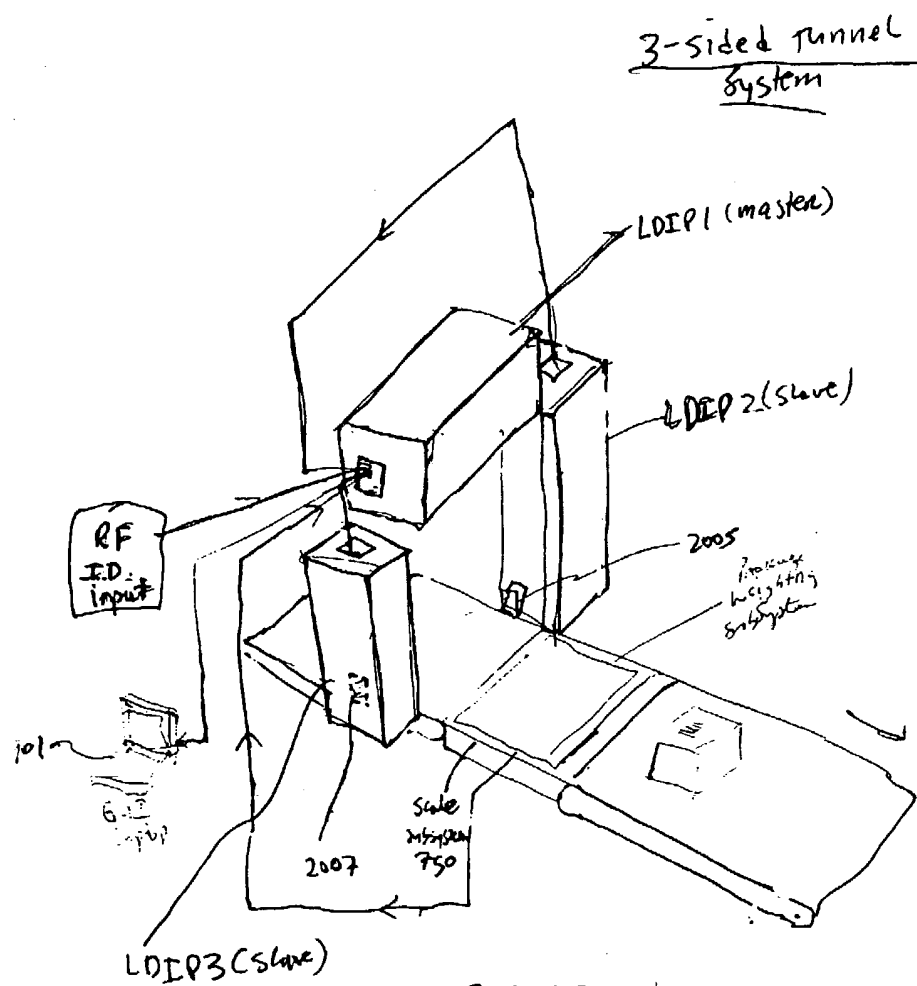
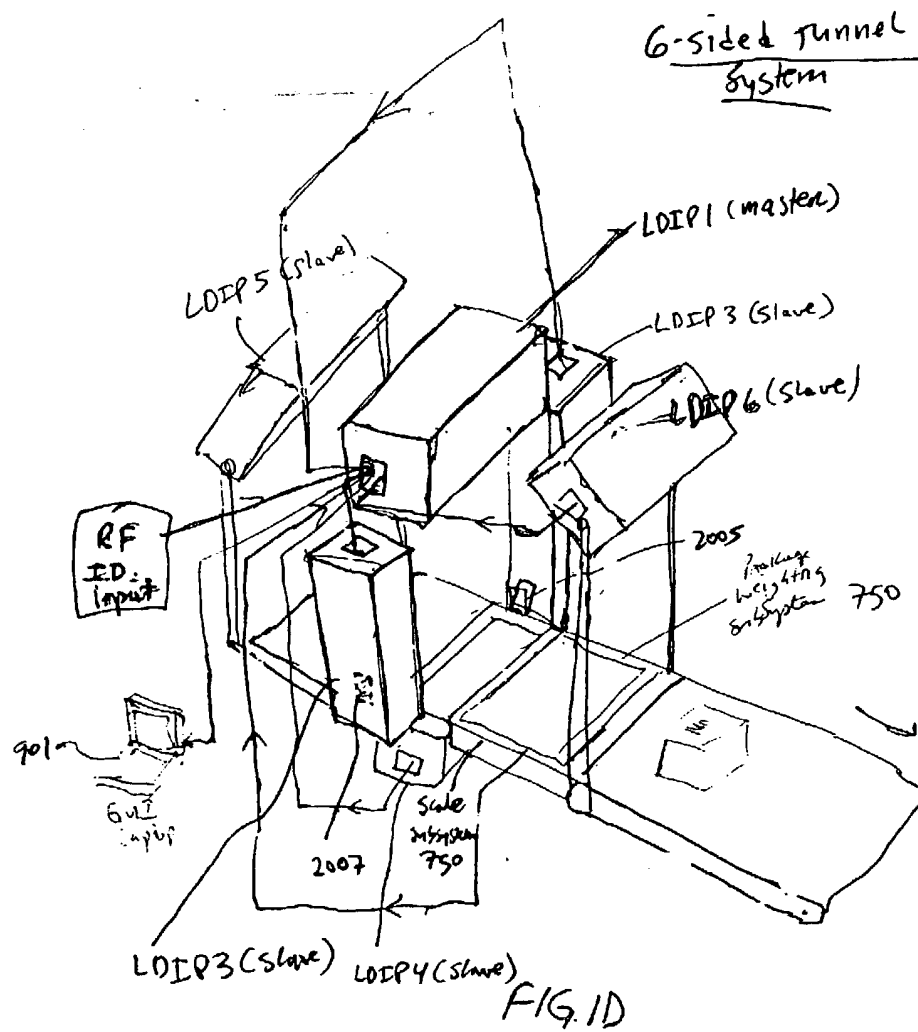


FIG. 1B





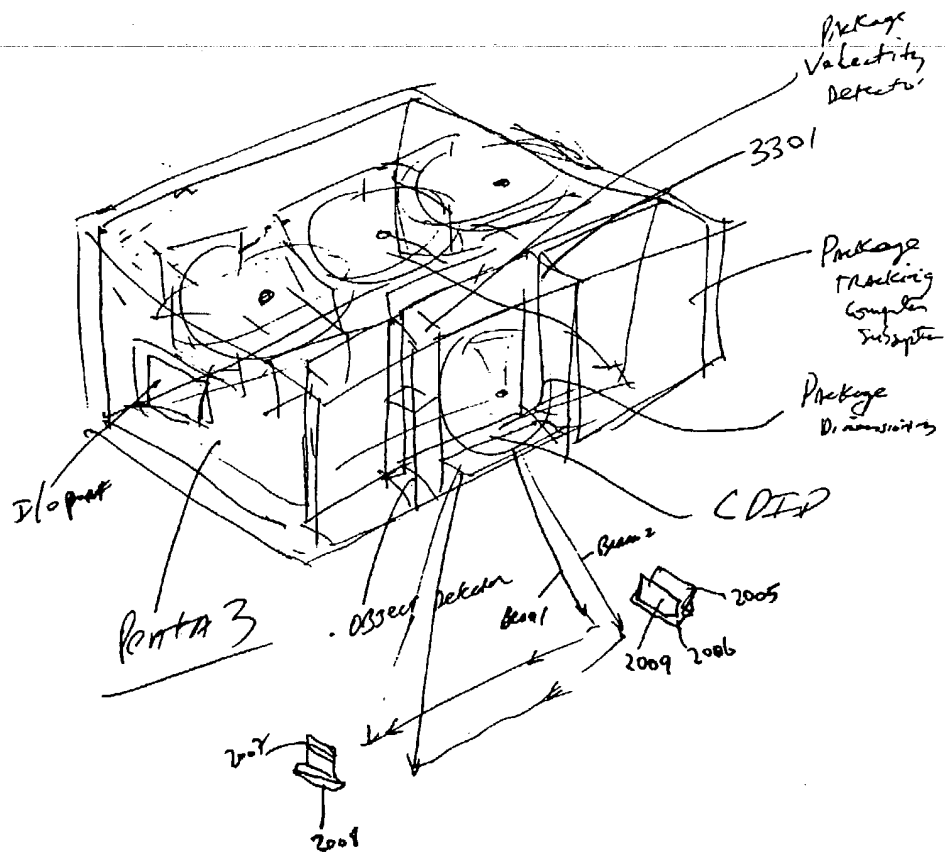


FIG. 2A

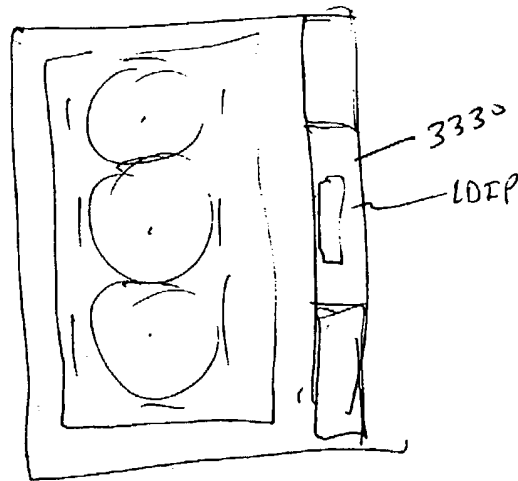
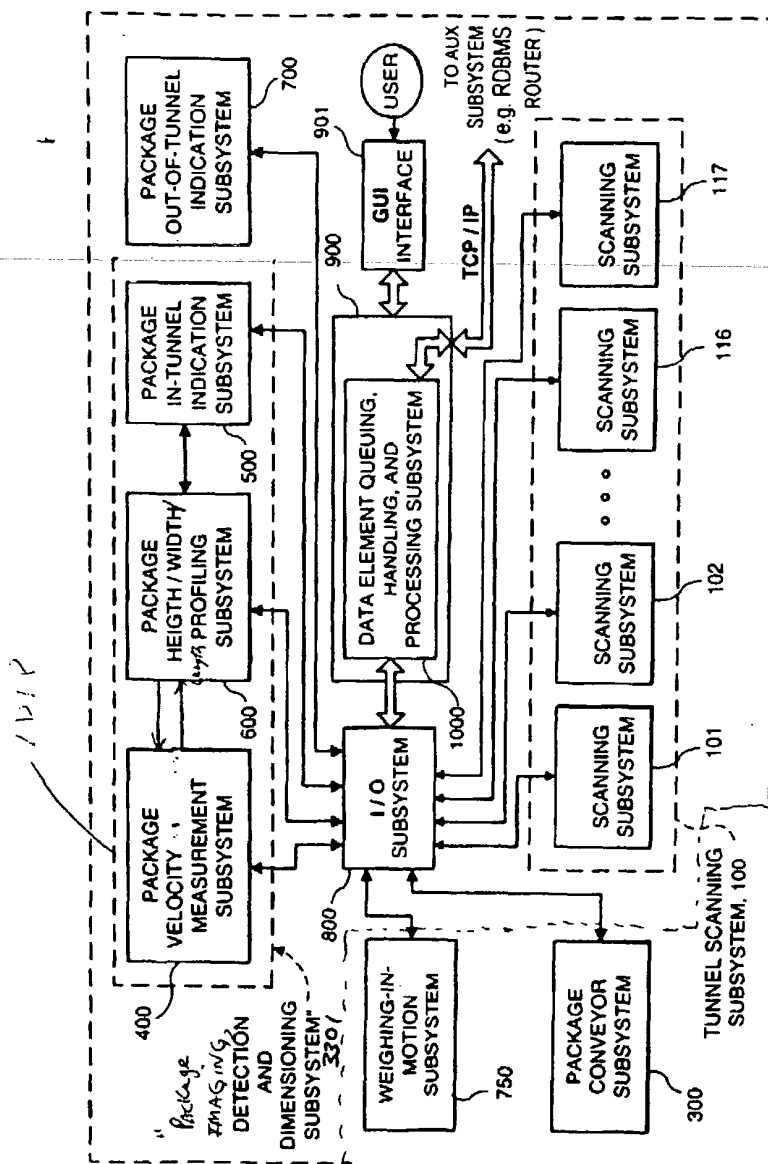


FIG. 2B



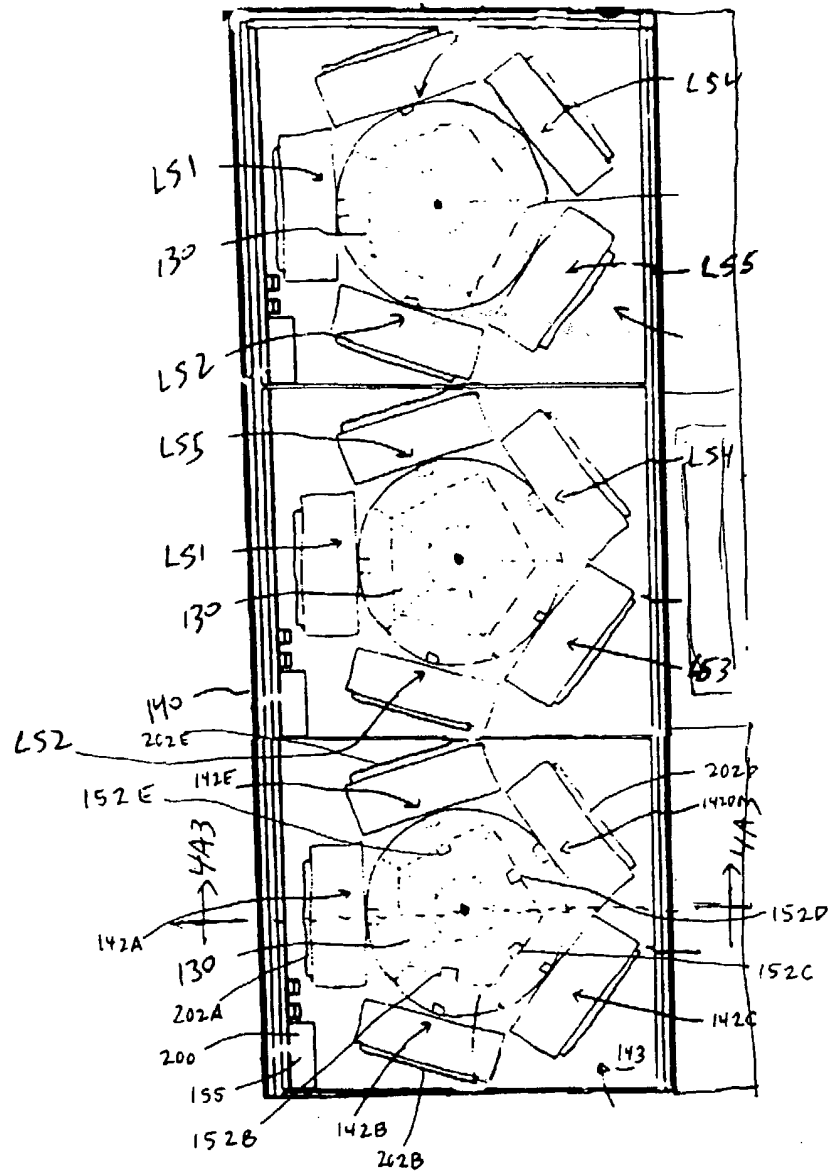


FIG. 4A1





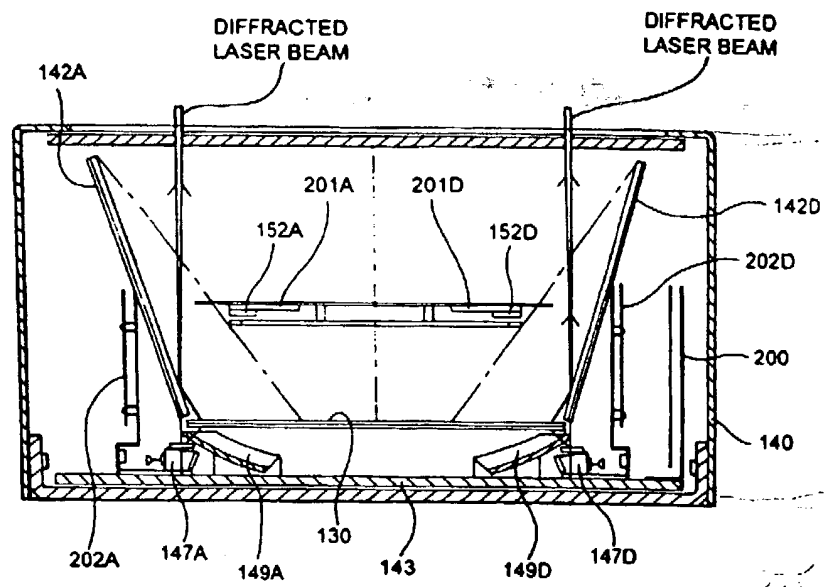


FIG. 4A3

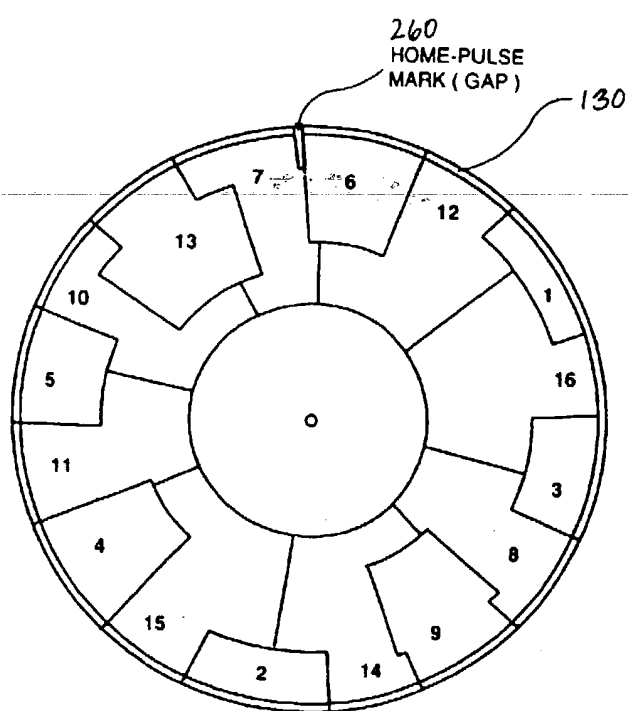


FIG. 4A4

| FACET | DIFFRACTION<br>FOCAL LENGTH<br>(INCHES) | GEOMETRICAL<br>FOCAL LENGTH<br>(INCHES) | ANGLE A<br>(DEGREE) | ANGLE B<br>(DEGREES) | ANGLE OF<br>DIFFRACTION<br>(DEGREES) | ANGLE OF<br>BEAM FROM<br>VERTICAL<br>(DEGREES) | SCAN<br>ANGLE<br>(DEGREES) | SCAN<br>MULT.<br>FACTOR<br>(m) | ROTATION<br>ANGLE<br>(DEGREES) |
|-------|---|---|---------------------|----------------------|--------------------------------------|--|----------------------------|--------------------------------|--------------------------------|
| 1     | 49.57                                   | 49.76                                   | 45.9                | 61.06                | 28.94                                | -3.06  | 29.61                      | 1.26                           | 23.51                          |
| 2     | 49.54                                   | 49.73                                   | 45.9                | 55.62                | 34.38                                | 2.38   | 29.62                      | 1.34                           | 22.10                          |
| 3     | 49.96                                   | 50.16                                   | 45.9                | 50.23                | 39.77                                | 7.77   | 29.39                      | 1.41                           | 20.77                          |
| 4     | 50.81                                   | 51.01                                   | 45.9                | 44.97                | 45.03                                | 13.03  | 28.92                      | 1.48                           | 19.52                          |
| 5     | 49.57                                   | 49.76                                   | 45.9                | 61.06                | 28.94                                | -3.06  | 29.61                      | 1.26                           | 23.51                          |
| 6     | 49.54                                   | 49.73                                   | 45.9                | 55.62                | 34.38                                | 2.38   | 29.62                      | 1.34                           | 22.10                          |
| 7     | 49.96                                   | 50.16                                   | 45.9                | 50.23                | 39.77                                | 7.77   | 29.39                      | 1.41                           | 20.77                          |
| 8     | 50.81                                   | 51.01                                   | 45.9                | 44.97                | 45.03                                | 13.03  | 28.92                      | 1.48                           | 19.52                          |
| 9     | 59.06                                   | 59.38                                   | 45.9                | 60.56                | 29.44                                | -2.56  | 25.01                      | 1.25                           | 19.94                          |
| 10    | 59.04                                   | 59.36                                   | 45.9                | 56.00                | 34.00                                | 2.00   | 25.02                      | 1.32                           | 18.93                          |
| 11    | 59.39                                   | 59.72                                   | 45.9                | 51.47                | 38.53                                | 6.53   | 24.88                      | 1.39                           | 17.96                          |
| 12    | 60.10                                   | 60.44                                   | 45.9                | 47.01                | 42.99                                | 10.99  | 24.59                      | 1.44                           | 17.04                          |
| 13    | 59.06                                   | 59.38                                   | 45.9                | 60.56                | 29.44                                | -2.56  | 25.01                      | 1.25                           | 19.94                          |
| 14    | 59.04                                   | 59.36                                   | 45.9                | 56.00                | 34.00                                | 2.00   | 25.02                      | 1.32                           | 18.93                          |
| 15    | 59.39                                   | 59.72                                   | 45.9                | 51.47                | 38.53                                | 6.53   | 24.88                      | 1.39                           | 17.96                          |
| 16    | 60.10                                   | 60.44                                   | 45.9                | 47.01                | 42.99                                | 10.99  | 24.59                      | 1.44                           | 17.04                          |

FIG. 4A5

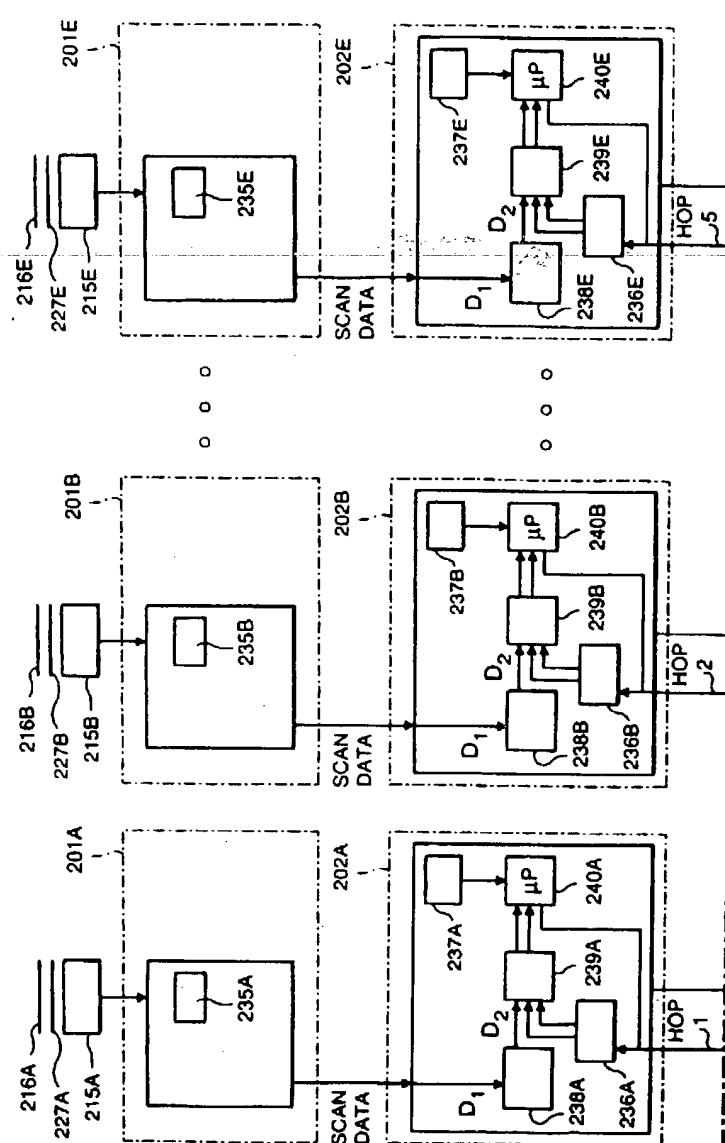


FIG. 5A

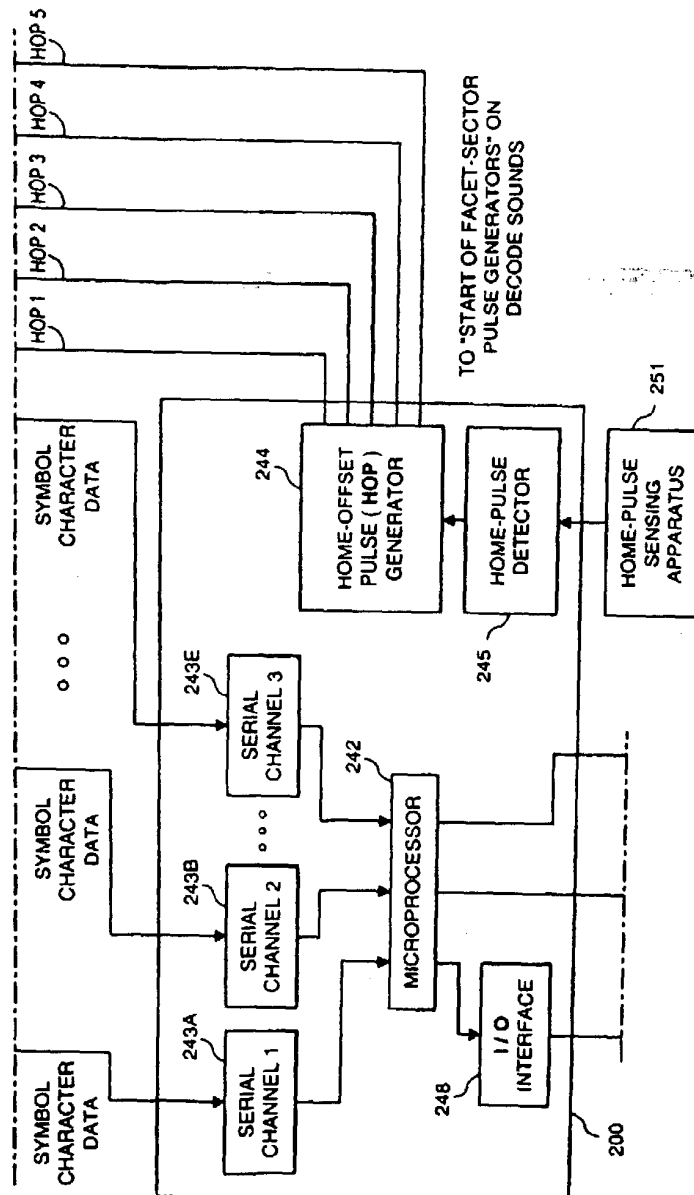


FIG. 5B

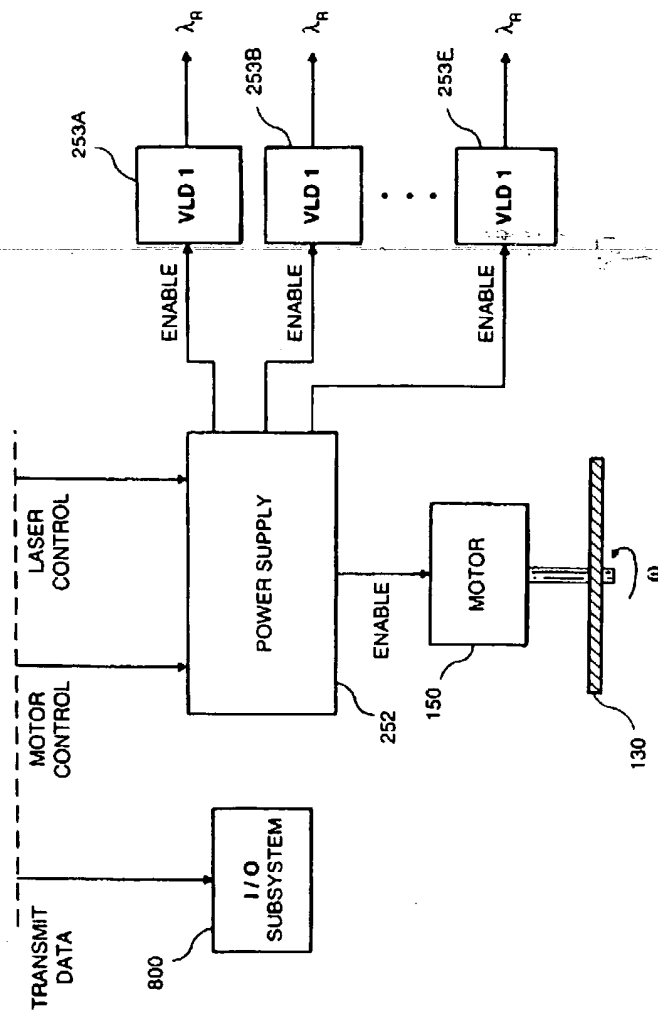


FIG. 4A6C 5c

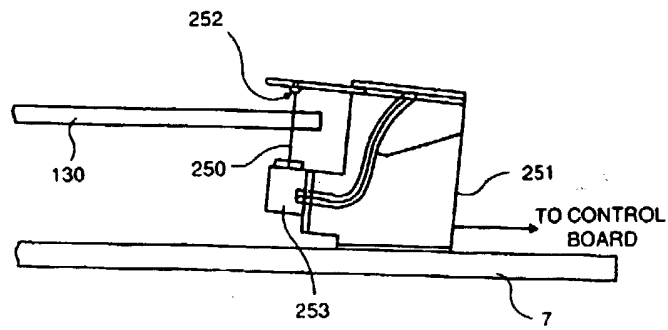


FIG. 6A

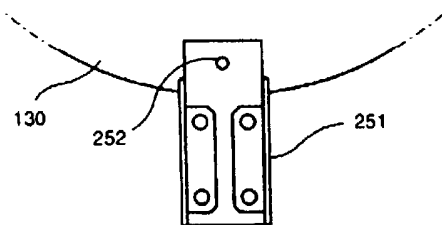
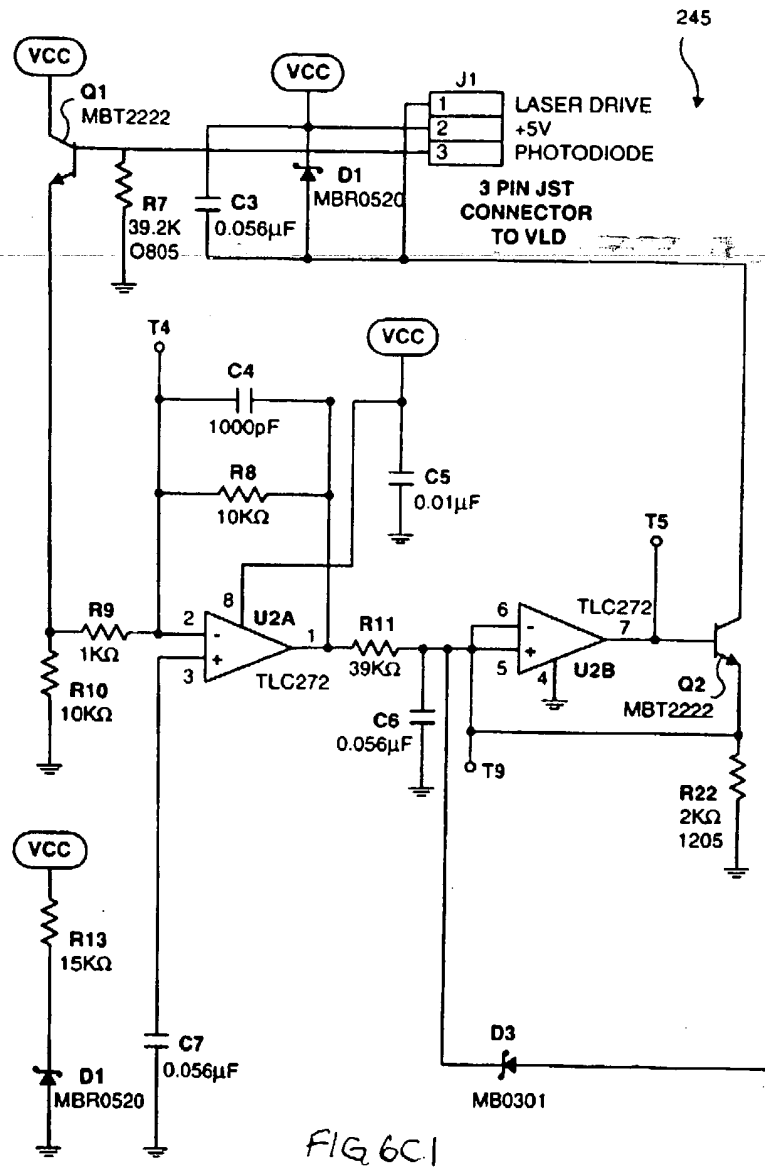


FIG. 6B





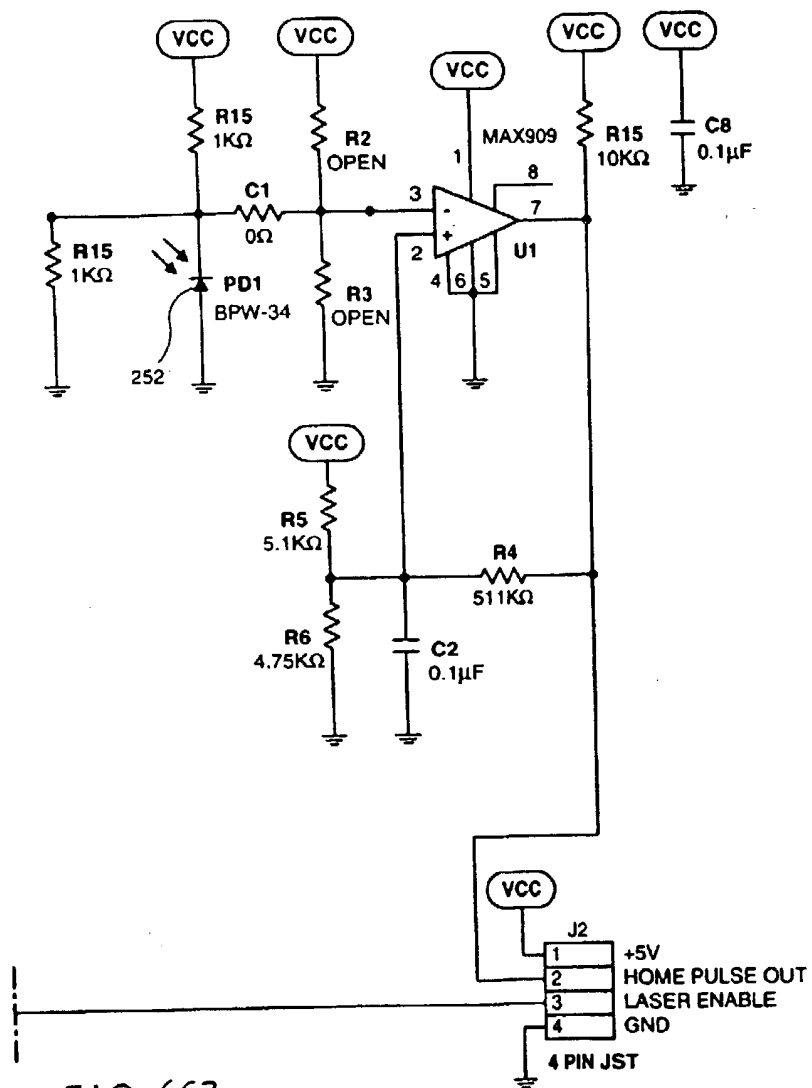


FIG. 6C2.

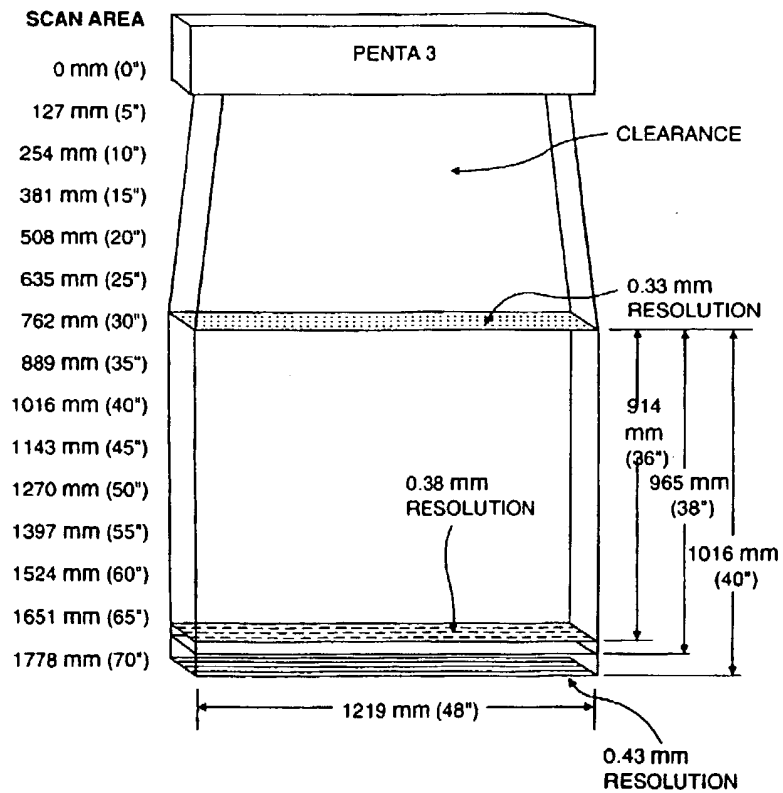
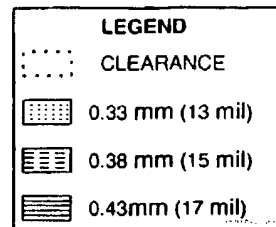


FIG. 7A

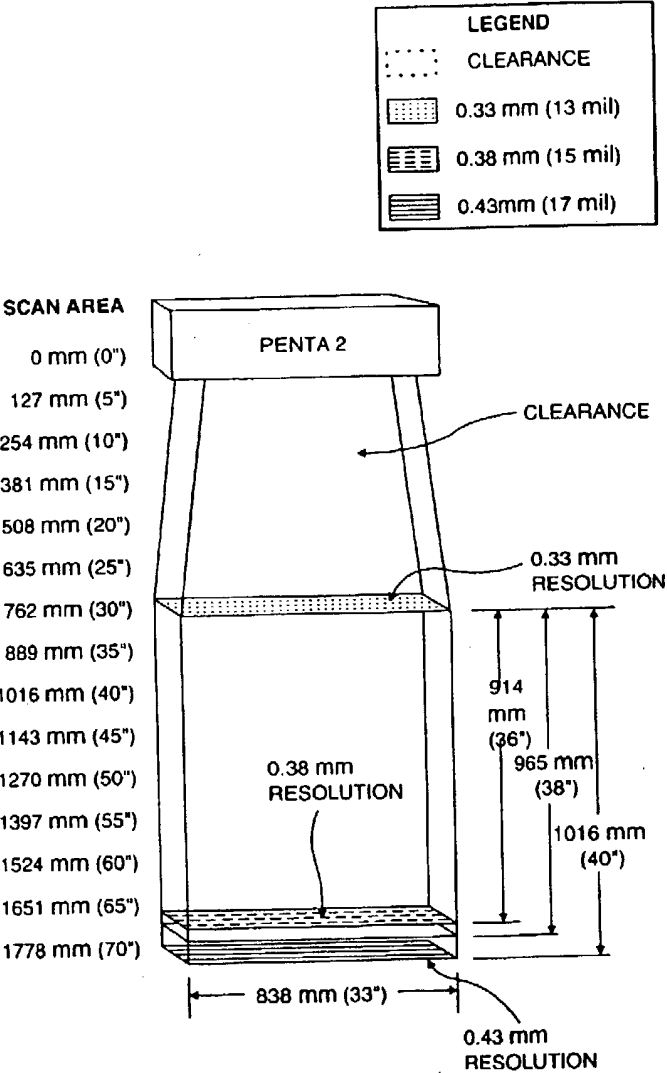


FIG. 7B

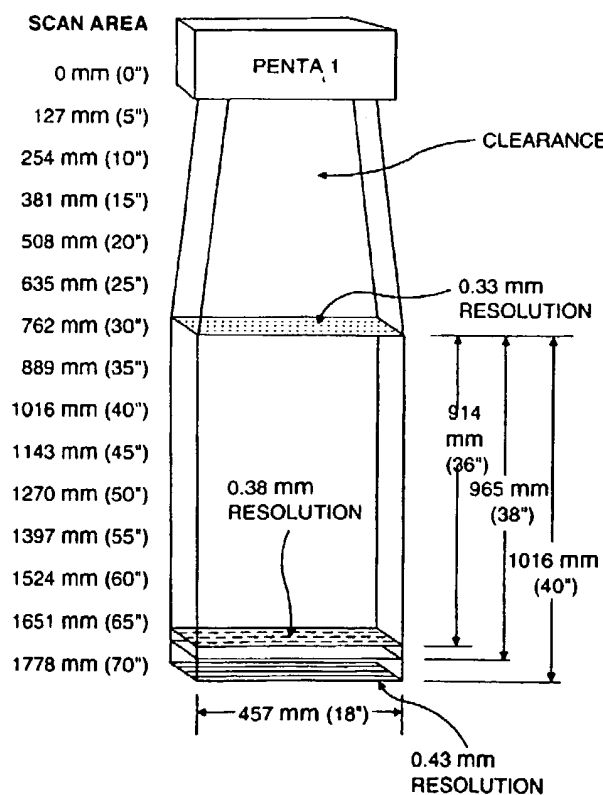
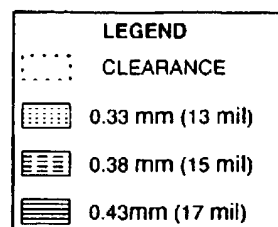


FIG. 7C

| SPECIFICATIONS FOR PENTA 1, PENTA 2, AND PENTA 3 SCANNERS |  |
|---|--|
| OPERATIONAL   |  |
| LIGHT SOURCE  | 5 VISIBLE LASER DIODES 858 + 5 nm  |
| LASER POWER   | 8.4 mW (PEAK): LESS THEN 1 mW AVERAGE POWER  |
| DEPTH OF SCAN FIELD                                       | 914 mm (36") FOR 0.33 mm (13 mil) BAR CODES<br>965 mm (38") FOR 0.38 mm (15 mil) BAR CODES<br>1,016 mm (40") FOR 0.43 mm (17 mil) BAR CODES  |
| WIDTH OF SCAN FIELD                                       | PENTA 1: 457 mm (18")<br>PENTA 2: 838 mm (33")<br>PENTA 3: 1,219 mm (48")  |
| SCAN SPEED  | PENTA 1: 6,930 scan lines per second<br>PENTA 2: 13,860 scan lines per second<br>PENTA 3: 20,790 scan lines per second   |
| SCAN PATTERN  | OMNIDIRECTIONAL FIVE-SIDED PENTAGON SCAN PATTERN<br>PENTA 1: 20 SCAN LINES REPEATED AT FOUR DISTANCES (80 TOTAL)<br>PENTA 2: 40 SCAN LINES REPEATED AT FOUR DISTANCES (160 TOTAL)<br>PENTA 3: 60 SCAN LINES REPEATED AT FOUR DISTANCES (240 TOTAL) |
| MINIMUM BAR WIDTH   | 0.33 mm (13 mil)   |
| DECODE CAPABILITY   | AUTODISCRIMINATES ALL STANDARD BAR CODES   |
| SYSTEM INTERFACES   | RS232. POINT TO POINT. RS422. LIGHT PEN EMULATION  |
| PRINT CONTRAST  | 35% MINIMUM REFLECTANCE DIFFERENCE   |
| NUMBER CHARACTERS READ                                    | UP TO 60 DATA CHARACTERS<br>(MAXIMUM NUMBER WILL VARY BASED ON SYMBOLOGY AND DENSITY)  |
| ASPECT RATIO  | UP TO 2.6 : 1  |

FIG 8

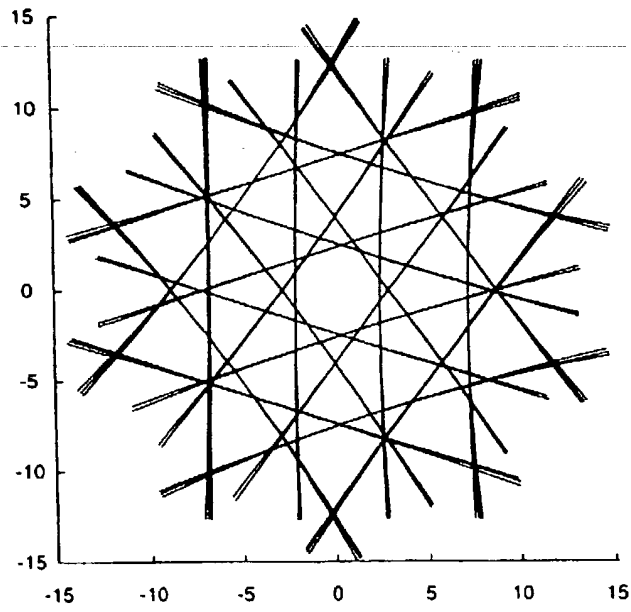


FIG. 9A

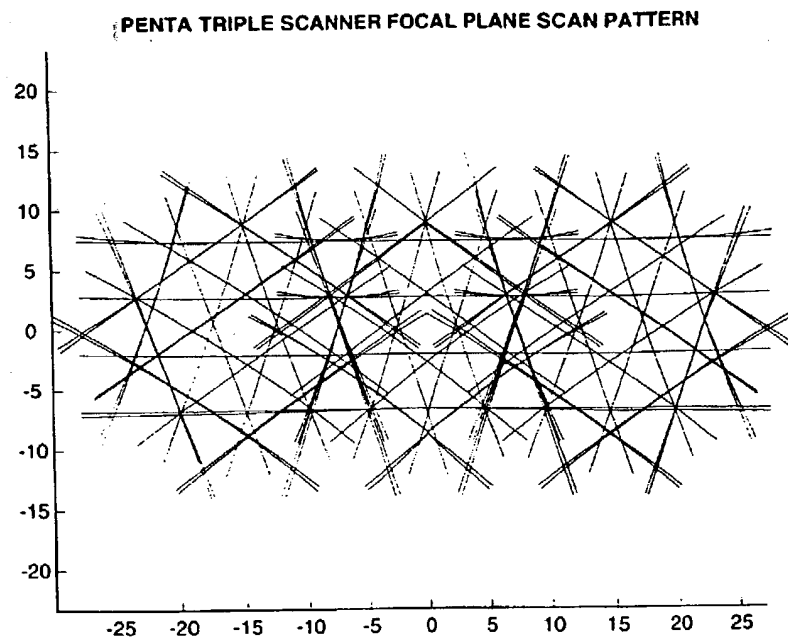


FIG. 9B



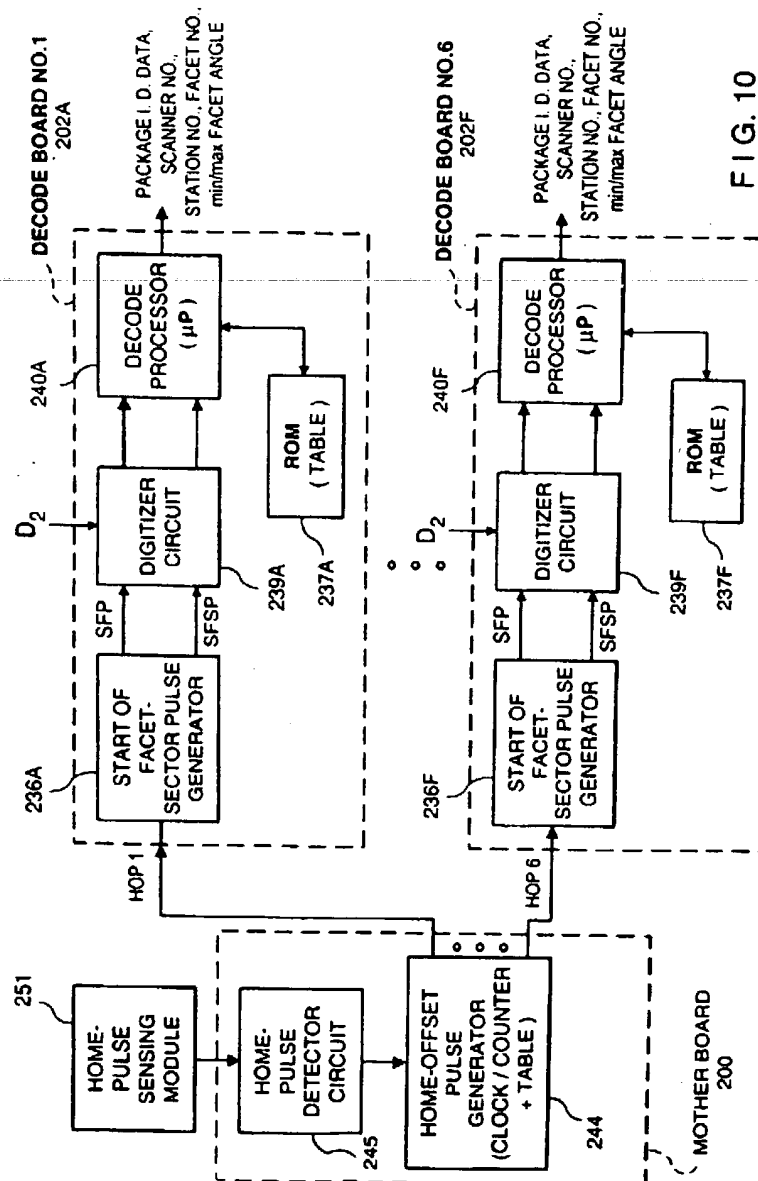


FIG. 10

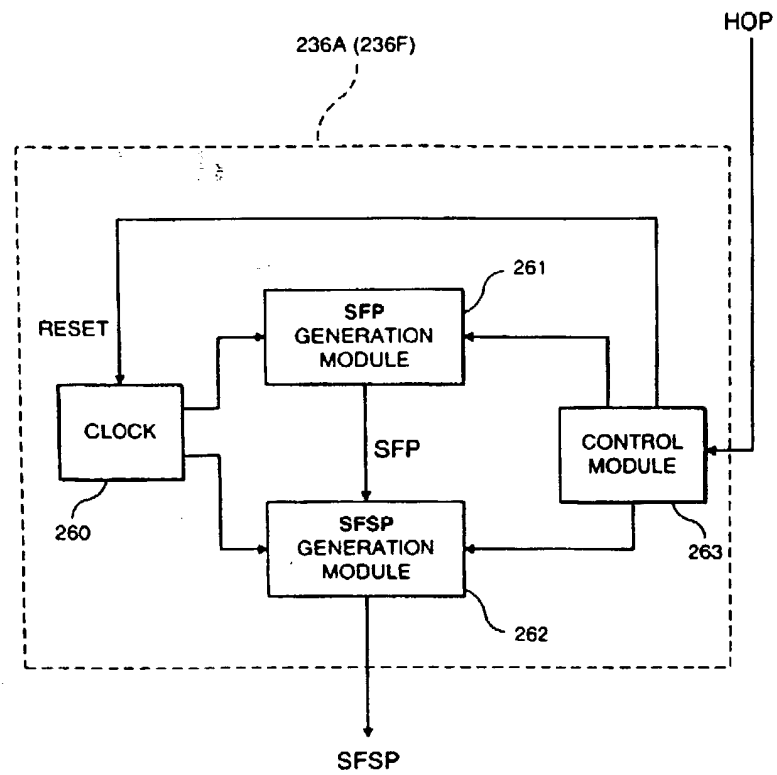


FIG. 10A

**DATA TABLE EMBODIED IN SFP GENERATOR ON  
DECODE PROCESSOR BOARD**

| SCANNING<br>FACET<br>NO. | TRIGGERING EVENT: WHEN THE CLOCK<br>PULSE COUNT ATTAINS THE VALUE EQUAL TO<br>THE COUNT VALUE SET FORTH BELOW | PULSE EVENT<br>FROM SFP<br>MODULE |
|--------------------------|---|-----------------------------------|
| 12                       | 7   | SF12P                             |
| 16                       | 146   | SF16P                             |
| 4                        | 271   | SF4P                              |
| 20                       | 446   | SF20P                             |
| 8                        | 561   | SF8P                              |
| 11                       | 716   | SF11P                             |
| 15                       | 855   | SF15P                             |
| 3                        | 980   | SF3P                              |
| 19                       | 1155  | SF19P                             |
| 7                        | 1270  | SF7P                              |
| 10                       | 1425  | SF10P                             |
| 14                       | 1564  | SF14P                             |
| 2                        | 1689  | SF2P                              |
| 18                       | 1864  | SF18P                             |
| 6                        | 1979  | SF6P                              |
| 9                        | 2134  | SF9P                              |
| 13                       | 2273  | SF13P                             |
| 1                        | 2398  | SF1P                              |
| 17                       | 2573  | SF17P                             |
| 5                        | 2688  | SF5P                              |

W =  
5200 RPM

CLOCK  
PULSE  
WIDTH =  
4  $\mu$ SEC

**FIG. 10B**

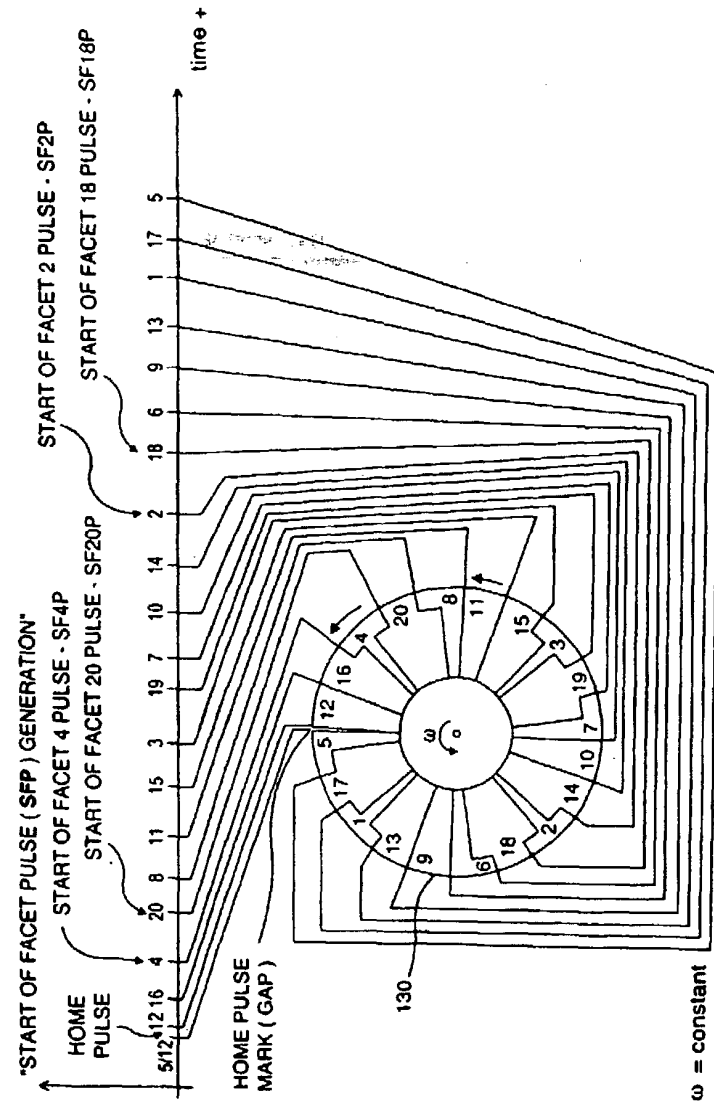


FIG. 10C

TABLE EMBODIED IN SFSP GEERATOR DECODE  
PROCESSOR BOARD

| SCANNING<br>FACET<br>NO. | <u>SFSP TRIGGERING EVENT</u> | PULSE EVENT<br>FROM SFSP<br>MODULE |
|--------------------------|------------------------------|------------------------------------|
| 12                       | RULES 1 - 4 IN FIGS.         | SFS 12/1P                          |
|                          |                              | SFS 12/2P                          |
|                          |                              | SFS 12/3P                          |
|                          |                              | SFS 12/4P                          |
| 16                       | RULES 1-4 IN FIGS.           | SFS 16/1P                          |
|                          |                              | SFS 16/2P                          |
|                          |                              | SFS 16/3P                          |
|                          |                              | SFS 16/4P                          |
| 4                        | RULES 1-4 IN FIGS.           | SFS 4/1P                           |
|                          |                              | SFS 4/2P                           |
|                          |                              | SFS 4/3P                           |
|                          |                              | SFS 4/4P                           |
| 20                       | RULES 1-4 IN FIGS.           | SFS 20/1P                          |
|                          |                              | SFS 20/2P                          |
|                          |                              | SFS 20/3P                          |
|                          |                              | SFS 20/4P                          |
| 8                        | RULES 1-4 IN FIGS.           | SFS 8/1P                           |
|                          |                              | SFS 8/2P                           |
|                          |                              | SFS 8/3P                           |
|                          |                              | SFS 8/4P                           |
| 11                       | RULES 1-4 IN FIGS.           | SFS 11/1P                          |
|                          |                              | SFS 11/2P                          |
|                          |                              | SFS 11/3P                          |
|                          |                              | SFS 11/4P                          |
| ⋮                        |                              |                                    |
| 17                       | RULES 1-4 IN FIGS.           | SFS 17/1P                          |
|                          |                              | SFS 17/2P                          |
|                          |                              | SFS 17/3P                          |
|                          |                              | SFS 17/4P                          |
| 5                        | RULES 1-4 IN FIGS.           | SFS 5/1P                           |
|                          |                              | SFS 5/2P                           |
|                          |                              | SFS 5/3P                           |
|                          |                              | SFS 5/4P                           |

FIG. 10D

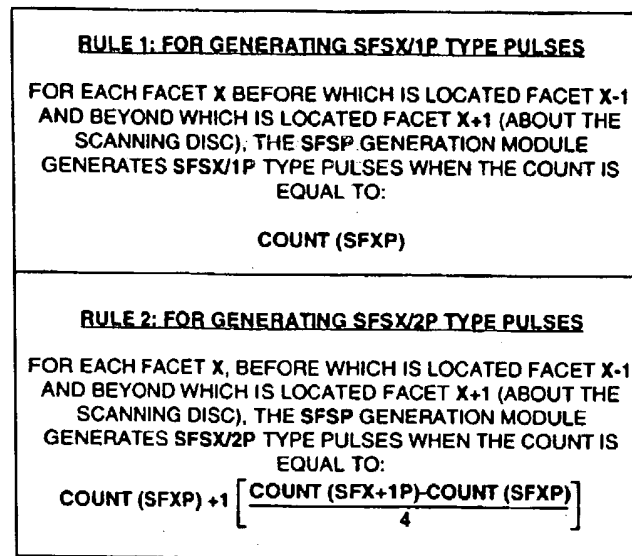


FIG. 10E1

**RULE 3: FOR GENERATING SFSX/3P TYPE PULSES**

FOR EACH FACET X BEFORE WHICH IS LOCATED FACET X-1 AND BEYOND WHICH IS LOCATED FACET X+1 (ABOUT THE SCANNING DISC), THE SFSP GENERATION MODULE GENERATES SFSX/3 TYPE PULSES WHEN THE COUNT IS EQUAL TO:

$$\text{COUNT (SFXP)} + 2 \left[ \frac{\text{COUNT (SFX+1P)} - \text{COUNT (SFXP)}}{4} \right]$$

**RULE 4: FOR GENERATING SFSX/4P TYPE PULSES**

FOR EACH FACET X, BEFORE WHICH IS LOCATED FACET X-1 AND BEYOND WHICH IS LOCATED FACET X+1 (ABOUT THE SCANNING DISC), THE SFSP GENERATION MODULE GENERATES SFSX/4 TYPE PULSES WHEN THE COUNT IS EQUAL TO:

$$\text{COUNT (SFXP)} + 3 \left[ \frac{\text{COUNT (SFX+1P)} - \text{COUNT (SFXP)}}{4} \right]$$

FIG. 10E2

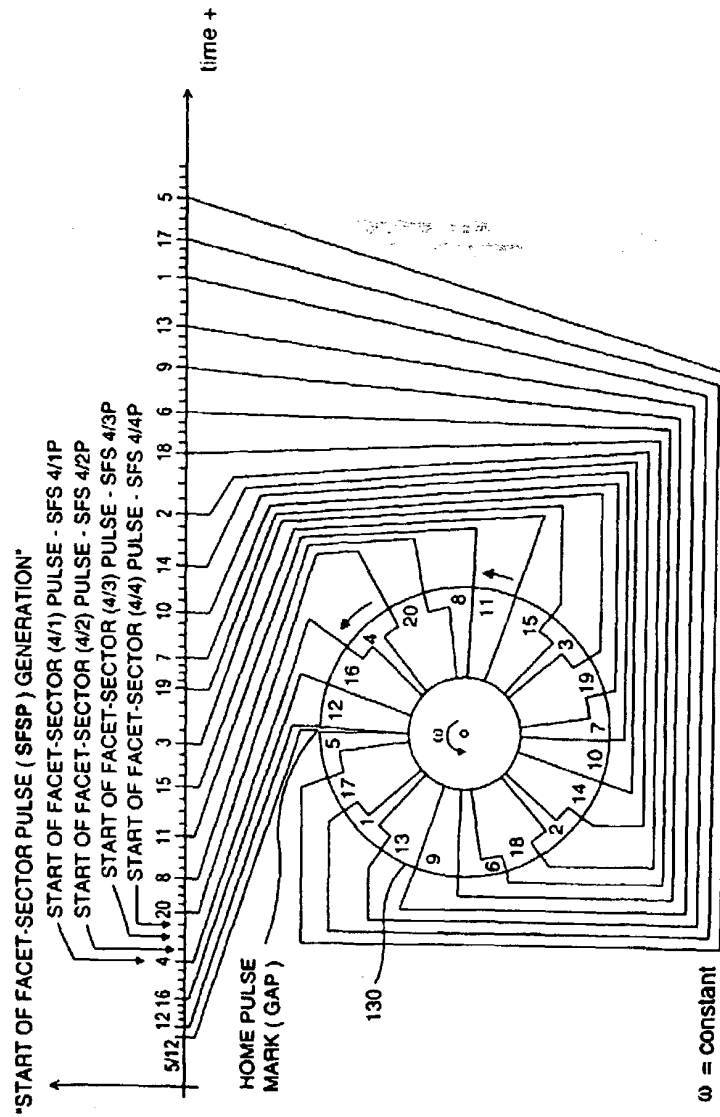


FIG. 10F



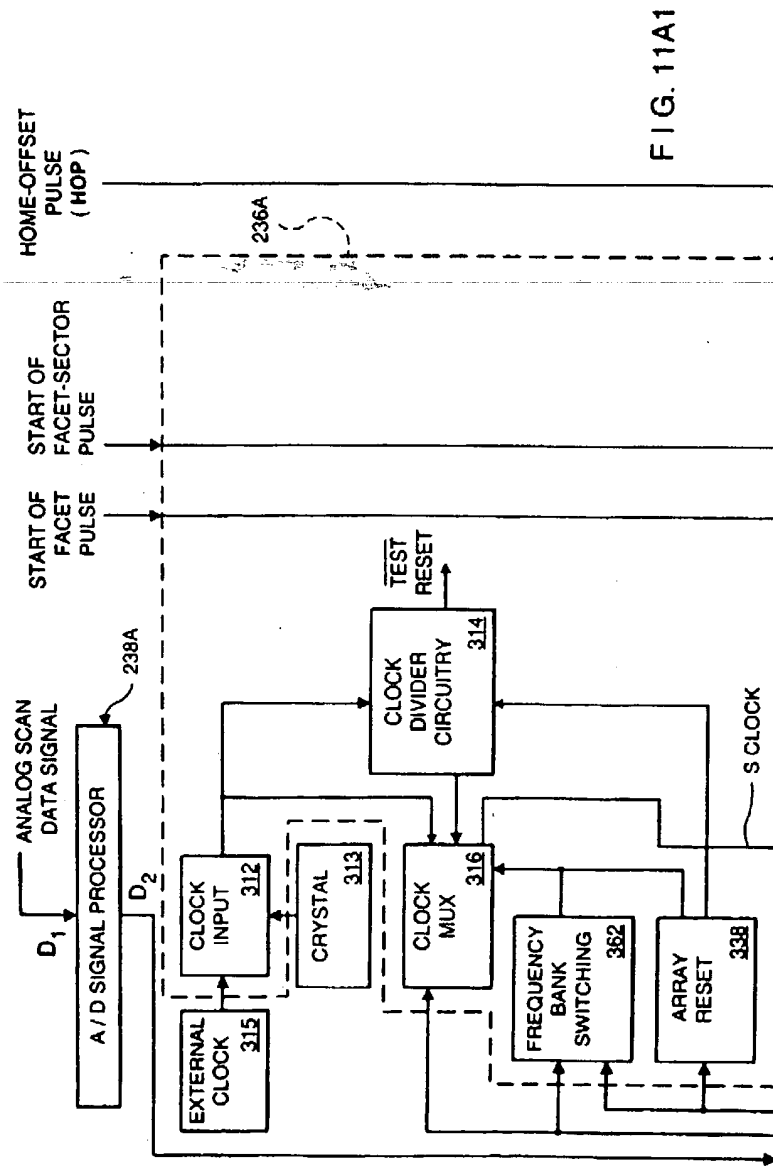


FIG. 11A1

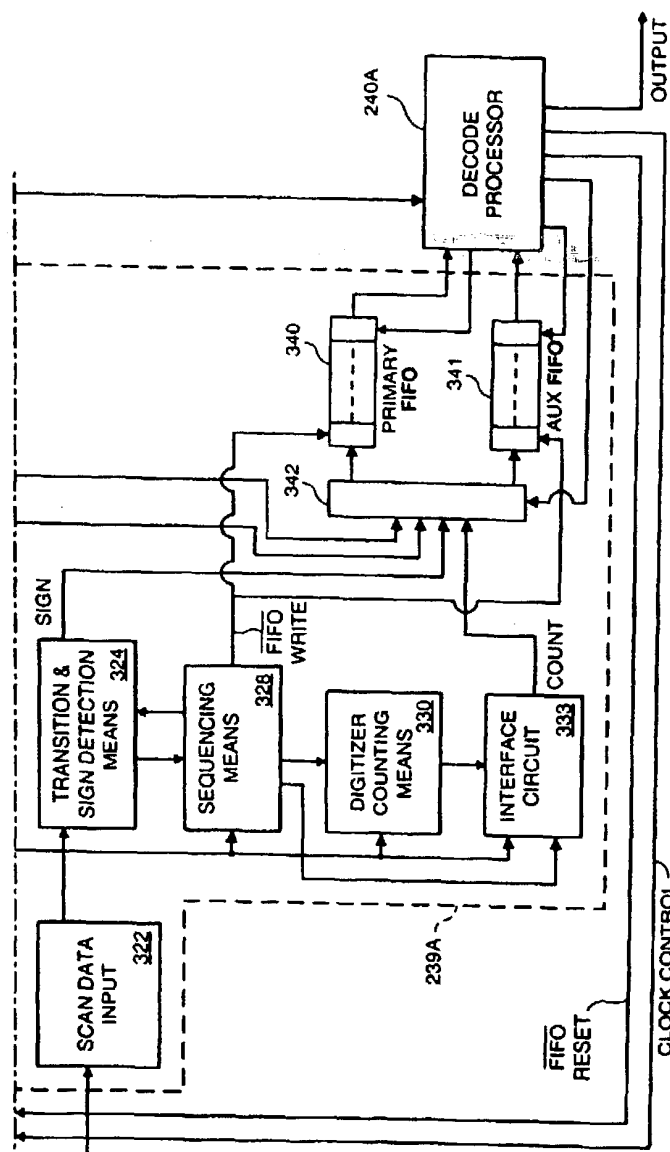


FIG. 11A2

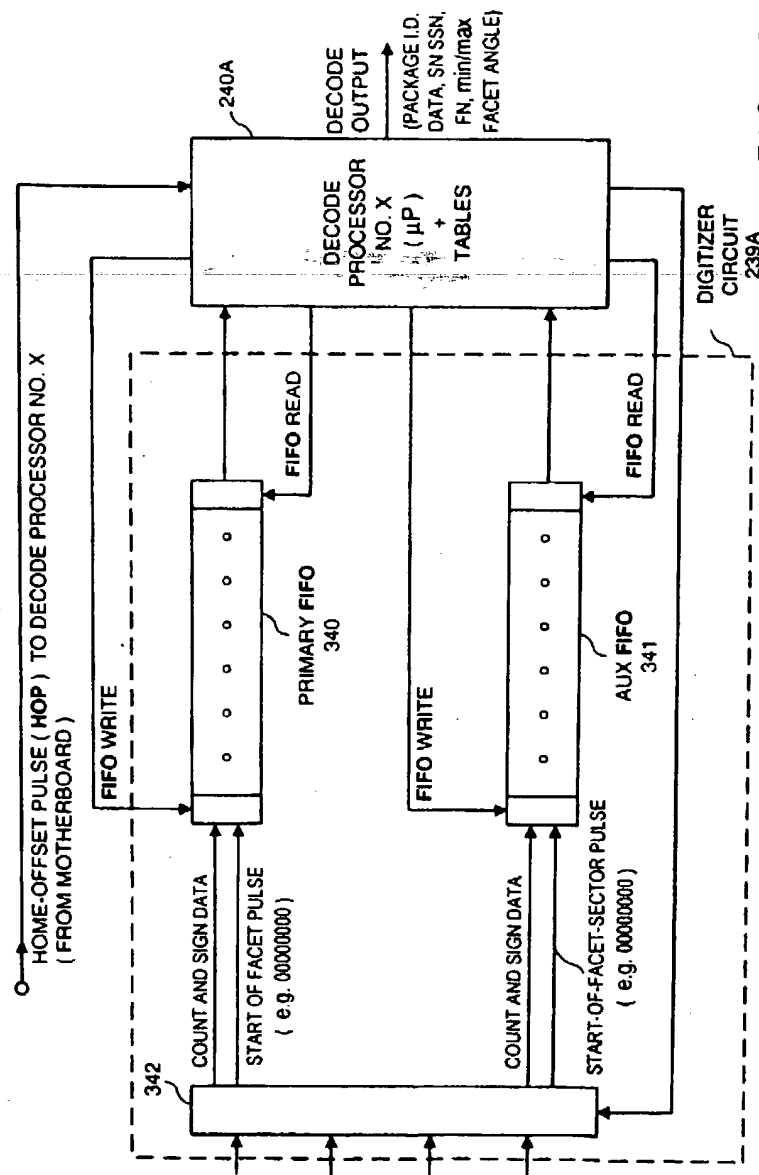


FIG. 11B

|                        |                            |
|------------------------|----------------------------|
| SCANNER NO.            | TOTAL NO OF FACETS ON DISC |
| NO. OF SECTORS / FACET | SCANNING STATION NO.       |

FIG. 11C1

| SCANNING<br>FACET<br>NO. | TRIGGERING EVENT: WHEN THE<br>CLOCK PULSE COUNT ATTAINS<br>THE VALUE EQUAL TO THE COUNT<br>VALUE SET FORTH BELOW | PULSE<br>EVENT<br>FROM SFP<br>MODULE | TABES<br>EMBODIED IN<br>DECODE<br>PROCESSOR |
|--------------------------|--|--------------------------------------|---|
| 12                       | 7  | SF12P                                |   |
| 16                       | 146  | SF16P                                |   |
| 4                        | 271  | SF4P                                 |   |
| 20                       | 446  | SF20P                                |   |
| 8                        | 561  | SF8P                                 |   |
| 11                       | 716  | SF11P                                |   |
| 15                       | 855  | SF15P                                | W=  |
| 3                        | 980  | SF3P                                 | 5200 RPM                                    |
| 19                       | 1155   | SF19P                                | CLOCK                                       |
| 7                        | 1270   | SF7P                                 | PULSE                                       |
| 10                       | 1425   | SF10P                                | WIDTH =                                     |
| 14                       | 1564   | SF14P                                | 4.0 $\mu$ SEC                               |
| 2                        | 1689   | SF2P                                 |   |
| 18                       | 1864   | SF18P                                |   |
| 6                        | 1979   | SF6P                                 |   |
| 9                        | 2134   | SF9P                                 |   |
| 13                       | 2273   | SF13P                                |   |
| 1                        | 2398   | SF1P                                 |   |
| 17                       | 2573   | SF17P                                |   |
| 5                        | 2688   | SF5P                                 |   |

FIG. 11C2

TABLE EMBODIED IN DECODE PROCESSOR

| SCANNING<br>FACET<br>NO. | SFSP<br>TRIGGERING EVENT | PULSE<br>EVENT<br>FROM SFSP<br>MODULE | MINIMUM AND MAXIMUM<br>FACET ANGLES<br>CORRESPONDING<br>TO FACET-SECTOR<br>IDENTIFIED<br>BY SFSP EVENT |
|--------------------------|--------------------------|---------------------------------------|--|
| 12                       | RULES 1 - 4 IN FIGS.     | SFS 12/1P                             | $\theta$ ROT MIN. $\theta$ ROT MAX   |
|                          |                          | SFS 12/2P                             |  |
|                          |                          | SFS 12/3P                             |  |
|                          |                          | SFS 12/4P                             |  |
| 16                       | RULES 1-4 IN FIGS.       | SFS 16/1P                             |  |
|                          |                          | SFS 16/2P                             |  |
|                          |                          | SFS 16/3P                             |  |
|                          |                          | SFS 16/4P                             |  |
| 4                        | RULES 1-4 IN FIGS.       | SFS 4/1P                              |  |
|                          |                          | SFS 4/2P                              |  |
|                          |                          | SFS 4/3P                              |  |
|                          |                          | SFS 4/4P                              |  |
| 20                       | RULES 1-4 IN FIGS.       | SFS 20/1P                             |  |
|                          |                          | SFS 20/2P                             |  |
|                          |                          | SFS 20/3P                             |  |
|                          |                          | SFS 20/4P                             |  |
| 8                        | RULES 1-4 IN FIGS.       | SFS 8/1P                              |  |
|                          |                          | SFS 8/2P                              |  |
|                          |                          | SFS 8/3P                              |  |
|                          |                          | SFS 8/4P                              |  |
| 11                       | RULES 1-4 IN FIGS.       | SFS 11/1P                             |  |
|                          |                          | SFS 11/2P                             |  |
|                          |                          | SFS 11/3P                             |  |
|                          |                          | SFS 11/4P                             |  |
| ⋮                        |                          |                                       |  |
| 17                       | RULES 1-4 IN FIGS.       | SFS 17/1P                             |  |
|                          |                          | SFS 17/2P                             |  |
|                          |                          | SFS 17/3P                             |  |
|                          |                          | SFS 17/4P                             |  |
| 5                        | RULES 1-4 IN FIGS.       | SFS 5/1P                              |  |
|                          |                          | SFS 5/2P                              |  |
|                          |                          | SFS 5/3P                              |  |
|                          |                          | SFS 5/4P                              |  |

FIG. 11D

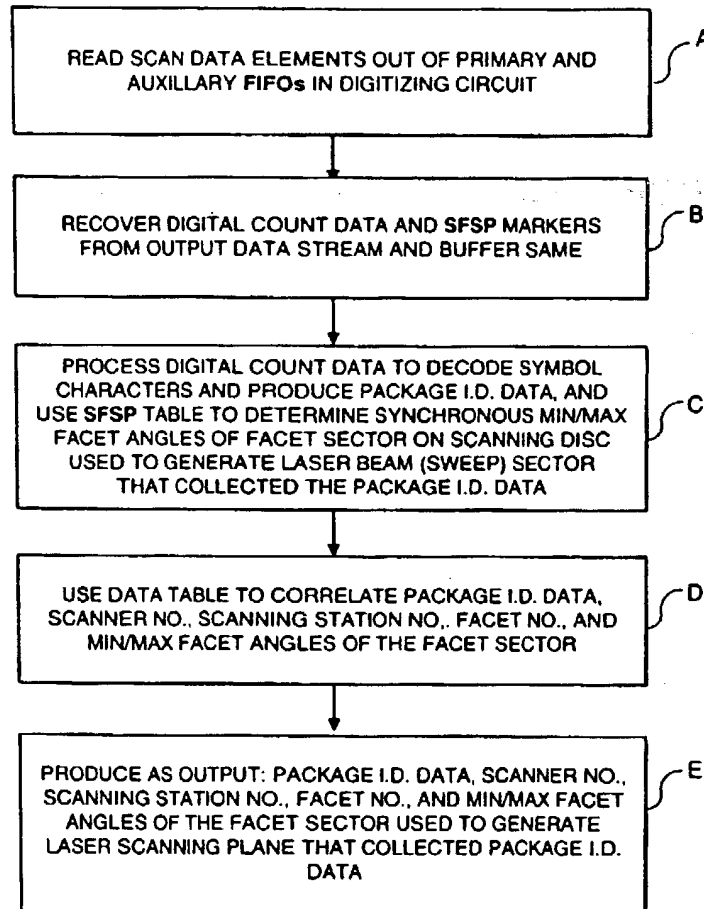


FIG. 11E

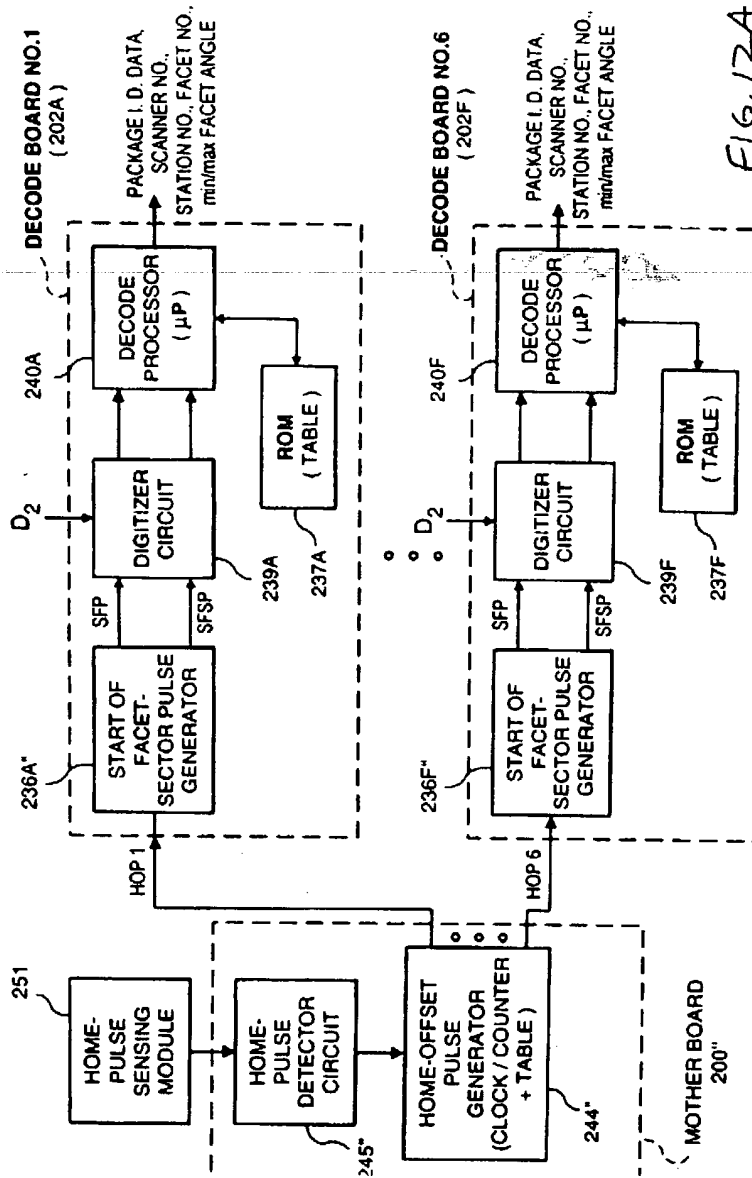


Fig. 12A

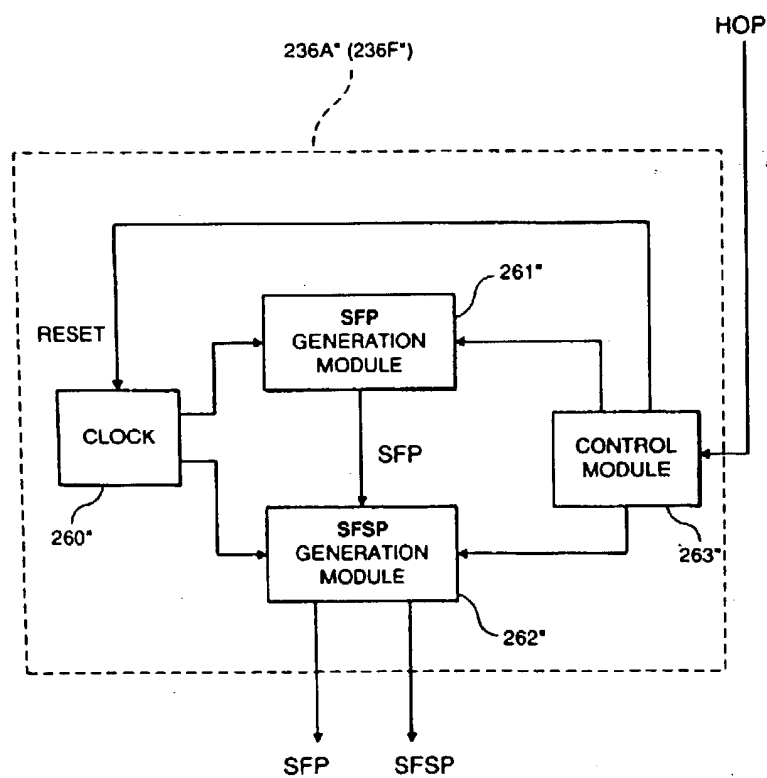
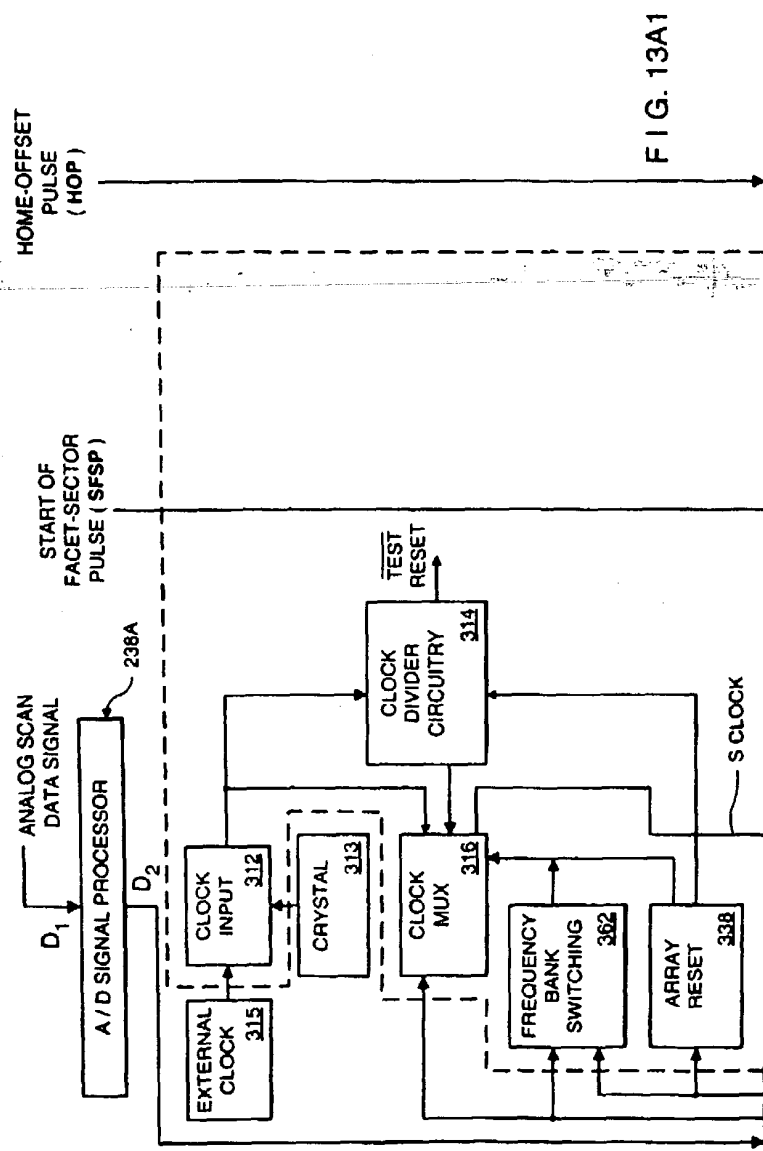


FIG. 12B





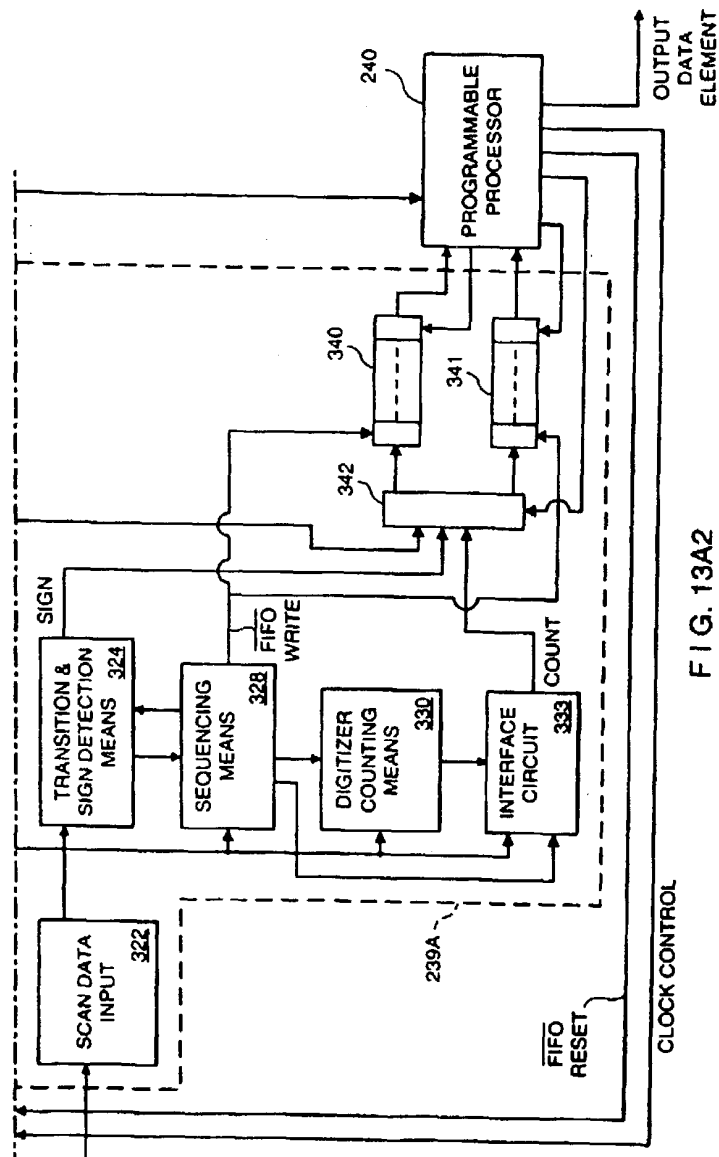


FIG. 13A2

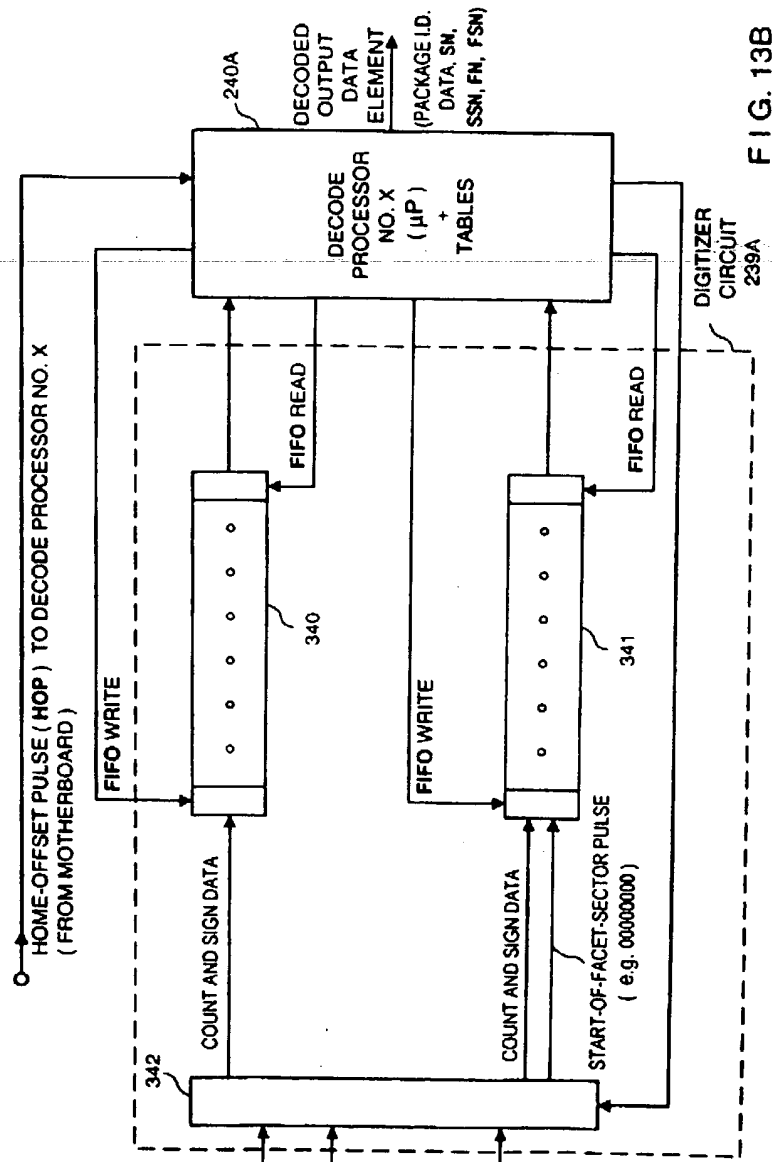


FIG. 13B

## HOP GENERATION ALGORITHM

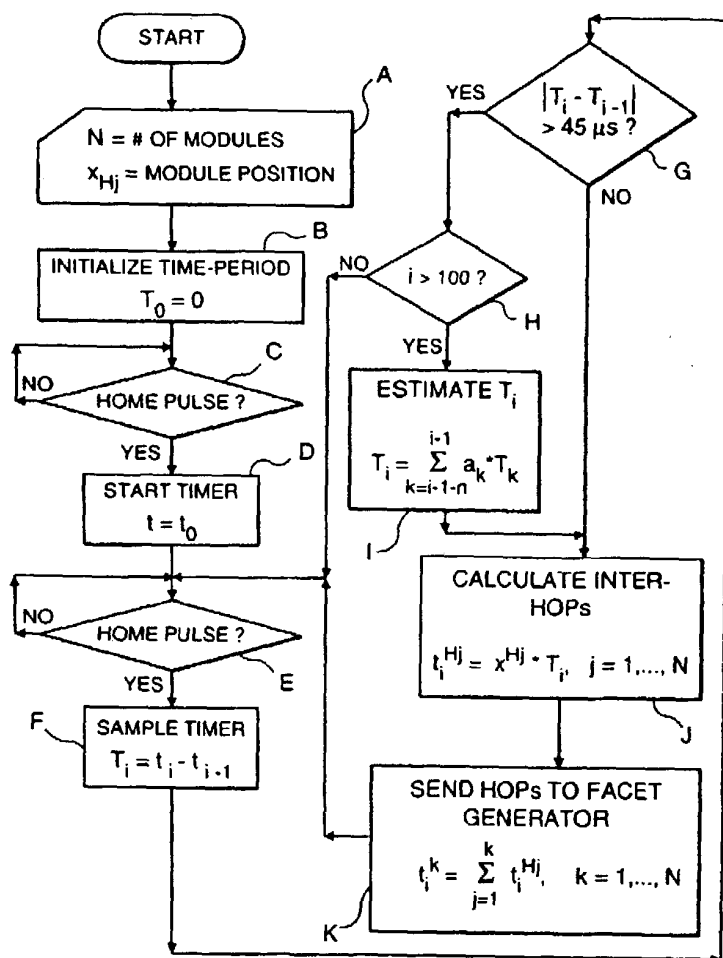


FIG. 14A

## FACET / TICK GENERATION ALGORITHM

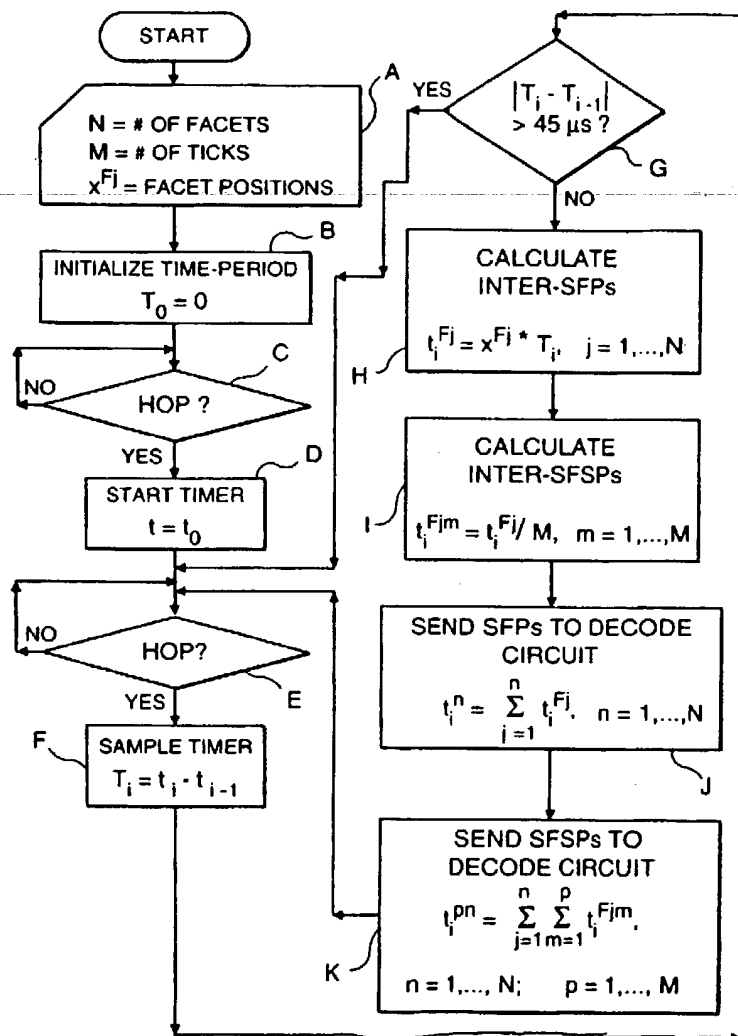
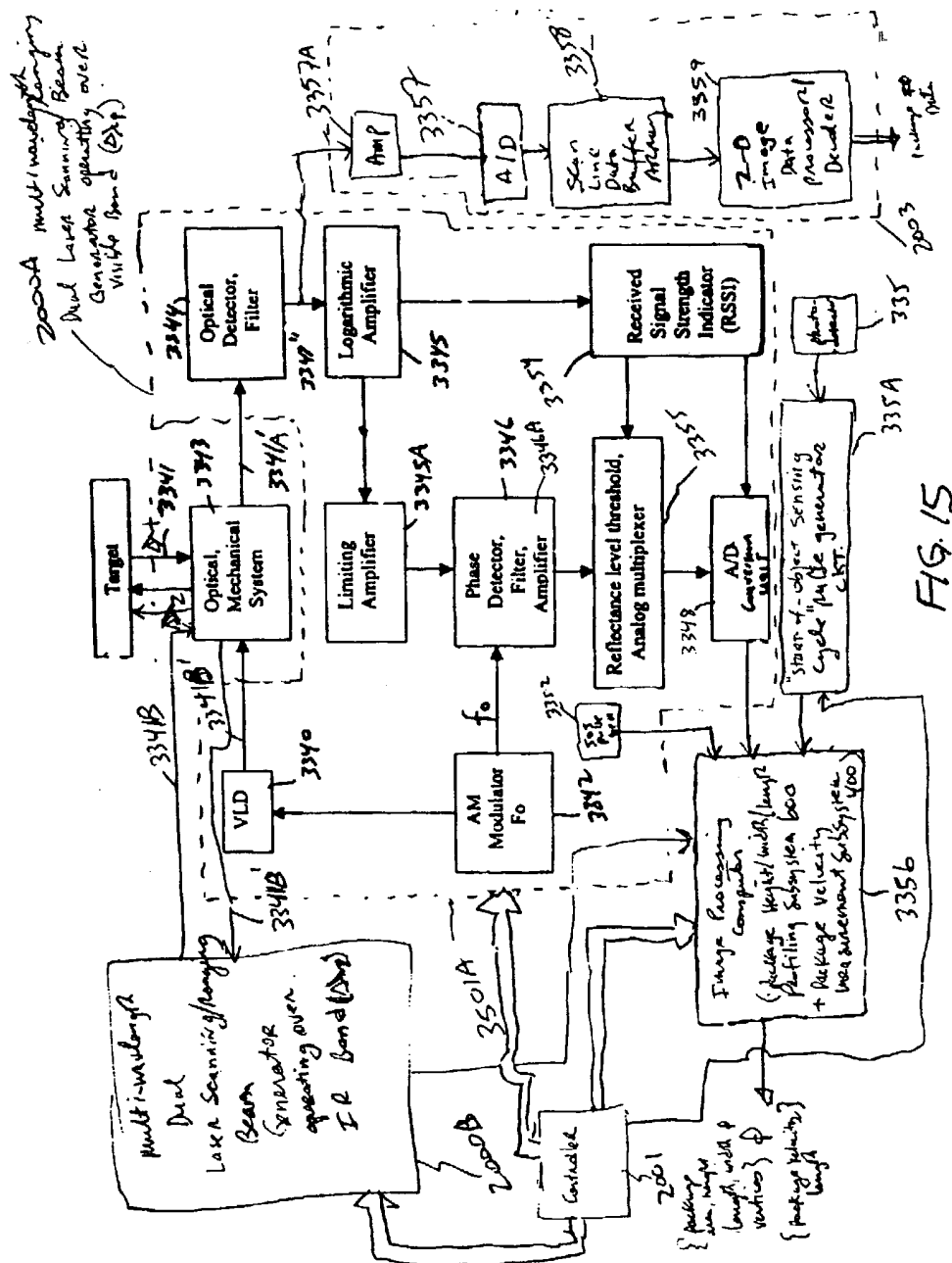
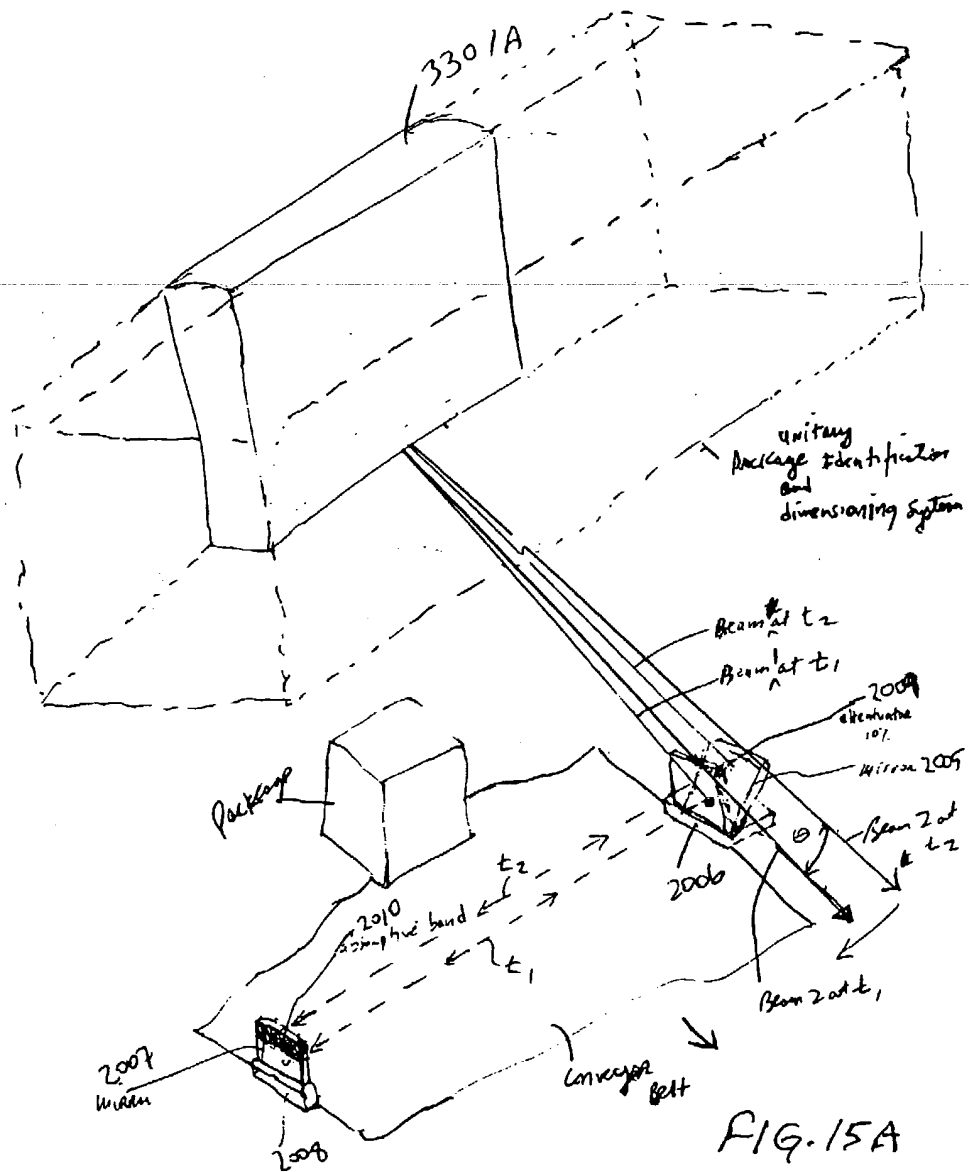


FIG. 14B





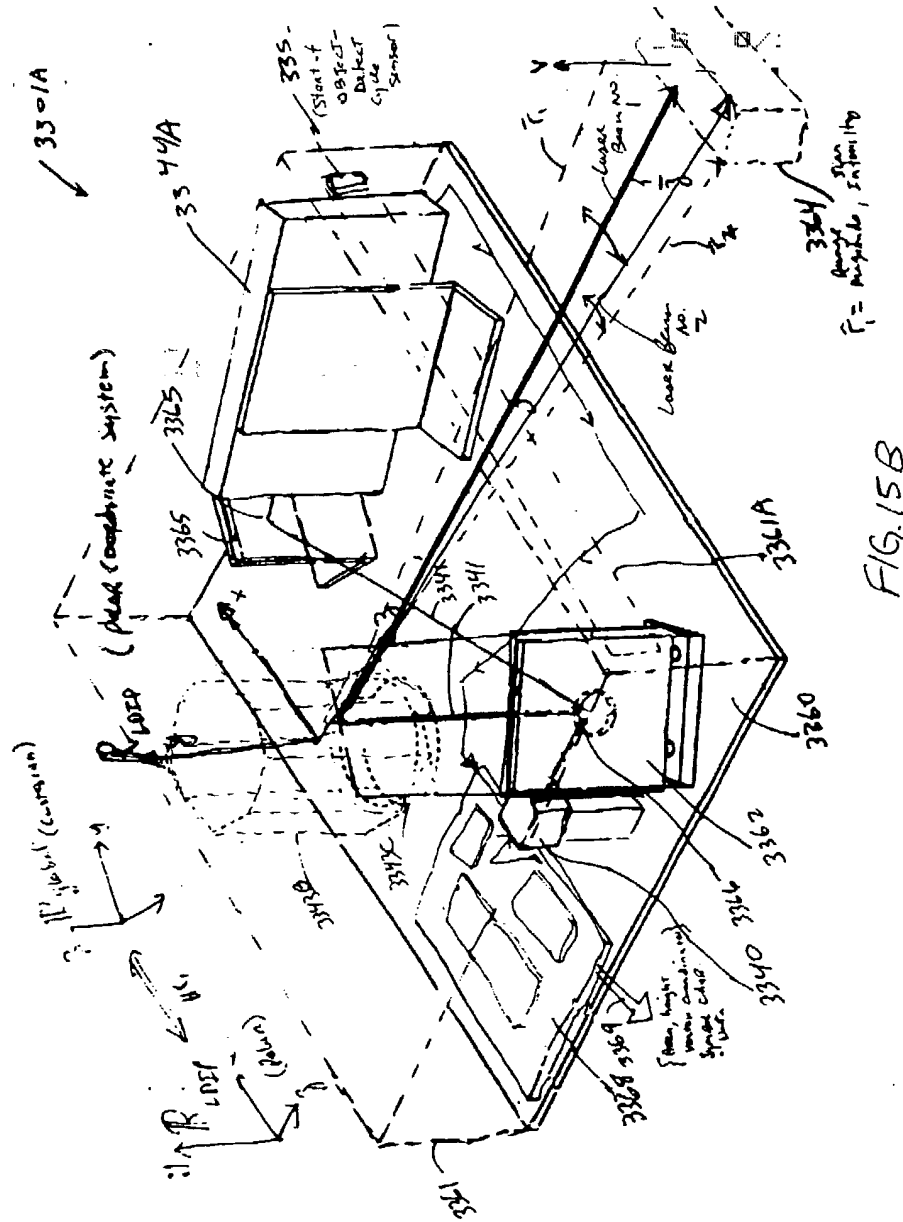
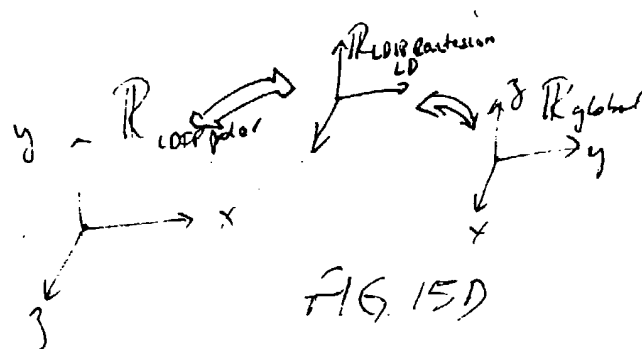
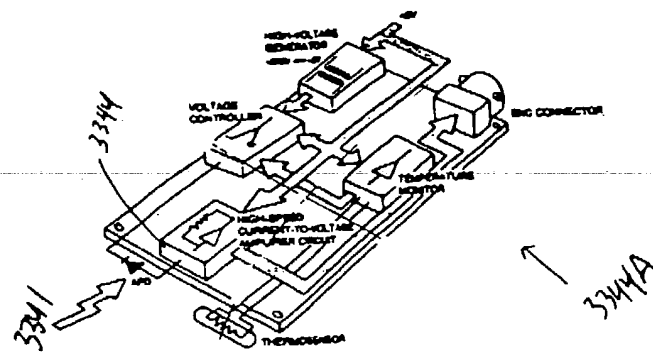


FIG. 15B





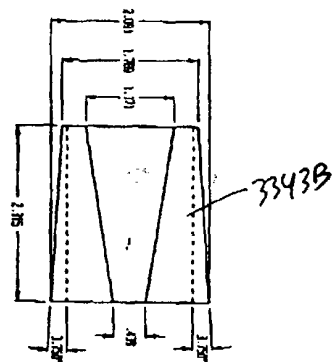


FIG. 15E1

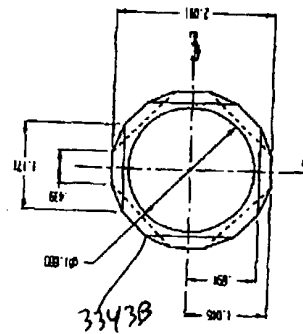


FIG. 15E2

| face # | angle (degrees) |
|--------|-----------------|
| 1      | 3.75            |
| 2      | -3.75           |
| 3      | 3.75            |
| 4      | -3.75           |
| 5      | 3.75            |
| 6      | -3.75           |
| 7      | 3.75            |
| 8      | -3.75           |

FIG. 15E3

|                  |   |                   |
|------------------|---|-------------------|
| Bear<br>No.<br>1 | 1 | $\Delta\lambda_1$ |
|                  | 3 | $\Delta\lambda_2$ |
|                  | 5 |                   |
|                  | 7 |                   |
| Bear<br>No.<br>2 | 2 | $\Delta\lambda_1$ |
|                  | 4 | $\Delta\lambda_2$ |
|                  | 6 |                   |
|                  | 8 |                   |

FIG. 15E4



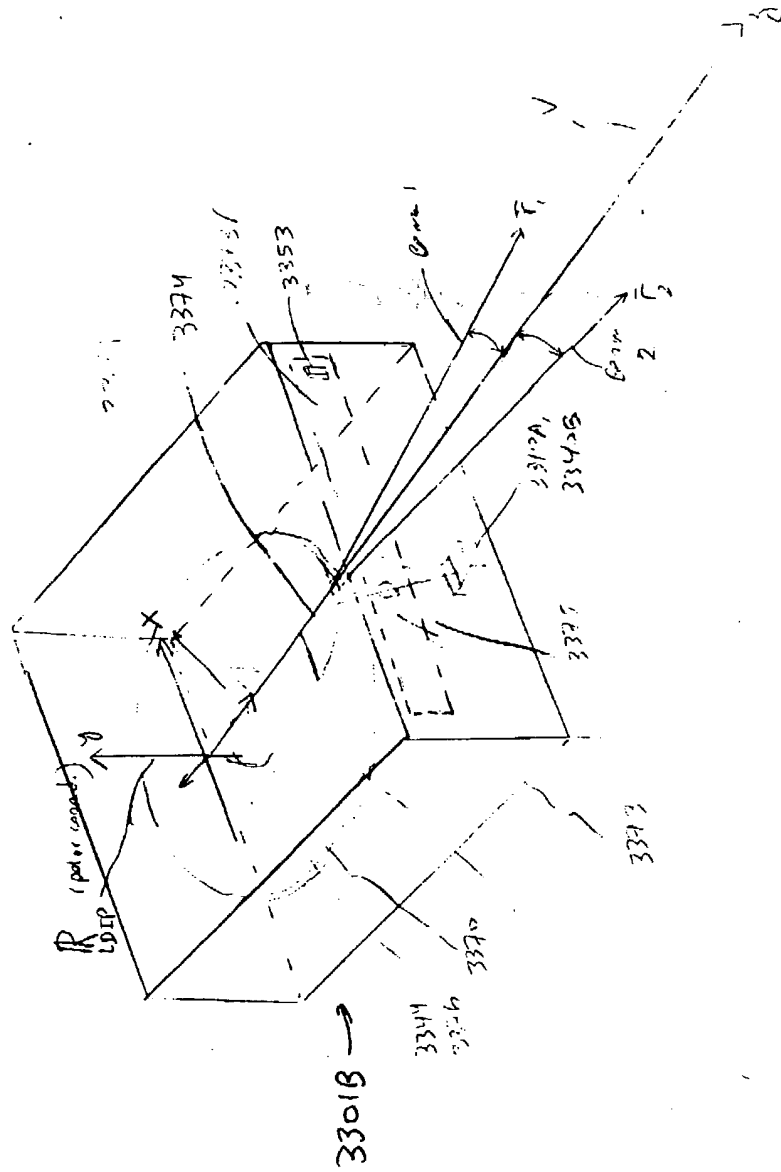


FIG. 15G

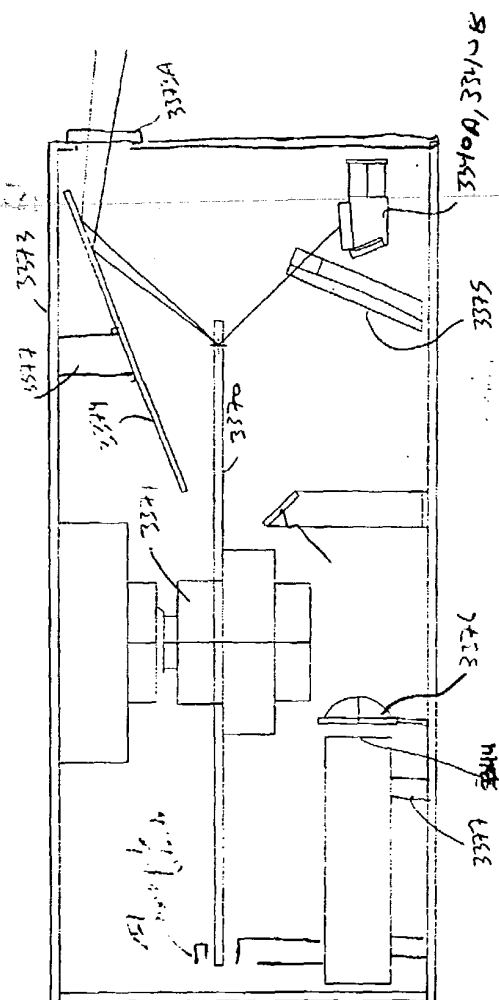
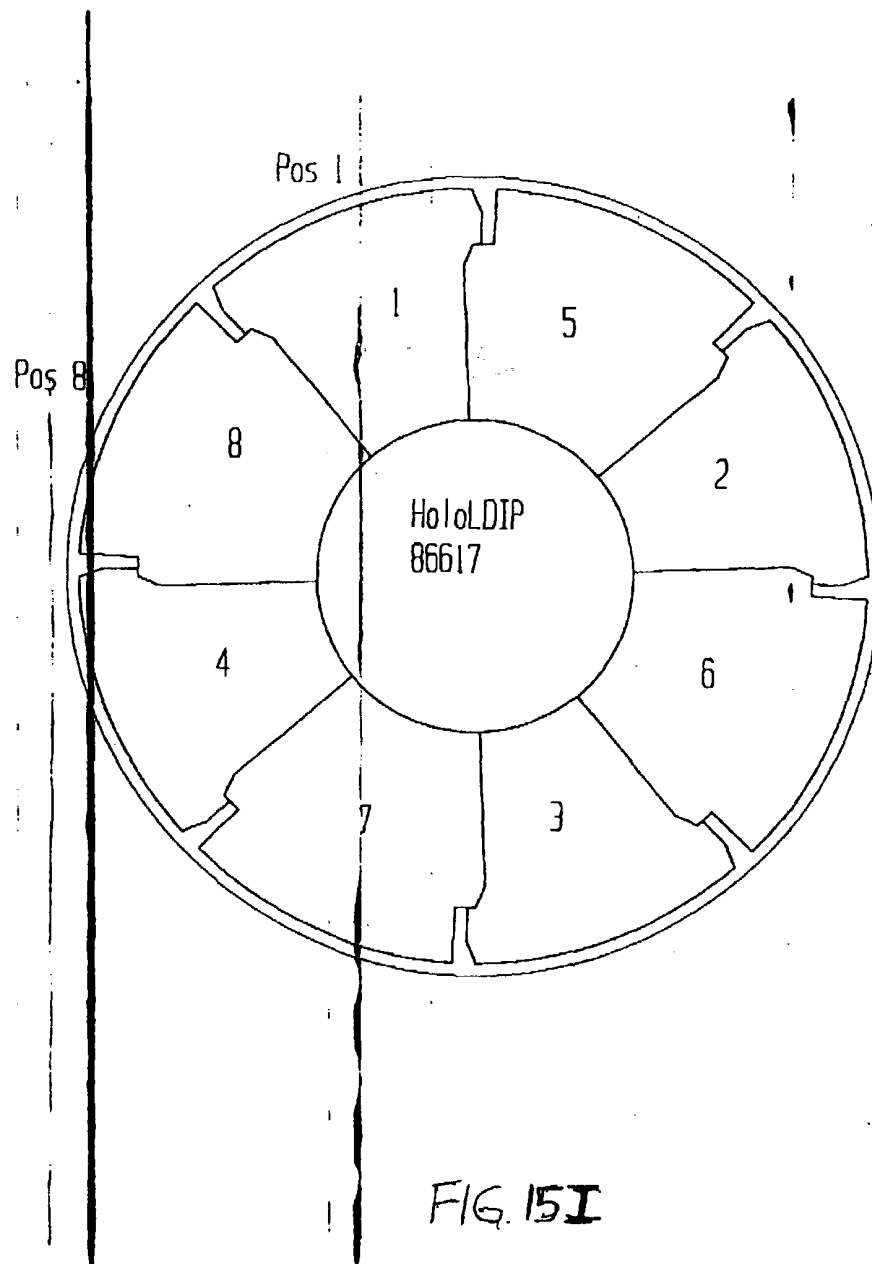


FIG 15H



| Rotational speed of disk (rpm) |   |   |                     |                     |                                     |  |                        |                          |  |
|--------------------------------|---|---|---------------------|---------------------|-------------------------------------|--|------------------------|--------------------------|--|
| Disk 600-658-48P4p.xls         |   |   |                     |                     |                                     |  |                        |                          |  |
| Facet                          | Diffraction<br>Focal length<br>(inches) | Geometrical<br>Focal length<br>(inches) | Angle A<br>(degree) | Angle B<br>(degree) | Angle of<br>Diffraction<br>(degree) | Angle of beam<br>from vertical<br>(degree) | Scan Angle<br>(degree) | Scan built<br>Factor (m) |  |
| 1                              | 65.41                                   | 6000.00                                 | 45.9                | 45.9                | 37.00                               | 6.00                                       | 54.93                  | 1.21                     |  |
| 2                              | 65.41                                   | 6000.00                                 | 45.9                | 45.9                | 37.00                               | 6.00                                       | 54.93                  | 1.21                     |  |
| 3                              | 65.41                                   | 6000.00                                 | 45.9                | 45.9                | 37.00                               | 6.00                                       | 54.93                  | 1.21                     |  |
| 4                              | 65.41                                   | 6000.00                                 | 45.9                | 45.9                | 37.00                               | 6.00                                       | 54.93                  | 1.21                     |  |
| 5                              | 65.41                                   | 6000.00                                 | 45.9                | 45.9                | 42.00                               | 10.00                                      | 54.38                  | 1.34                     |  |
| 6                              | 65.41                                   | 6000.00                                 | 45.9                | 45.9                | 42.00                               | 10.00                                      | 54.38                  | 1.34                     |  |
| 7                              | 65.41                                   | 6000.00                                 | 45.9                | 45.9                | 42.00                               | 10.00                                      | 54.38                  | 1.34                     |  |
| 8                              | 65.41                                   | 6000.00                                 | 45.9                | 45.9                | 42.00                               | 10.00                                      | 54.38                  | 1.34                     |  |

FIG. 15J1

| Rotation<br>Angle<br>(degrees) | Accounting for<br>dead time for<br>laser beam | Light<br>Collection<br>Factor | Maximum<br>Collection<br>Area<br>(ignoring<br>notch) | Design<br>Collection<br>Area<br>(includes<br>notch loss of<br>0.16<br>sq. inches) | Beam speed<br>at center of<br>scan line<br>(inches/sec) | Beam speed<br>at max depth<br>of field<br>(inches/sec) | Beam speed<br>at min depth<br>of field<br>(inches/sec) | Beam<br>skew<br>angle<br>(degrees) |
|--------------------------------|---|-------------------------------|--|---|---|--|--|------------------------------------|
| 42.30                          | 2.00  | 1.00                          | 6.29   | 6.30  | 48251   | 51201  | 41302  | 0                                  |
| 42.30                          | 44.30   | 1.00                          | 6.29   | 6.30  | 48251   | 51201  | 41302  | 0                                  |
| 42.30                          | 44.30   | 1.00                          | 6.29   | 6.30  | 48251   | 51201  | 41302  | 0                                  |
| 42.30                          | 44.30   | 1.00                          | 6.29   | 6.30  | 48251   | 51201  | 41302  | 0                                  |
| 39.82                          | 41.82   | 1.19                          | 6.30   | 6.28  | 48849   | 53855  | 43443  | 0                                  |
| 39.82                          | 41.82   | 1.19                          | 6.30   | 6.28  | 48849   | 53855  | 43443  | 0                                  |
| 39.82                          | 41.82   | 1.19                          | 6.30   | 6.28  | 48849   | 53855  | 43443  | 0                                  |
| 39.82                          | 41.82   | 1.19                          | 6.30   | 6.28  | 48849   | 53855  | 43443  | 0                                  |

FIG. 1532



| Beam No. | Facet Nos. |                        |
|----------|------------|------------------------|
| 1        | 1          | $\lambda_1, \lambda_2$ |
|          | 2          |                        |
|          | 3          |                        |
|          | 4          |                        |
| 2        | 5          | $\lambda_1, \lambda_2$ |
|          | 6          |                        |
|          | 7          |                        |
|          | 8          |                        |

FIG. 15K

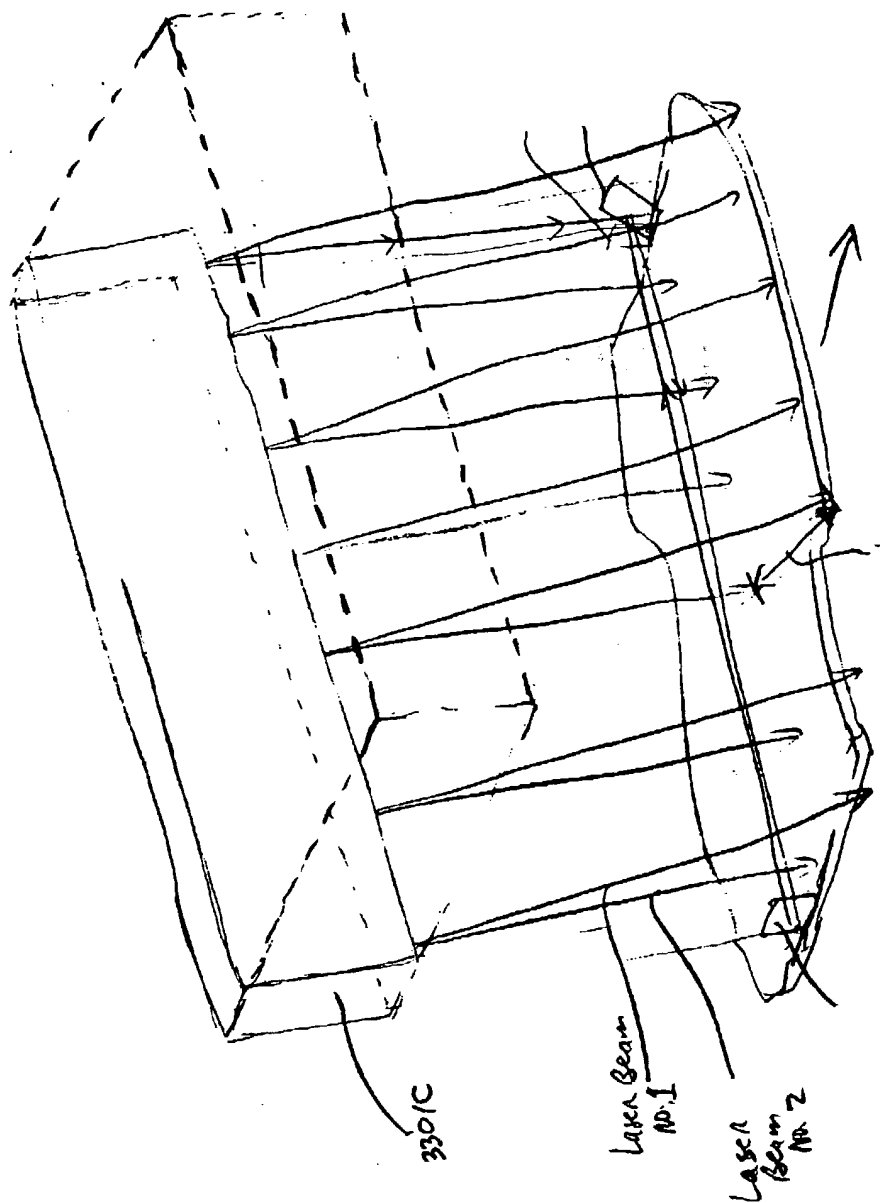


FIG 16

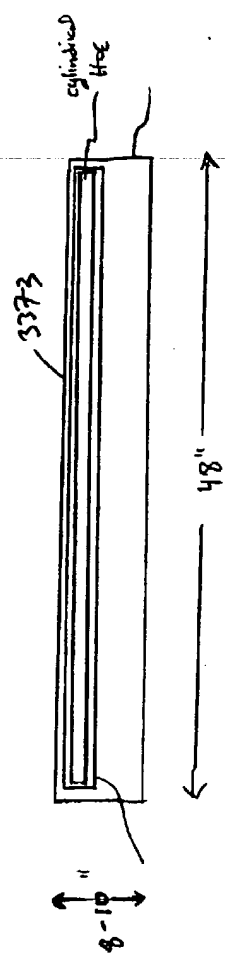
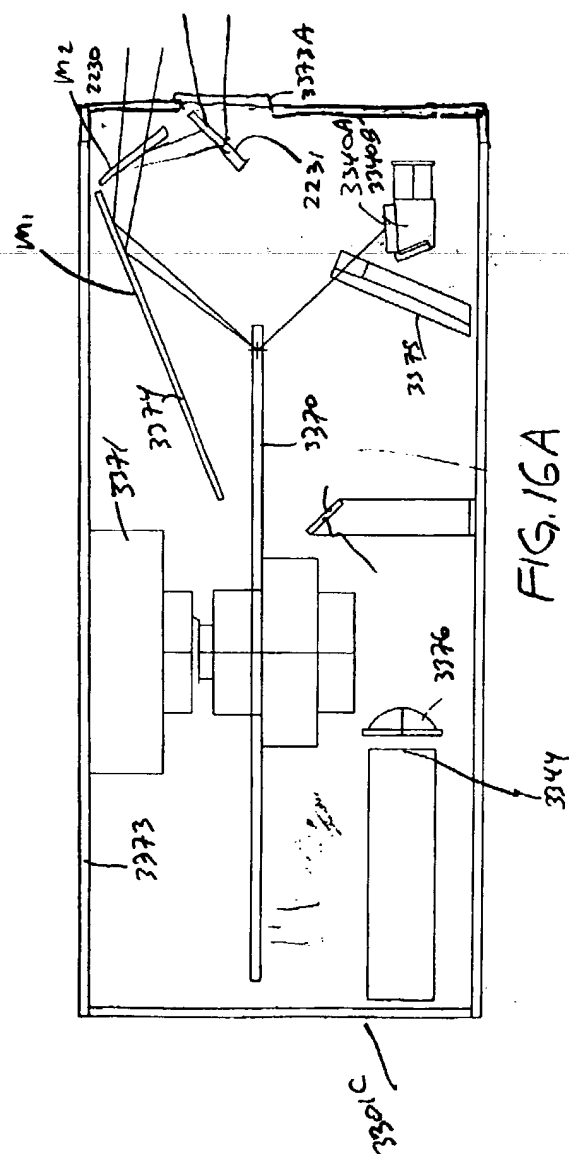


FIG 16B

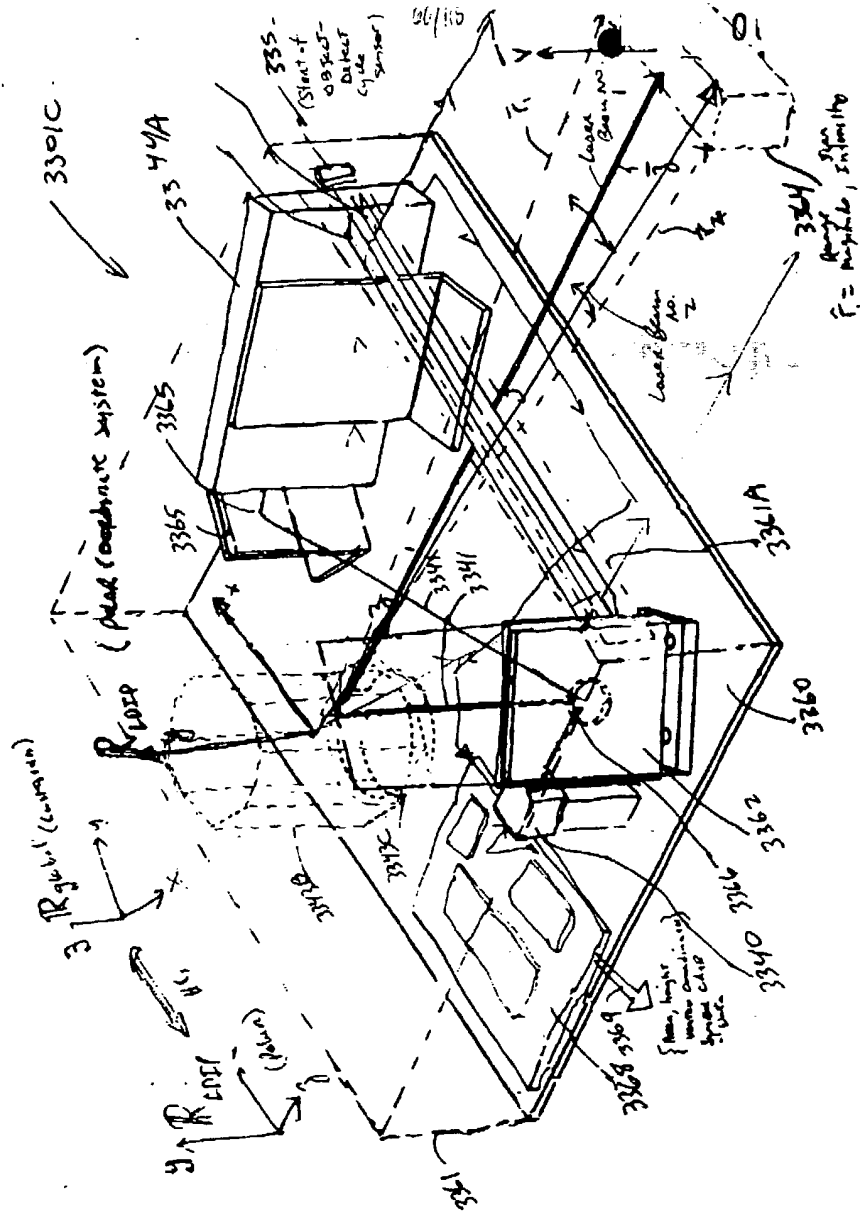


FIG 16C

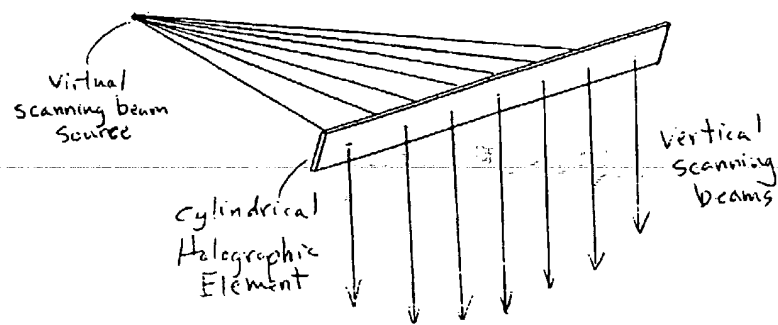


FIG 16D

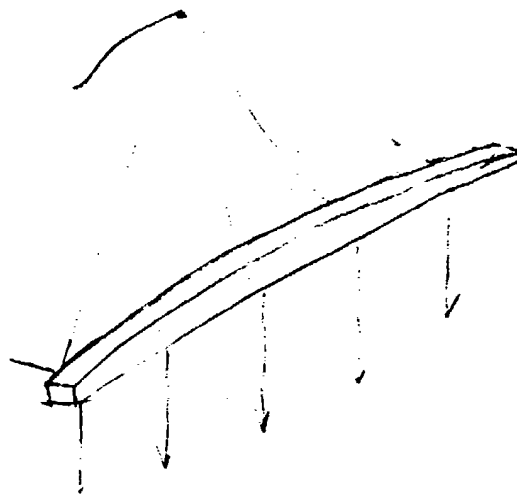
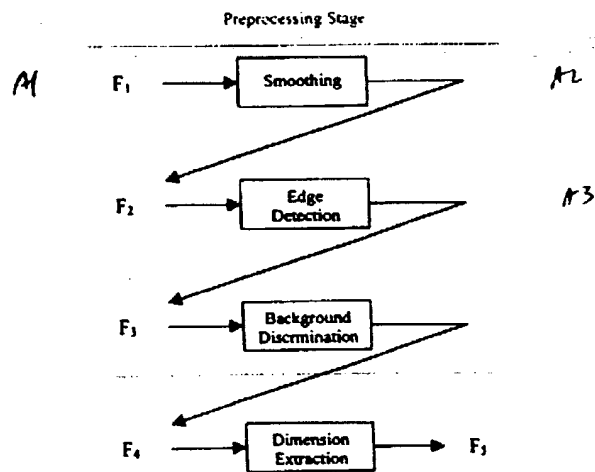


FIG 16E

(Height/width/Perfils)  
Package Dimensioning Subsystem

(600) Fig 17-29B



F1 = Raw image  
F2 = Smoothed Image  
F3 = Edge image  
F4 = Object image  
F5 = Area, Height, and Corner Coordinates

FIG. 17

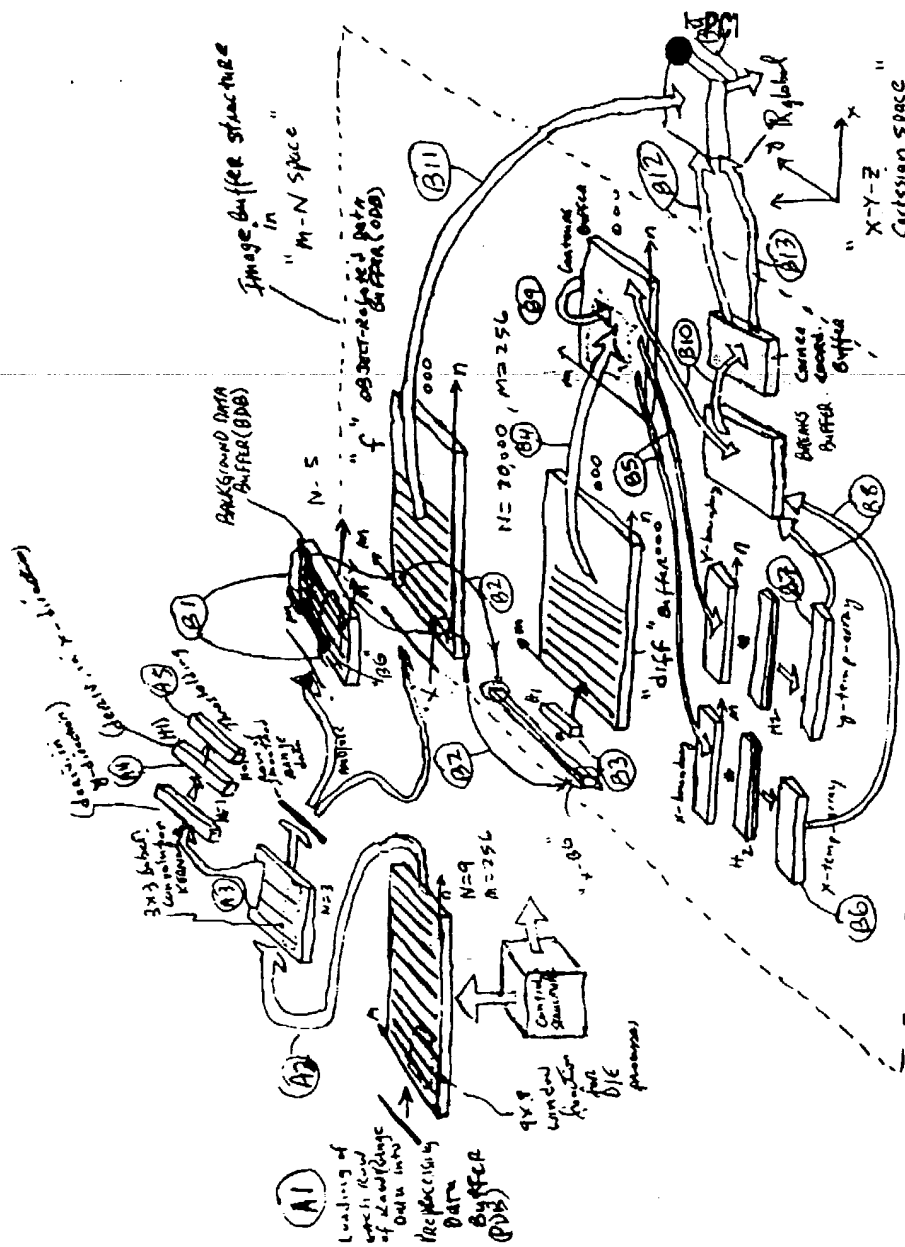


FIG. 18

Control Structures

input of operator parameters and initializations

```

for z := 1 to n do
  read row z of f into the image row store BUF(1..M, ind(z));
  for y := k+1 to N-k do begin
    for x := k+1 to M-k do begin
      for z := 1 to a do
        F(z) := BUF(x + xind(z), ind(k+1 + yind(z)));
    end (for);
  end (for);
end (for);

```

processing of the picture window  $F(i, (x, y))$  with a specific operator kernel  
 arguments:  $F(z)$ , with  $1 \leq z \leq a$ , or  $F(i, j)$ , with  $-k \leq i, j \leq k$   
 result: gray value  $v$

```

⊙ if (PARSEQ = 0) then
  BUFOUT(x) := v
⊙ else BUFOUT(x, ind(k+1)) := v
  end (for);
⊙ if (PARSEQ = 0) then
  write row buffer store BUFOUT(1..M) into row y of the
  resultant image file OUT
⊙ else
  write image row store BUF(1..M, ind(k+1)) into row y of
  the resultant image file OUT;
⊙ if (y < N-k) then begin
  read row y+k+1 of the input image file into the image row
  store BUF(1..M, ind(1));
  LINK := ind(1);
  for z := 1 to n-1 do ind(z) := ind(z+1);
  ind(n) := LINK
  end (if)
end (for)

```

Figure 3.6: Control structure for the computation of local operators, using row-wise buffering, the centered  $ij$ -coordinate system, and the spatial arrangement of Figure 3.5 for the window content if the one-dimensional array  $F(z)$  is used. Program lines labeled with ⊙ are superfluous if a parallel operator has to be implemented.

FIG. 19



Step A1 involves capturing lines (rows) of digitized "range data" produced by the laser scanning/ranging unit, during each sweep of the amplitude modulated laser beam across the width of the conveyor belt. Each row of raw range data has a predetermined number of range value samples (e.g.  $M=256$ ) taken during each scan across the conveyor belt. Each such range data sample represents the magnitude of the position vector pointing to the corresponding sample point on the scanned package, referenced with respect to a polar-type coordinate system symbolically embedded within the LADAR-based imaging and dimensioning subsystem. Step A1 also involves loading a predetermined number of rows of raw range data samples into a FIFO-type Preprocessing Data Buffer (e.g.  $M=9$ ) for buffering 9 rows of range data at any instant of time.

Step A2 involves using, at each processing cycle and synchronized with the capture of each new row of raw range data, a 2-D ( $9 \times 9$ ) window function embedded into a general control structure (e.g. pixel program loop), to "smooth" each line (or row) of raw range data buffered in the Preprocessing Data Buffer using Dilution and and Erosion (D/E) processes based on non-linear type min/max methods well known in the image processing art. The output from this non-linear operation is a single row of smooth range data of length  $M=256$  which is input to a three row FIFO buffer, as shown in Fig. 44G.

Step A3 involves using, at each processing cycle, a 2-D ( $3 \times 3$ ) convolution kernel based on the Sobel operator, and embedded into a general control structure (e.g. pixel program loop), to edge-detect each buffered row of smoothed range data of length  $M=256$  which is input to a first one row ( $N=1$ ) FIFO buffer as shown in Fig. 44G. The output row of "edge-detected" range data represents the first spatial derivative of the buffered rows of range data along the  $n$  direction of the  $N=9$  FIFO (corresponding to the first spatial derivative of the range data captured along the  $y$  direction of the conveyor belt).

Step A4 involves using, at each processing cycle, a 7-tap FIR-type digital filter (H1) to compute the first spatial derivative of the buffered row of edge-detected range data along the  $m$  direction of the  $N=9$  FIFO (corresponding to the first spatial derivative of the range data captured along the  $x$  direction of the conveyor belt). The output of this operation is stored in a second one row ( $N=1$ ) FIFO, as shown in Fig. 44G.

FIG. 26A

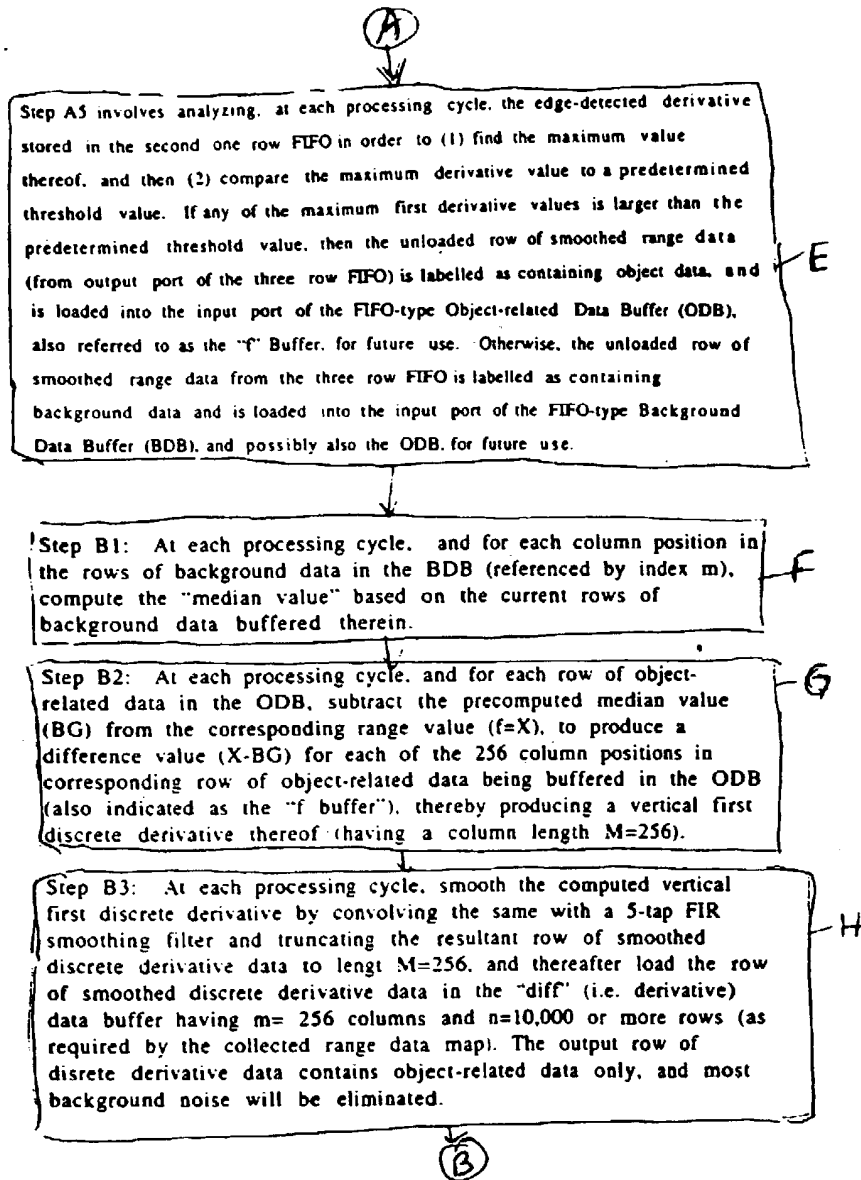


FIG. 20B

(6)

Step B4: At each processing cycle, perform a 2-D image-based contour tracing operation on the discrete derivative data currently buffered in the diff data buffer in order to produce an array of m,n contour points in M-N Space (and corresponding to x,y contour points in X-Y Cartesian Space). Typically, the array of contour points m,n will correspond to the sides of the polygonal object embodied in the rows of object data currently buffered within the Object Data

Buffer, and the output data set produced from this step of the method contains extraneous corner points which need to be removed from the produced array of contour points.

Step B5: At each processing cycle, store the m,n indices (associated with the corner points of the traced contours) in the x-boundary and y-boundary buffers, respectively. Notably, the length of the X-boundary buffer is  $M=256$ , and the length of the y-boundary buffer is also  $M=256$ .

Step B6: At each processing cycle, detect the m indices associated with "corner points" in the traced contours by convolving the current discrete data set stored in the x-boundary buffer (of length  $M=256$ ) with the 11-tap FIR filter (i.e. low-pass 1st differentiator) and storing the resultant discrete m indice data set in the x-temp-array.

Step B7: At each processing cycle, detect the n indices associated with the "corner points" in the traced contours by convolving the discrete data set stored in the y-boundary buffer (of length N) with the 11-tap FIR filter (i.e. low-pass 1st differentiator) and then storing the resultant discrete n indice data set in the y-temp-array.

Step B8: At each processing cycle, find the "break points" among the detected corner points stored in the x-temp-array and y-temp-arrays, and buffer the m and n indices associated with these break points in the breaks buffer.

FIG. 20C

Step B9: At each processing cycle, performing linear curve fitting between every two consecutive break points stored in the breaks buffer, to produce a single line representation thereof. Each line constitutes a side of a polygon representation of the object represented in the range data map buffered in the ODB or f data buffer. For every two consecutive sides of the polygon representation, the intersection point is determined, and deemed a corner vertex of the polygon. N

Step B10: At processing cycle, once all corner coordinates (vertices) are obtained, the corner vertices are further reduced using a Sharp/Dull Angle Elimination algorithm and close corner elimination operators. Typically, the final result is a set of m and n indices corresponding to the x and y coordinates associated with the four corners coordinates of a cubic box, which set is thereafter stored in a corner coordinate (or indice) array. O

Step B11: compute the average range value of the contour points currently buffered in the ODB so as to provide an average height value for the box, and then, for each corner point in the corner coordinate array, use the computed average range value to compute the z coordinate corresponding thereto, and referenced with respect to the global coordinate frame of reference. P

Step B12: compute the x and y coordinates associated with each corner point currently buffered in the corner coordinate array and specified by indices m and n. Coordinates x and y are referenced with respect to the global coordinate frame. Q

Step B13: compute the surface area of the object represented by the contours currently represented in the contour buffer, using the m,n coordinates associated with the corner vertices m and n currently buffered in the corner coordinate array. R

Step B14: ~~The Scanner~~ output the computed surface area, object height, and corner x,y coordinates (vertices) referenced with respect to the global coordinate reference frame. S

FIG 20D

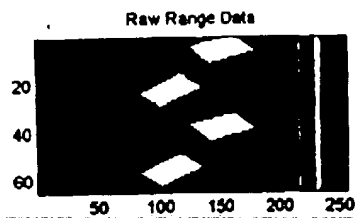


FIG. 21A

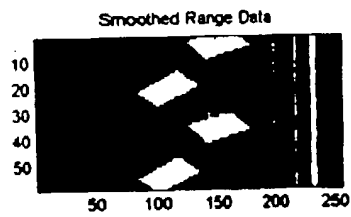


FIG. 21B

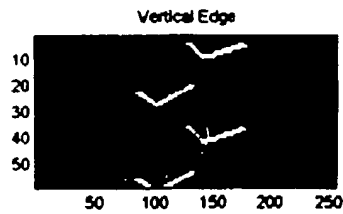


FIG. 21C

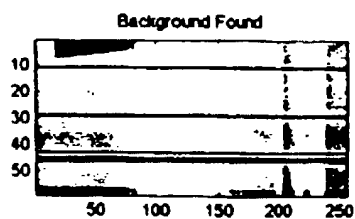


FIG. 21D

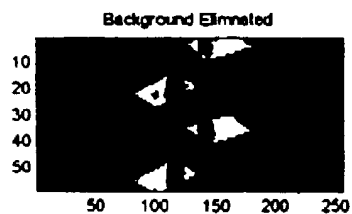
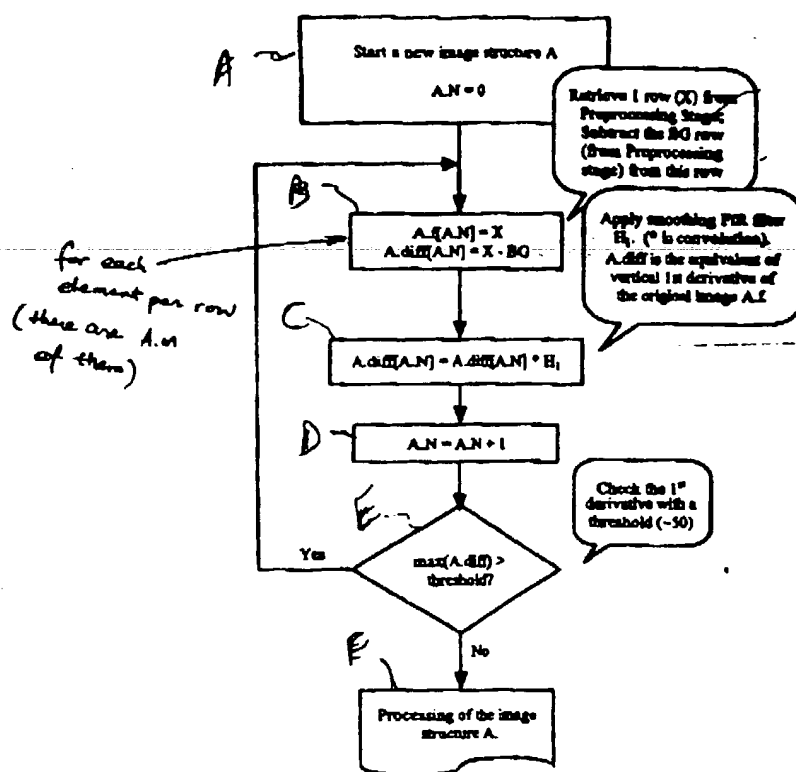


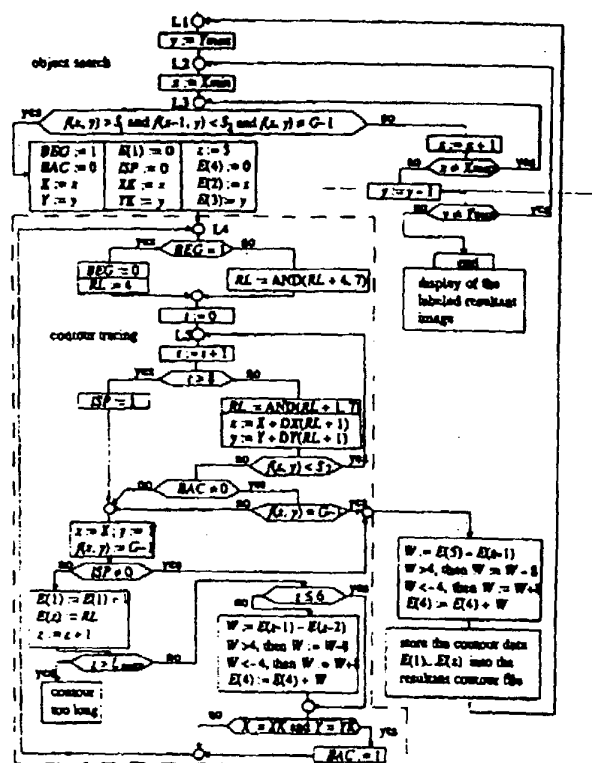
FIG 21E



$$H_1 = [0.15 \quad 0.25 \quad 0.2 \quad 0.25 \quad 0.15]$$

This functional block retrieves data already pre-processed, computes vertical first derivative, and stores the results in an image structure for further processing, such as contour tracing, etc.

FIG. 21



Flow chart of the contours tracing program. AND denotes the bitwise logical operation.

FIG 22 A



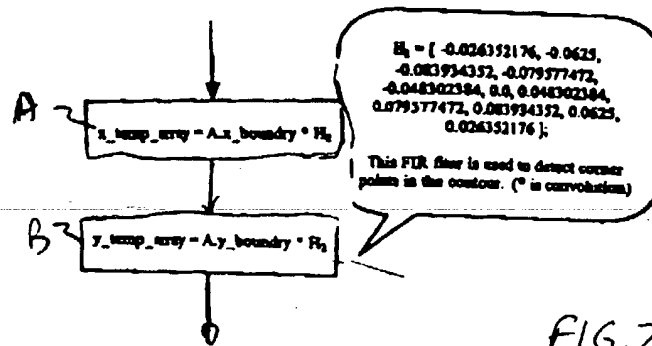


FIG. 23

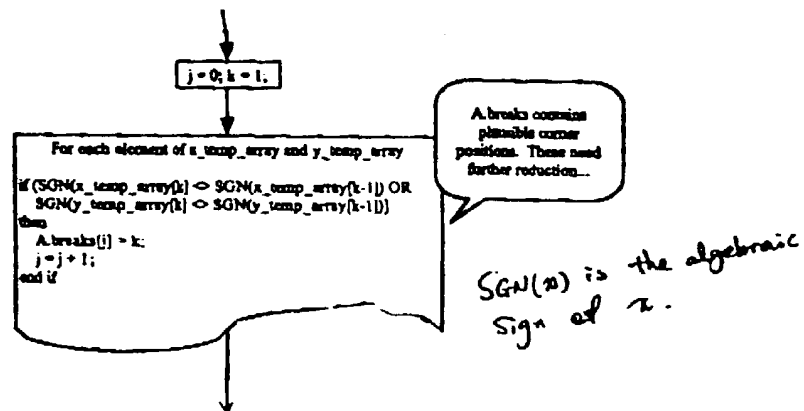


FIG. 24

Flow Chart for Deletion of Sharp and Dull Angles

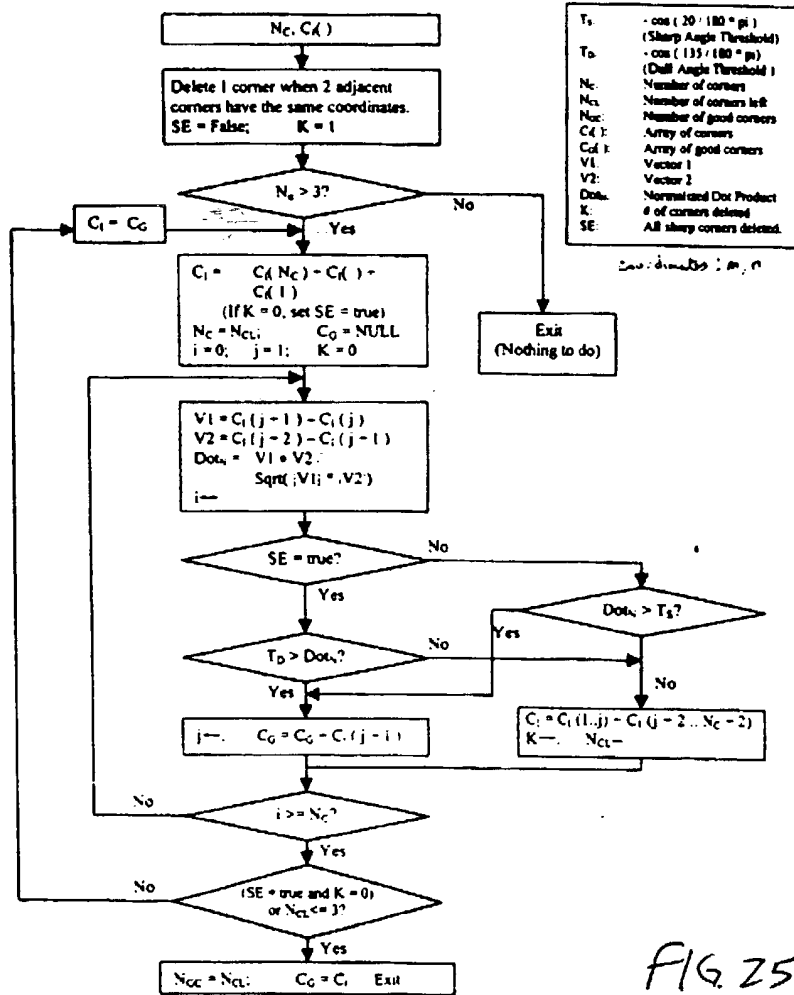


FIG. 25

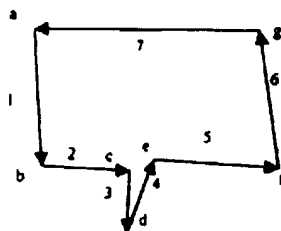


FIG. 26A

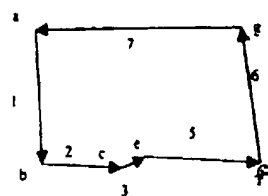


FIG. 26B

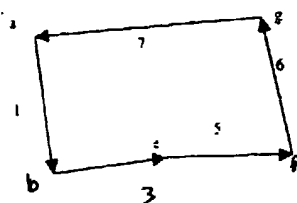


FIG. 26C

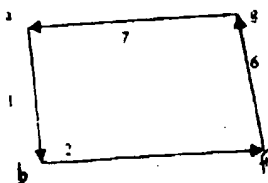
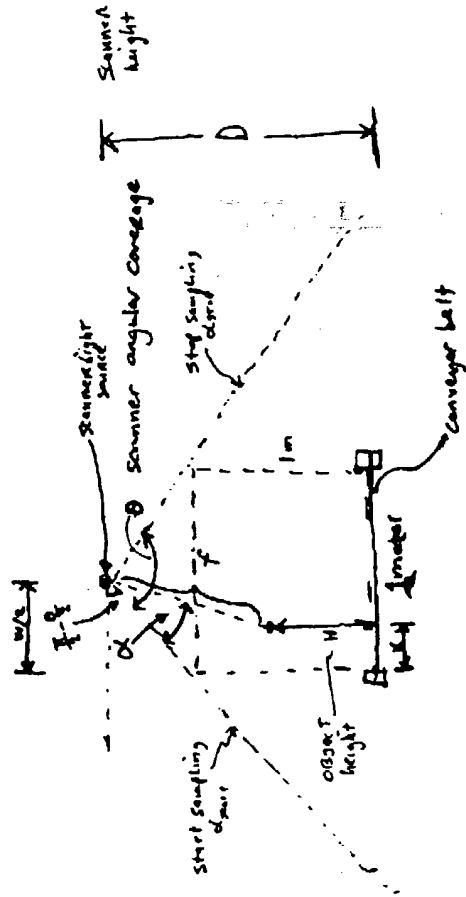


FIG. 26D



FIC, ~~10~~ 27

*Area of polygon computation*

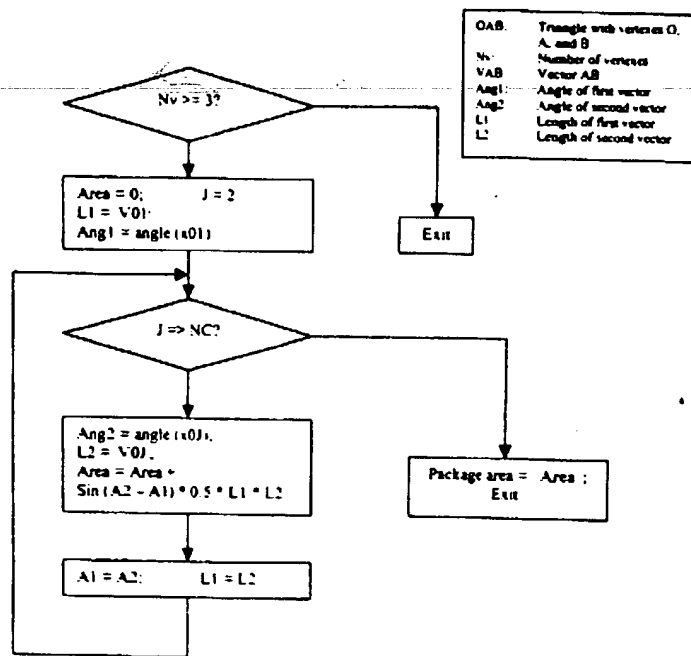


FIG 28

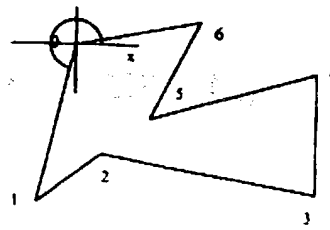


FIG. 29A

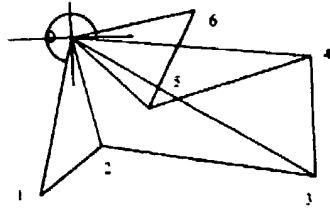


FIG. 29B

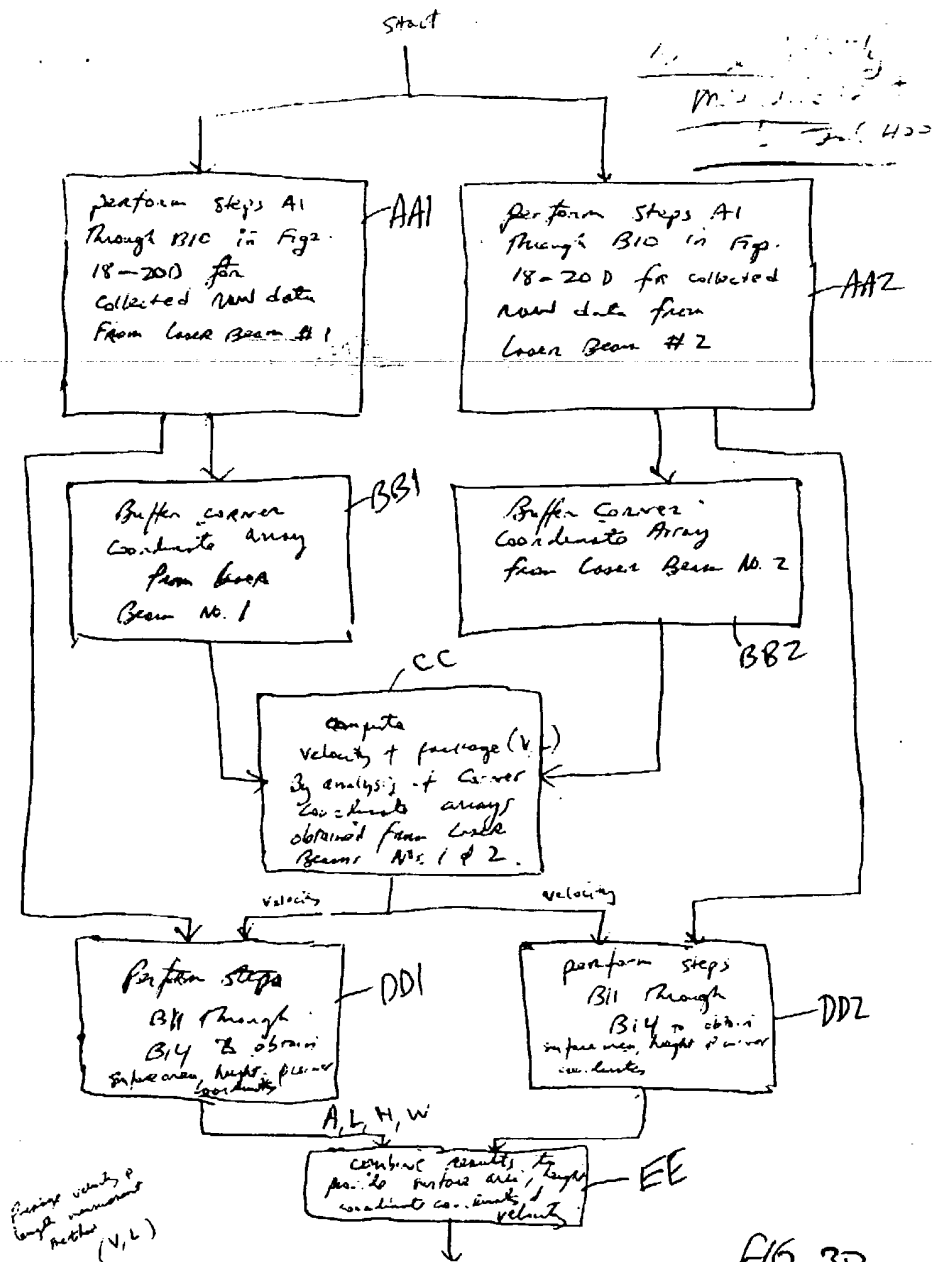


FIG. 30

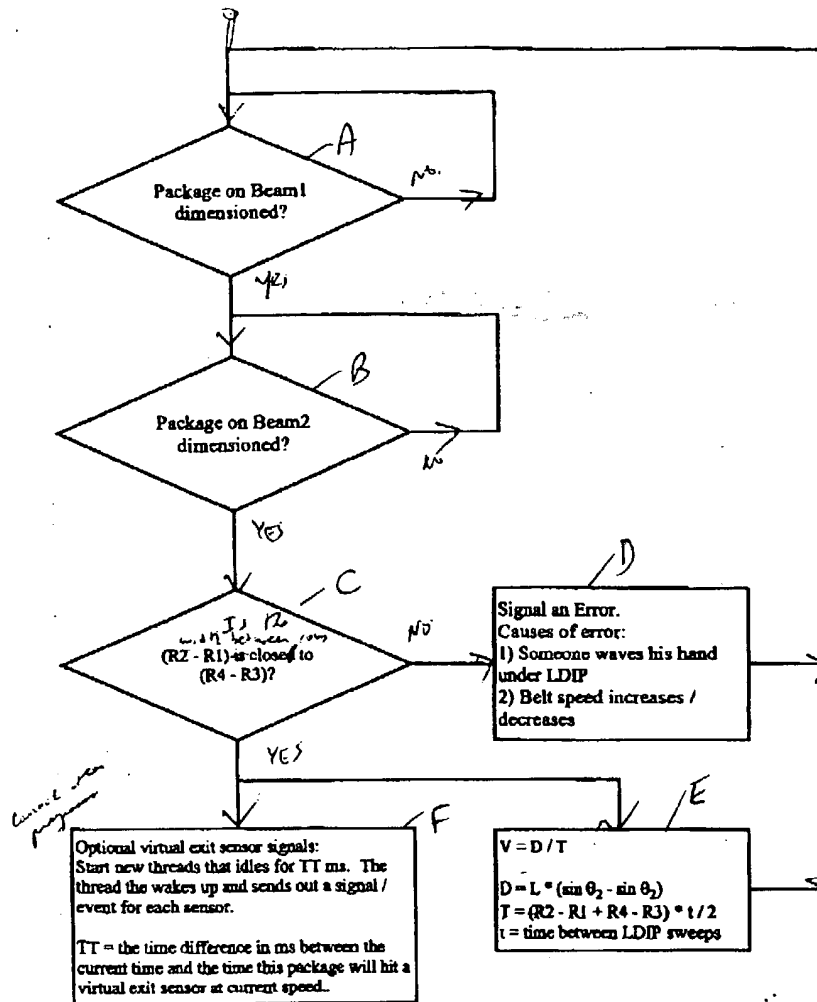


FIG. 31



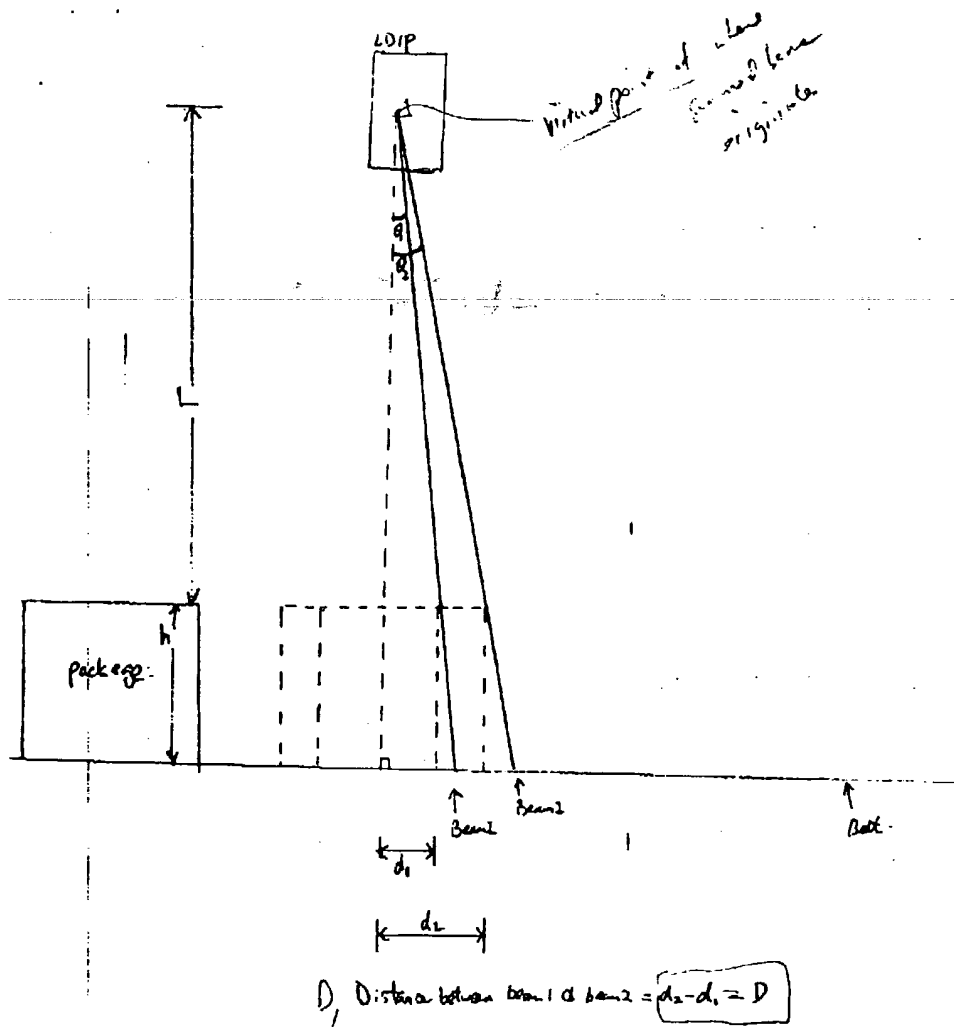


FIG. 32

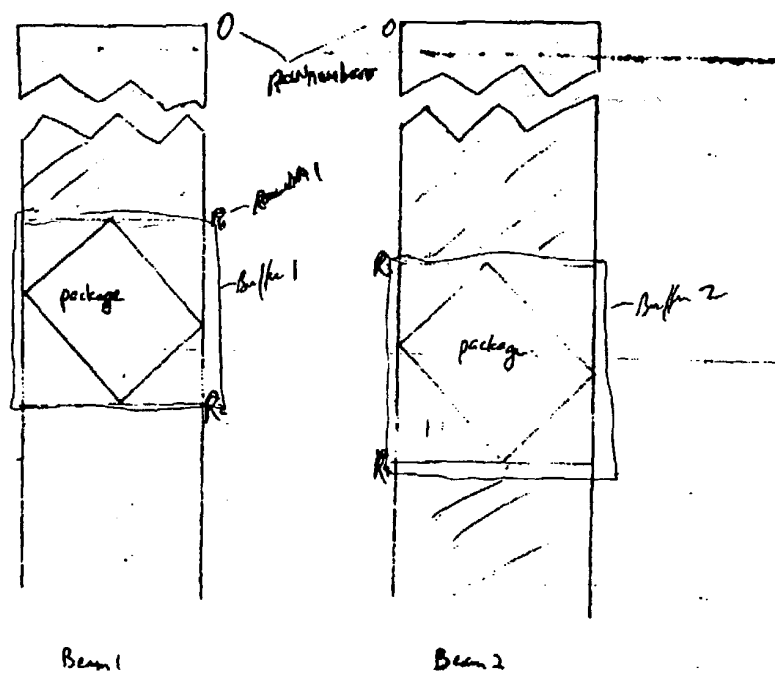


FIG. 32A

FIG. 32B

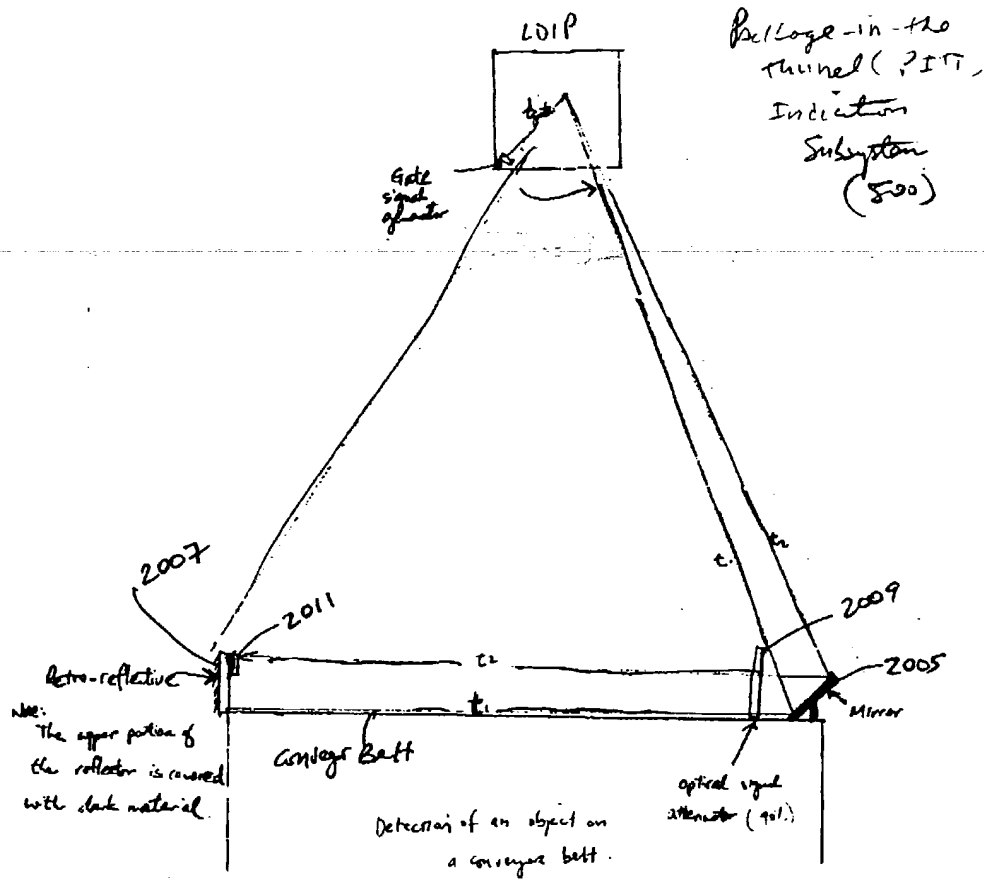


FIG 33A

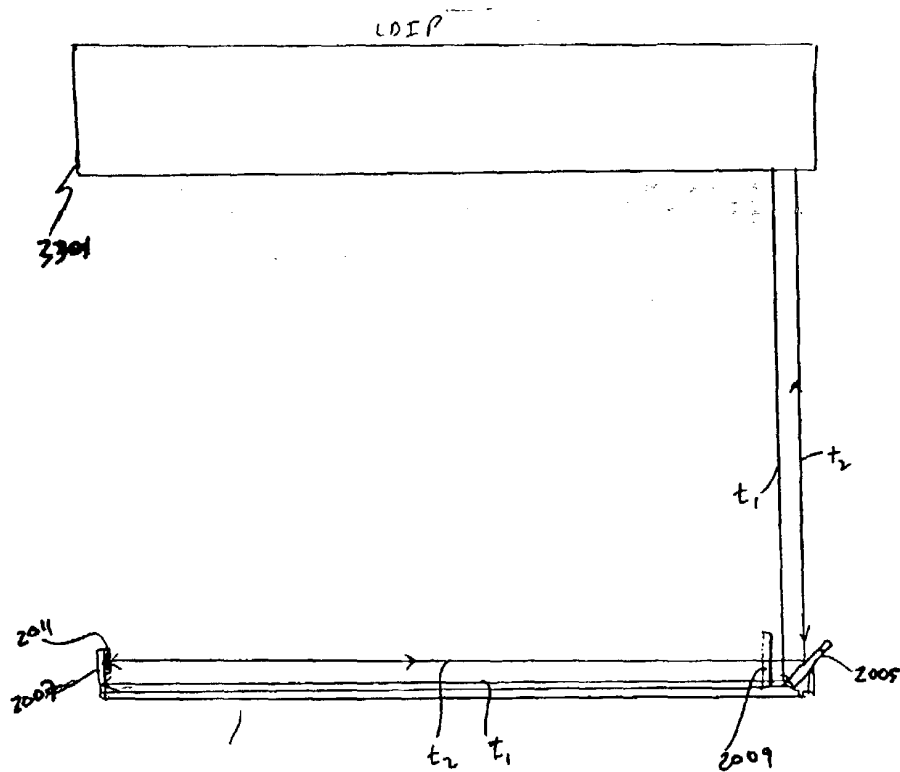


FIG 33B

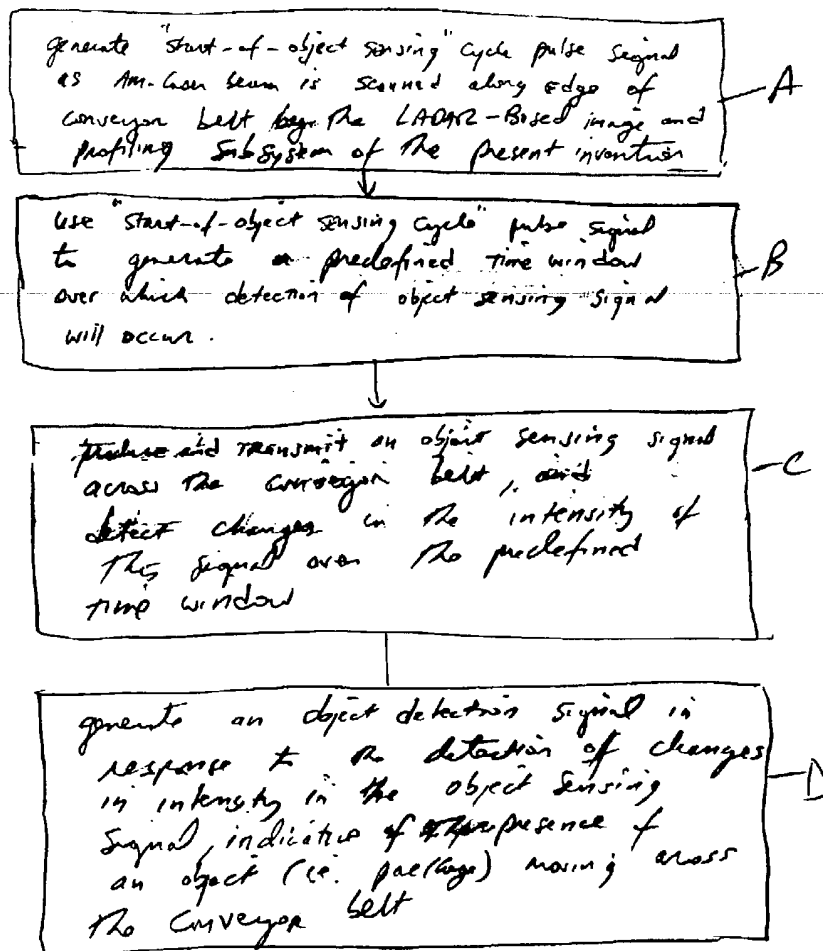
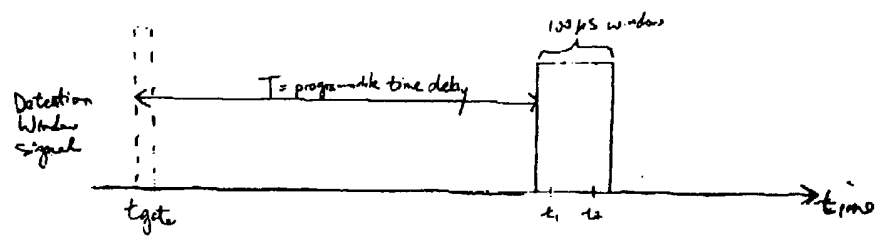
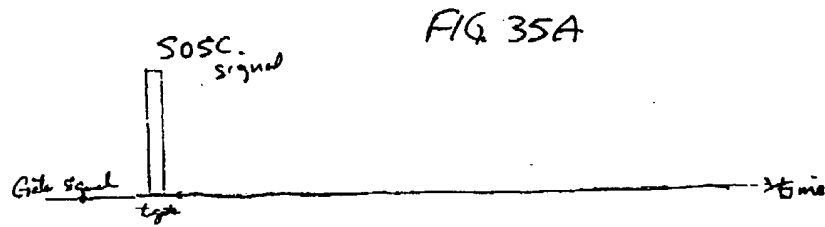
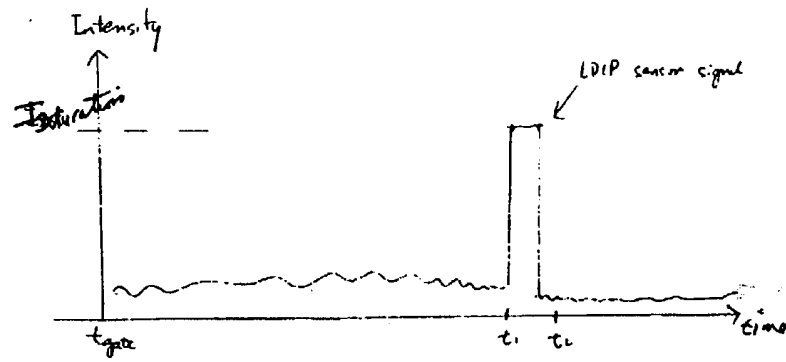


FIG. 34



[illegible]

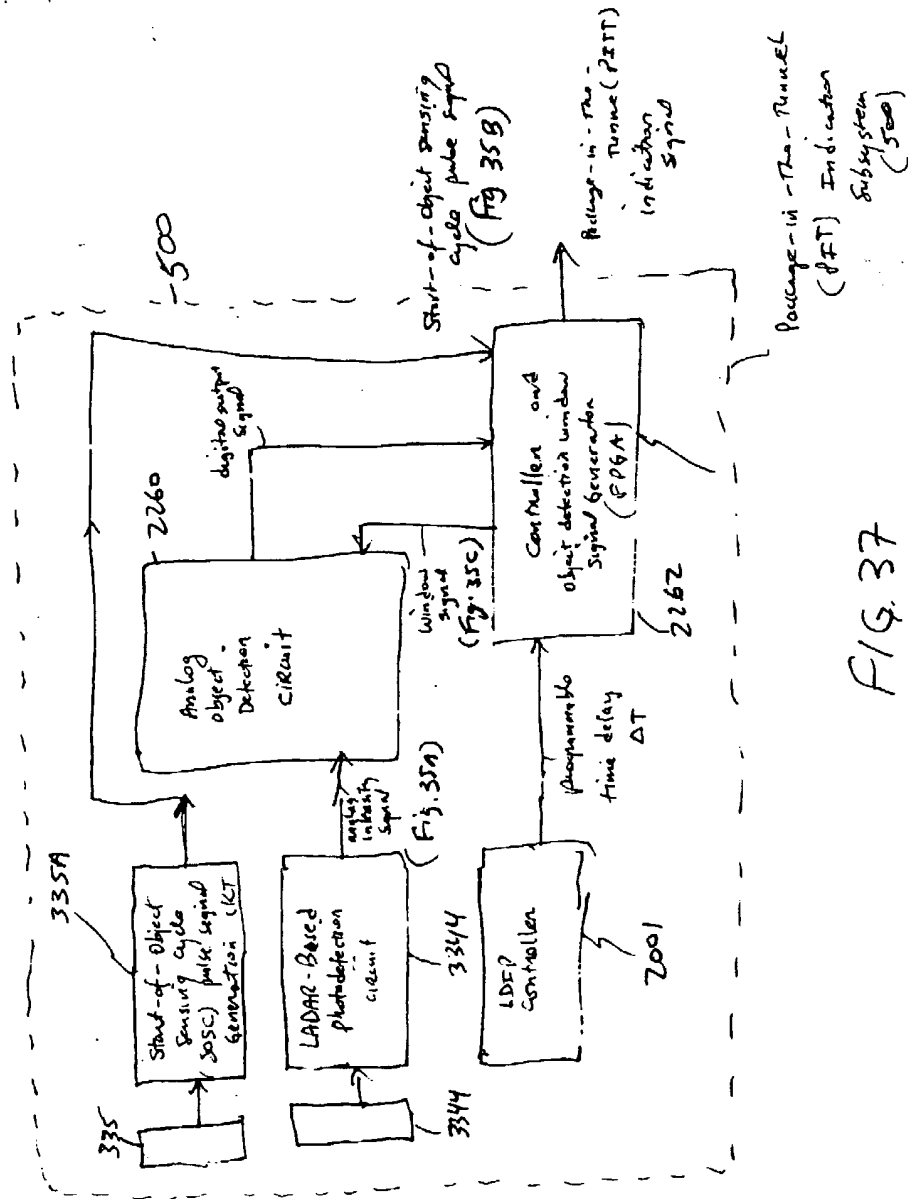
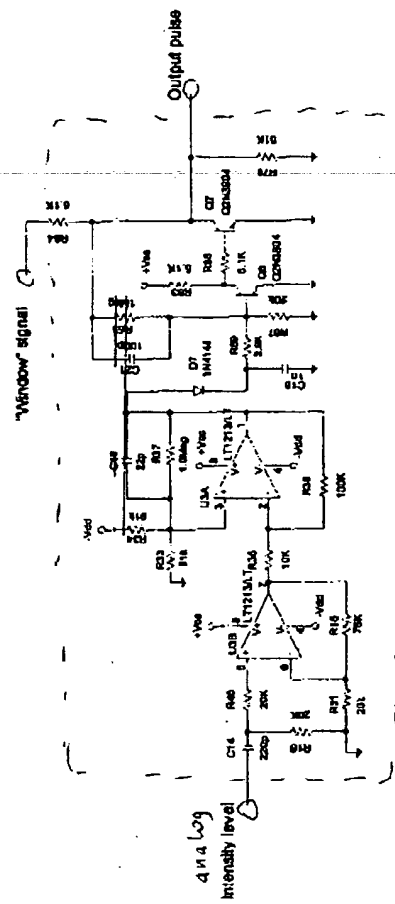


FIG. 37

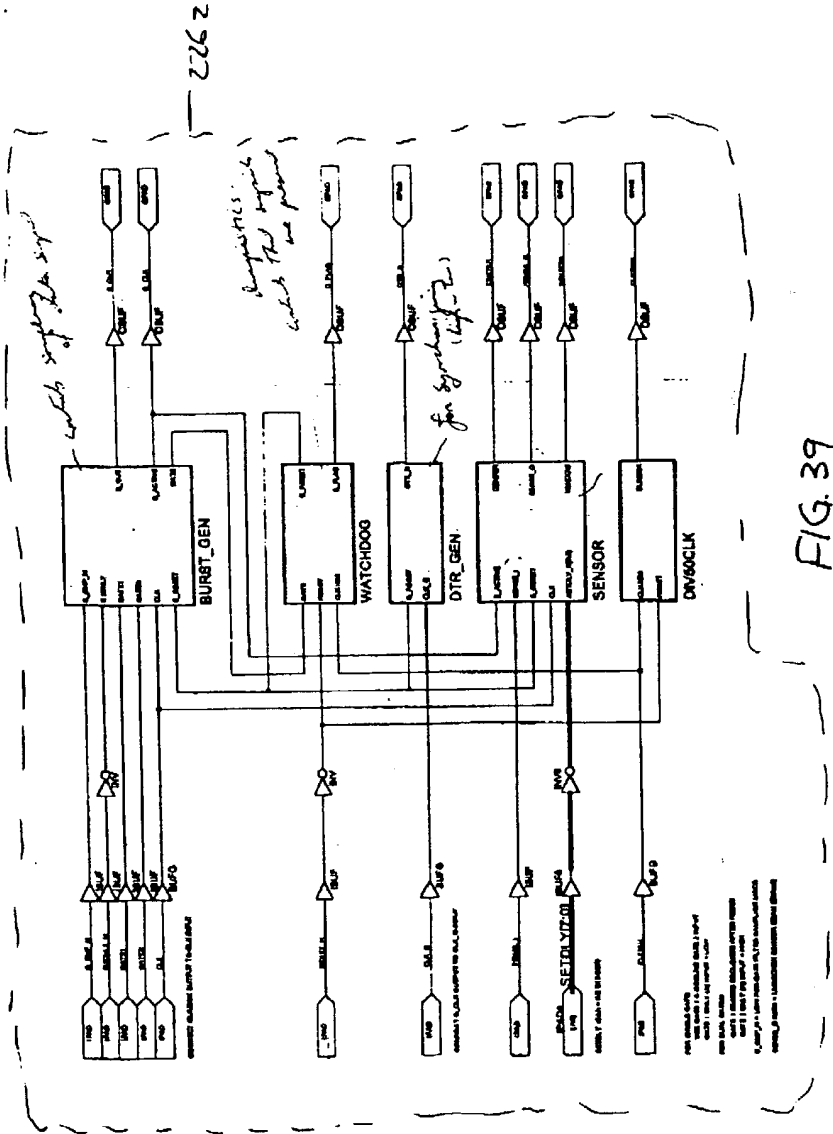




2260

A circuit, generating a 20ms Output pulse, when the Intensity level changes from "high" to "low" within 100ms "Window"

FIG. 38



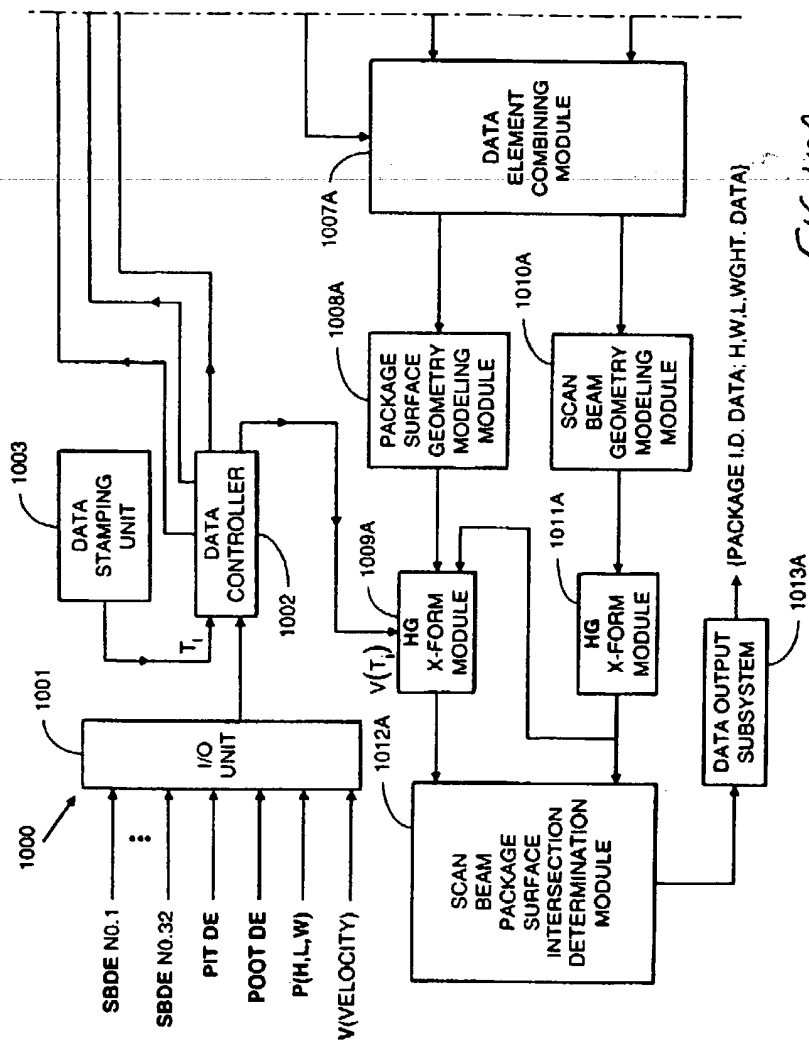


FIG. 40A

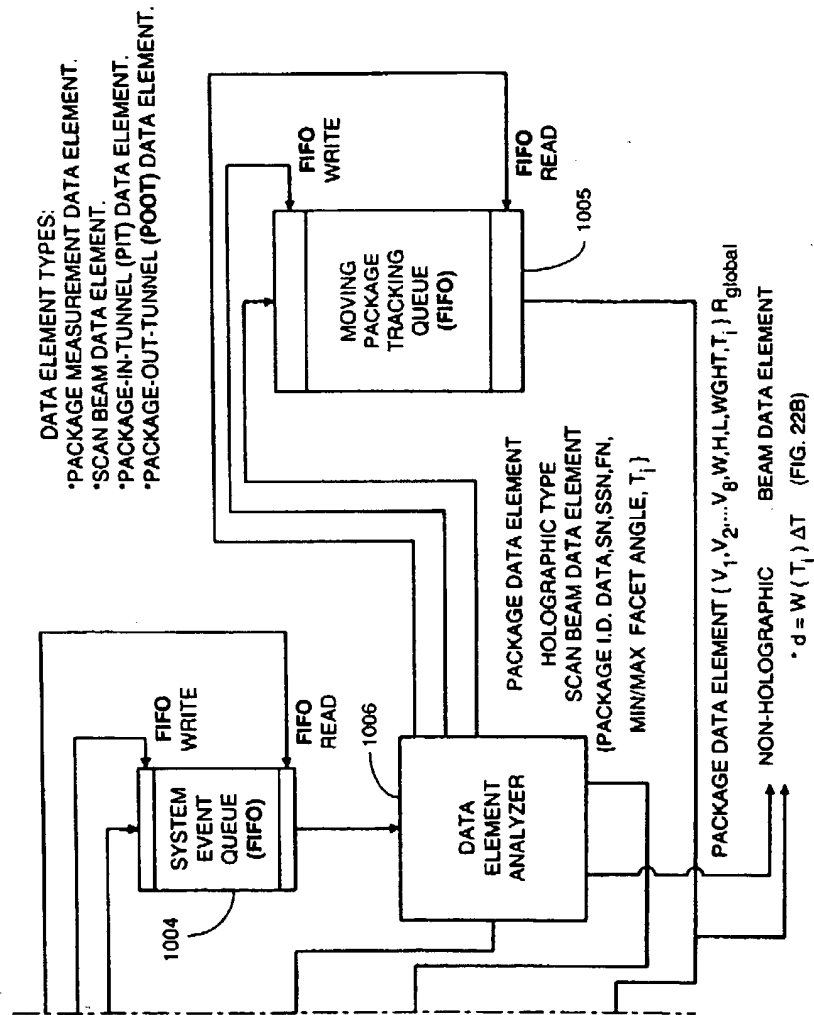


FIG. 40B

**DATA ELEMENT HANDLING RULES**

1. WHEN A PACKAGE DATA ELEMENT (PDE) OF ANY TYPE IS REMOVED FROM THE SYSTEM EVENT QUEUE, THEN IT IS PLACED IN THE MOVING PACKAGE TRACKING QUEUE
2. WHEN A SCAN BEAM DATA ELEMENT (SBDE) IS REMOVED FROM THE SYSTEM EVENT QUEUE, THEN IT IS COMBINED WITH EACH PACKAGE DATA ELEMENT IN THE MOVING PACKAGE TRACKING QUEUE AND THEN EACH RESULTING DATA ELEMENT PAIR IS PROCESSED ALONG THE PACKAGE DATA ELEMENT CHANNEL AND SCAN DATA ELEMENT CHANNEL AS SHOWN IN FIGS. *404 & 405*
3. WHEN A PACKAGE-IN-TUNNEL (PIT) DATA ELEMENT IS REMOVED FROM THE SYSTEM EVENT QUEUE, THEN THE OLDEST PACKAGE DATA ELEMENT IN THE MOVING PACKAGE TRACKING QUEUE IS REMOVED THERE FROM
4. WHEN A PACKAGE-OUT-OF-TUNNEL (POOT) DATA ELEMENT IS REMOVED FROM THE SYSTEM EVENT QUEUE, THEN THE FOLLOWING OPERATIONS ARE CARRIED OUT

*FIG. 41A*

- (a) IF THE TIME STAMP  $T_i$  ON THE REMOVED POOT DATA ELEMENT INDICATES THAT CORRESPONDING PACKAGE HAS MOVED OUT OF THE SCANNING TUNNEL, THEN REMOVE THE OLDEST PACKAGE DATA ELEMENT IN MOVING PACKAGE TRACKING QUEUE
- (b) IF THE TIME STAMP  $T_i$  ON THE REMOVED POOT DATA ELEMENT INDICATES THAT THE CORRESPONDING PACKAGE IS STILL MOVING THROUGH THE SCANNING TUNNEL, THEN DO NOT REMOVE ANY PACKAGE DATA ELEMENT FROM THE MOVING PACKAGE TRACKING QUEUE.

FIG. 41B

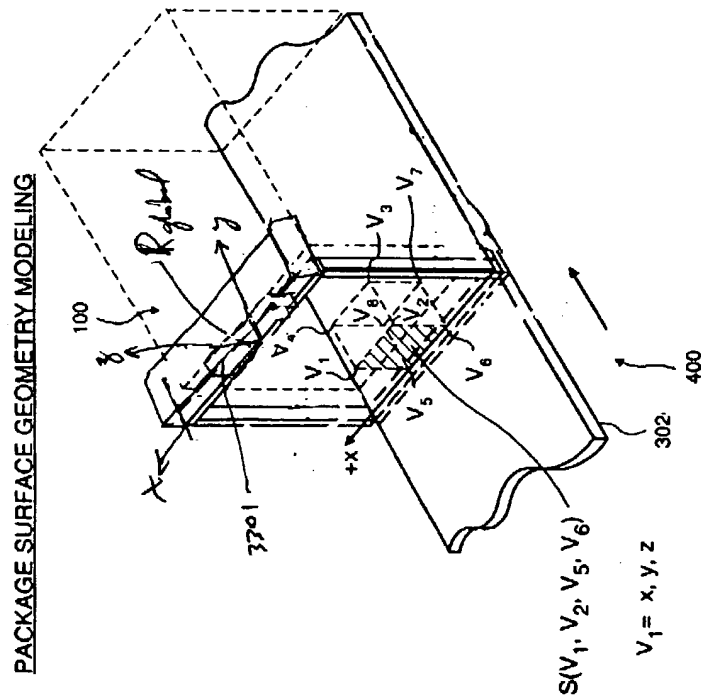


FIG 42

**VECTOR-BASED SURFACE MODELLING OF PACKAGES  
MOVING IN SCANNING TUNNEL**

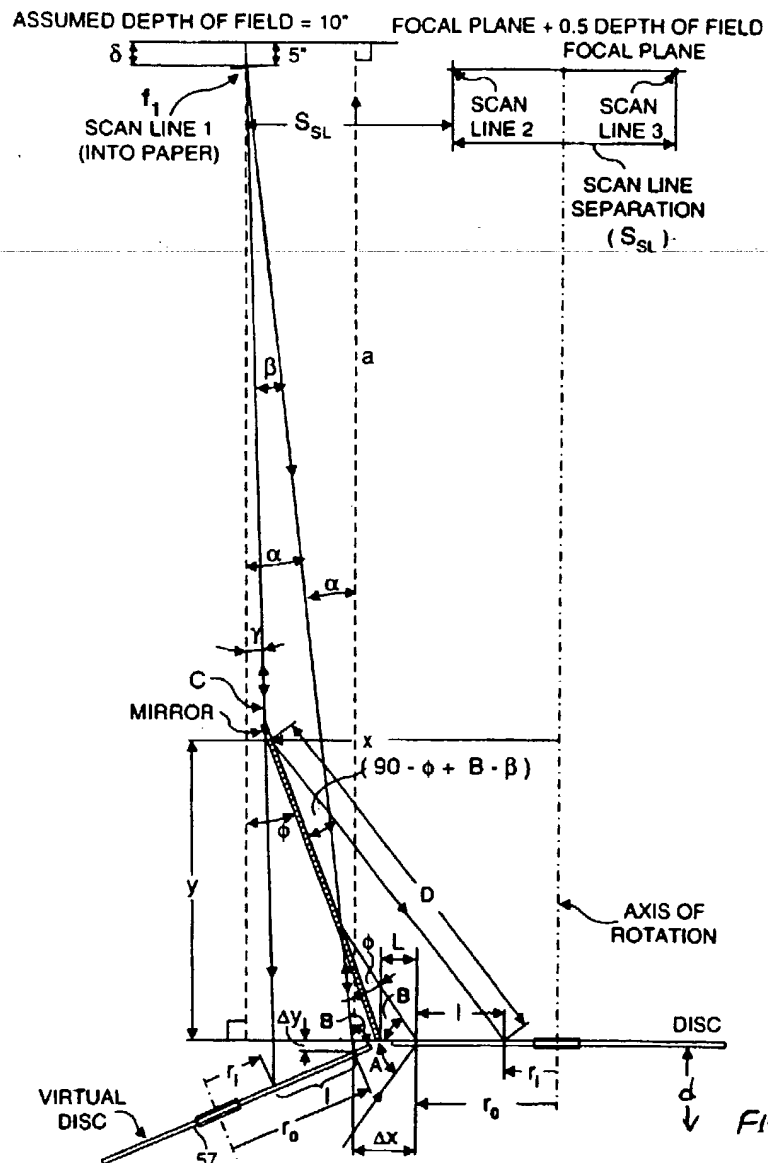
**MATHEMATICAL FORM** OF EACH SURFACE ON THE PACKAGE:  
VECTOR-BASED MODEL CONSISTING OF (1) AT LEAST THREE  
VERTICE POINTS WITHIN THE PLANE OF THE PACKAGE  
SURFACE; AND (2) NORMAL VECTOR FOR THE PLANE.

**PROCEDURE:**

- (1) USE POSITION VECTOR ( REFERENCED TO  $x=0, y=0, z=0$   
IN  $R_{\text{global}}$ ), FOR SPECIFYING THE POSITION OF EACH  
VERTEX IN THE PACKAGE SURFACE PLANE; AND
- (2) USE NORMAL VECTOR FOR SPECIFYING THE SURFACE  
DIRECTION OF THE PACKAGE SURFACE (AT WHICH  
LIGHT REFLECTS)
- (3) THESE FOUR VECTORS SPECIFY THE SURFACE OF THE  
PACKAGE IN COORDINATE REFERENCE FROM  $R_{\text{global}}$

FIG. 43







- ( 1 ) THE RADIUS TO BEAM-INCIDENT-POINT ON THE HOLOGRAPHIC SCANNING DISC, ASSIGNED THE SYMBOLIC NOTATION " $r_0$ "
- ( 2 ) SCANLINE SEPARATION BETWEEN ADJACENT SCANLINES AT THE FOCAL PLANE OF THE (i, j)-TH SCANLINE, ASSIGNED THE SYMBOLIC NOTATION " $s_{sl}$ "
- ( 3 ) THE SCANLINE LENGTH (MEASURED INTO THE PAPER) FOR THE (i, j)-TH SCANLINE, ASSIGNED THE SYMBOLIC NOTATION " $L_{sl}$ "
- ( 4 ) THE DISTANCE MEASURED FROM THE SCANNING DISC TO THE FOCAL PLANE OF THE (i, j)-TH SCANLINE, ASSIGNED THE SYMBOLIC NOTATION " $a_i$ "
- ( 5 ) THE DISTANCE FROM RADIUS TO BEAM-INCIDENT-POINT  $r_0$  TO BEAM FOLDING MIRROR, ASSIGNED THE SYMBOLIC NOTATION " $L$ "
- ( 6 ) THE TILT ANGLE OF THE J-TH BEAM FOLDING MIRROR ASSOCIATED WITH GENERATION OF THE (i, j)-TH SCANLINE, ASSIGNED THE SYMBOLIC NOTATION " $\phi_j$ "
- ( 7 ) THE TILT ANGLE OF THE VIRTUAL SCANNING DISC, ASSIGNED THE SYMBOLIC NOTATION " $2\phi$ "
- ( 8 ) THE LATERAL SHIFT OF THE BEAM INCIDENT POINT ON THE VIRTUAL SCANNING DISC, ASSIGNED THE SYMBOLIC NOTATION " $\Delta x$ "
- ( 9 ) THE VERTICAL SHIFT OF THE BEAM INCIDENT POINT ON THE VIRTUAL SCANNING DISC, ASSIGNED THE SYMBOLIC NOTATION " $\Delta y$ "
- ( 10 ) THE DISTANCE FROM THE ROTATION AXIS TO THE BEAM INCIDENT POINT ON THE VIRTUAL SCANNING DISC, ASSIGNED THE SYMBOLIC NOTATION " $r_0 + \Delta x$ "
- ( 11 ) THE DISTANCE FROM THE BEAM INCIDENT POINT ON THE VIRTUAL SCANNING DISC TO THE FOCAL PLANE WITHIN WHICH THE (i, j)-TH SCANLINE RESIDES, ASSIGNED THE SYMBOLIC NOTATION " $f_i$ "
- ( 12 ) THE DIAMETER OF THE CROSS-SECTION OF THE LASER BEAM SCANNING STATION, ASSIGNED THE SYMBOLIC NOTATION " $d_{beam}$ "
- ( 13 ) THE ANGULAR GAP BETWEEN ADJACENT HOLOGRAPHIC SCANNING FACETS, ASSIGNED THE SYMBOLIC NOTATION " $d_{gap}$ "
- ( 14 ) THE OUTER RADIUS OF THE AVAILABLE LIGHT COLLECTION REGION ON THE HOLOGRAPHIC SCANNING DISC, ASSIGNED THE SYMBOLIC NOTATION " $r_{outer}$ "

FIG. 44B1

- (15) THE INNER RADIUS OF THE AVAILABLE LIGHT COLLECTION REGION ON THE HOLOGRAPHIC SCANNING FACET, ASSIGNED THE SYMBOLIC NOTATION " $r_{\text{INNER}}$ "
- (16) ONE-HALF OF THE DEPTH OF FIELD OF THE (i, j)-TH SCANLINE, ASSIGNED THE SYMBOLIC NOTATION " $\delta$ "
- (17) THE DISTANCE FROM THE MAXIMUM READ DISTANCE ( $r_i + 5'$ ) TO THE INNER RADIUS  $r_i$  OF THE SCANNING FACET, ASSIGNED THE SYMBOLIC NOTATION " $c$ "
- (18) THE OUTER RAY ANGLE MEASURED RELATIVE TO THE NORMAL TO THE i-TH HOLOGRAPHIC FACET, ASSIGNED THE SYMBOLIC NOTATION " $\alpha$ "
- (19) THE INNER RAY ANGLE MEASURED RELATIVE TO THE NORMAL TO THE i-TH HOLOGRAPHIC FACET, ASSIGNED THE SYMBOLIC NOTATION " $\gamma$ "
- (20) THE LIGHT COLLECTION ANGLE MEASURED FROM THE FOCAL POINT OF THE i-TH FACET TO THE LIGHT COLLECTION AREA OF THE SCANNING FACET, ASSIGNED THE SYMBOLIC NOTATION " $\beta$ "
- (21) THE INTERSECTION OF THE BEAM FOLDING MIRROR AND LINE C, ASSIGNED THE SYMBOLIC NOTATION " $x$ "
- (21A) THE INTERSECTION OF THE BEAM FOLDING MIRROR AND LINE C, ASSIGNED THE SYMBOLIC NOTATION " $y$ "
- (22) THE DISTANCE MEASURED FROM THE INNER RADIUS TO THE POINT OF MIRROR INTERSECTION, ASSIGNED THE SYMBOLIC NOTATION " $d$ "
- (23) THE DISTANCE MEASURED FROM THE BASE OF THE SCANNER HOUSING TO THE TOP OF THE j-TH BEAM FOLDING MIRROR, ASSIGNED THE SYMBOLIC NOTATION " $h$ "
- (24) THE DISTANCE MEASURED FROM THE SCANNING DISC TO THE BASE OF THE HOLOGRAPHIC SCANNER, ASSIGNED THE SYMBOLIC NOTATION " $d$ "
- (25) THE FOCAL LENGTH OF THE i-TH HOLOGRAPHIC SCANNING FACET FROM THE SCANNING FACET TO THE CORRESPONDING FOCAL PLANE WITHIN THE SCANNING VOLUME, ASSIGNED THE SYMBOLIC NOTATION " $f_i$ "
- (26) INCIDENT BEAM ANGLE, ASSIGNED THE SYMBOLIC NOTATION " $\alpha_i$ "

FIG 44B2

- ( 27 ) DIFFRACTED BEAM ANGLE, ASSIGNED THE SYMBOLIC NOTATION " $\theta_i$ "
- ( 28 ) THE ANGLE OF THE J-TH LASER BEAM MEASURED FROM THE VERTICAL, ASSIGNED THE SYMBOLIC NOTATION " $\alpha$ "
- ( 29 ) THE SCAN ANGLE OF THE LASER BEAM, ASSIGNED THE SYMBOLIC NOTATION " $\theta_{si}$ "
- ( 30 ) THE SCAN MULTIPLICATION FACTOR FOR THE I-TH HOLOGRAPHIC FACET, ASSIGNED THE SYMBOLIC NOTATION " $M_i$ "
- ( 31 ) THE FACET ROTATION ANGLE FOR THE I-TH HOLOGRAPHIC FACET, ASSIGNED THE SYMBOLIC NOTATION " $\theta_{roti}$ "
- ( 32 ) ADJUSTED FACET ROTATION ANGLE ACCOUNTING FOR DEADTIME, ASSIGNED THE SYMBOLIC NOTATION " $\theta'_{roti}$ "
- ( 33 ) THE LIGHT COLLECTION EFFICIENCY FACTOR FOR THE I-TH HOLOGRAPHIC FACET, NORMALIZED RELATIVE TO THE 16TH FACET, ASSIGNED THE SYMBOLIC NOTATION " $\xi_i$ "
- ( 34 ) THE MAXIMUM LIGHT COLLECTION AREA FOR THE I-TH HOLOGRAPHIC FACET, ASSIGNED THE SYMBOLIC NOTATION " $Area_i$ "
- ( 35 ) THE BEAM SPEED AT THE CENTER OF THE (i,j)-TH SCANLINE, ASSIGNED THE SYMBOLIC NOTATION " $v_{center}$ "
- ( 36 ) THE ANGLE OF SKEW OF THE DIFFRACTED LASER BEAM AT THE CENTER OF THE I-TH HOLOGRAPHIC FACET, ASSIGNED THE SYMBOLIC NOTATION " $\phi_{skew}$ "
- ( 37 ) THE MAXIMUM BEAM SPEED OF ALL LASER BEAMS PRODUCED BY THE HOLOGRAPHIC SCANNING DISC, ASSIGNED THE SYMBOLIC NOTATION " $v_{max}$ "
- ( 38 ) THE MINIMUM BEAM SPEED OF ALL LASER BEAMS PRODUCED BY THE HOLOGRAPHIC SCANNING DISC, ASSIGNED THE SYMBOLIC NOTATION " $v_{min}$ "
- ( 39 ) THE RATIO OF THE MAXIMUM BEAM SPEED TO THE MINIMUM BEAM SPEED, ASSIGNED THE SYMBOLIC NOTATION " $v_{max}/v_{min}$ "
- ( 40 ) THE DEVIATION OF THE LIGHT RAYS REFLECTED OFF THE PARABOLIC LIGHT REFLECTING MIRROR BENEATH THE SCANNING DISC, FROM THE BRAGG ANGLE FOR THE FACET, ASSIGNED THE SYMBOLIC NOTATION " $\delta_e$ "

44 B3

PARAMETER EQUATION USED IN THE SPREADSHEET  
DESIGN OF THE SCANNER

$$(1) \Delta x := L (1 + \cos (2 \phi))$$

$$(2) \Delta y := L \sin (2 \phi)$$

$$(3) \Delta y := r_0 + \Delta x$$

$$(4) C := \sqrt{(f + \delta)^2 + l^2 + 2(f + \delta)l \cos(B)}$$

LAW OF COSINES, WHERE:  $l = r_{\text{outer}} - r_{\text{inner}}$

$$\beta = \alpha - \gamma = B + 2\phi - 90 - \gamma$$

$$(5) \alpha := B - 90 + 2\phi$$

$$(6) r := \alpha - \cos \left[ \frac{(f + \delta)^2 + C^2 - l^2}{2(f + \delta)C} \right]$$

$$(7) \beta := \alpha - \gamma$$

$$(8) X := D \cos (B - \beta) + r_i$$

$$(9) Y := D \sin (B - \beta)$$

$$(10) D := \frac{[r_0 + L - r_i] \sin (90 + \phi)}{\sin (90 - B + \beta - \phi)} \quad (\text{LAW OF SINES})$$

$$(11) h := Y + d$$

FIG. 44C1

$$(12) f_i := \sqrt{a_i^2 + [m S_{SL} [r_0 + \Delta x]]^2}$$

$m$  IS A FACTOR THAT VARIES FROM SCAN LINE TO SCAN LINE AND DETERMINED BY SCAN LINE SEPARATION AND DISTANCE FROM THE ROTATIONAL AXIS OF THE DISC.

$$(13) B_i := \text{atan} \left[ \left[ \frac{m S_{SL} [r_0 + \Delta x]}{a_i} \right] \right] + 90 - 2\phi$$

$$(14) \theta_{Si} := 2 \text{atan} \left[ \left[ \frac{\frac{1}{2} \text{ScanLineLength}}{f_i} \right] \right]$$

$$(15) M_i := \frac{r_0}{f_i} + \cos(\lambda_1) + \cos(B_i)$$

$$(16) \theta_{roti} := \frac{\theta_{Si}}{M_i}$$

$$(17) \theta'_{roti} := \theta_{roti} + \underbrace{\frac{d_{beam}}{r_0} + \frac{d_{gap}}{r_0}}_{\Theta_{dead}}$$

$$(18) \xi_i := \left[ \frac{f_i}{f_{16}} \right]^2 \frac{\sin(B_{16})}{\sin(B_i)} H_i$$

$$(19) \text{Area}_i := \pi \left[ r_{outer}^2 + r_{inner}^2 \right] \frac{\xi_i}{\sum_{i=1}^{16} [\xi_i]} \quad i = 1, 2, \dots, 16$$

FIG 44C2

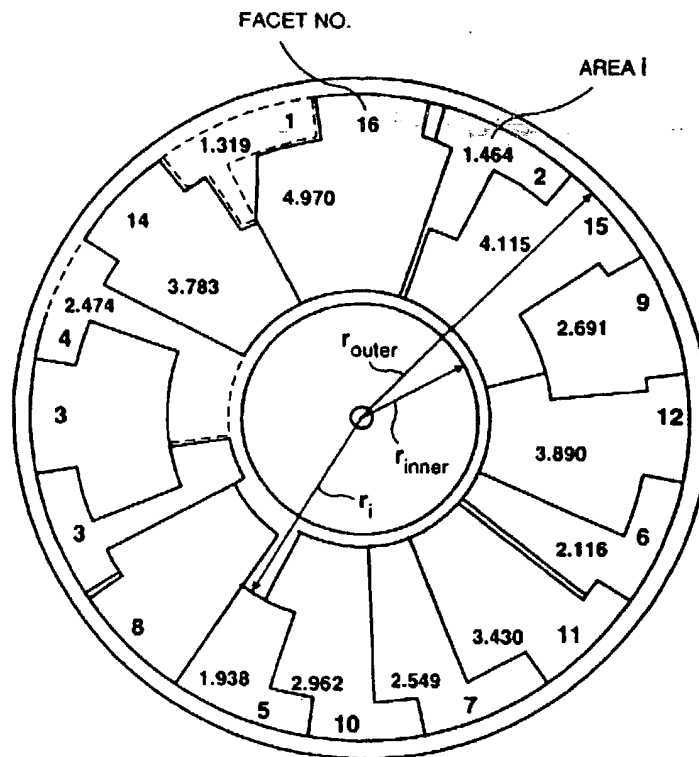


FIG. 44D



**VECTOR MODELING OF LASER SCAN BEAMS  
IN HOLOGRAPHIC SCANNING SUBSYSTEMS**

**MATHEMATICAL FORM FOR EACH LASER SCAN BEAM:  
VECTOR-BASED MODEL OF OPTICAL PATH OF BEAM  
FROM DISC TO MIRROR TO FOCAL PLANE ( $\infty$ )**

**PROCEDURE:**

- (1) USE POSITION VECTOR REFERENCED FROM  $X=0, Y=0, Z=0$   
IN  $R_{\text{local scanner}}$  FOR SPECIFYING THE STARTING POINT  
OF LASER SCAN BEAM ON DISC, AND DIRECTION VECTOR  
FOR SPECIFYING THE DIRECTION OF LASER BEAM  
TOWARDS THE BEAM FOLDING MIRROR; AND
- (2) USE POSITION VECTOR FOR SPECIFYING POINT ON  
MIRROR WHERE BEAM IS REFLECTED FROM BEAM  
FOLDING MIRROR TOWARDS FOCAL PLANE OF  
FACET, EXTENDING TO INFINITY, AND DIRECTION  
VECTOR FOR SPECIFYING THE DIRECTION OF  
LASER BEAM TOWARDS DESIGNATED FOCAL  
PLANE
- (3) THESE FOUR VECTORS SPECIFY THE LASER BEAM RAY IN  
LOCAL COORDINATE REFERENCE  $R_{\text{local scanner}}$

FIG 45

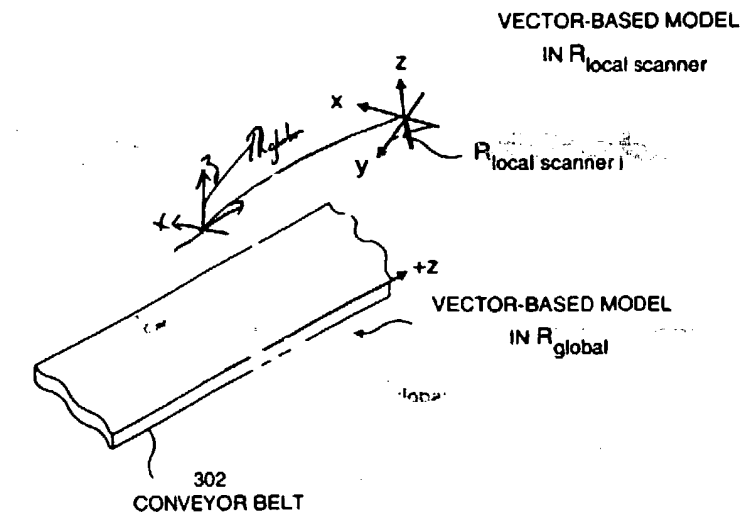


FIG. 4b

COORDINATE CONVERSION OF VECTOR-BASED  
MODELS OF PACKAGE SURFACES

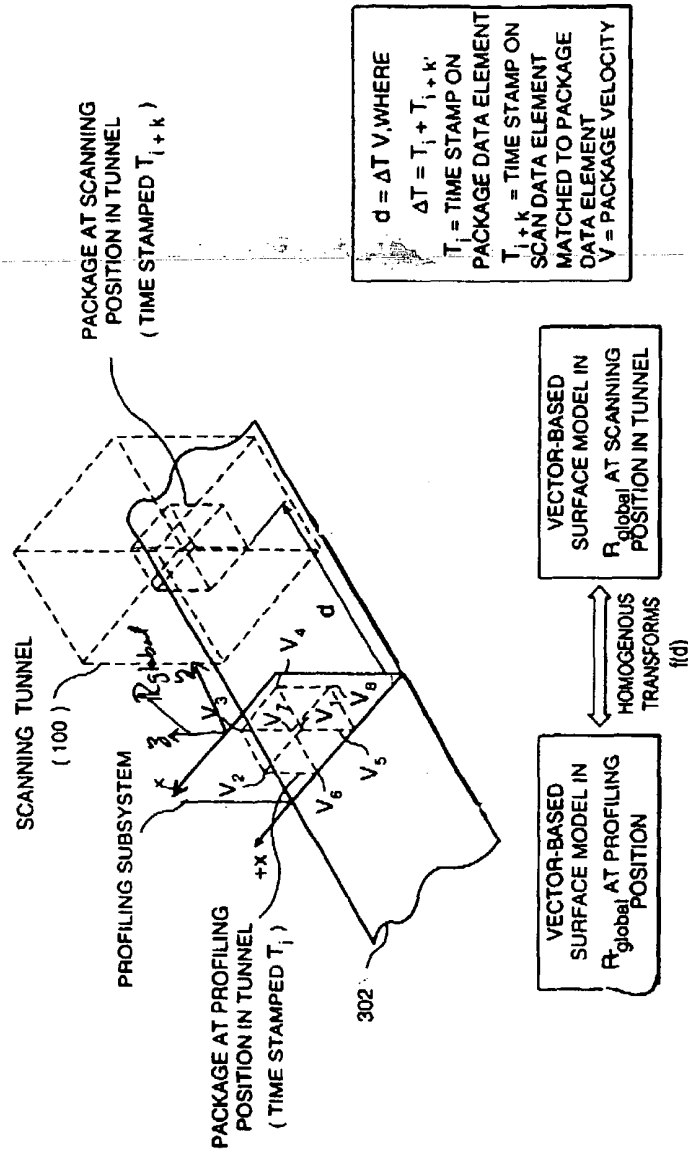


FIG 47

**SCAN BEAM/PACKAGE SURFACE INTERSECTION DETERMINATION  
METHOD FOR SCAN DATA ELEMENTS PRODUCED  
FROM HOLOGRAPHIC SCANNING SUBSYSTEMS**

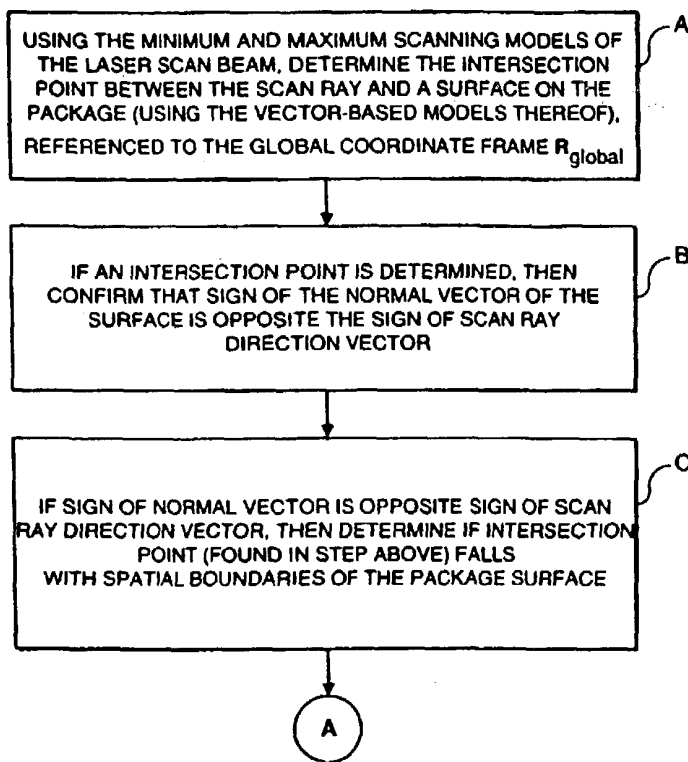


FIG. 48A

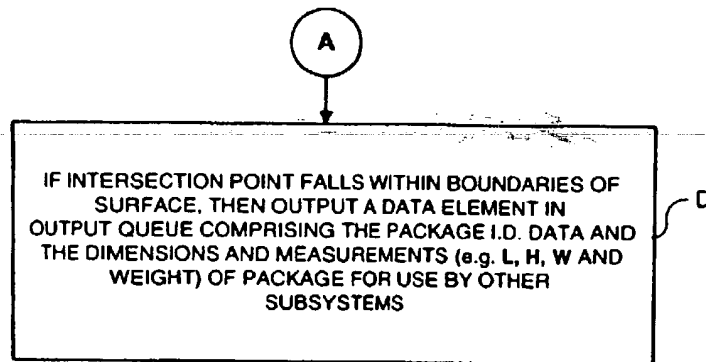


FIG. 488



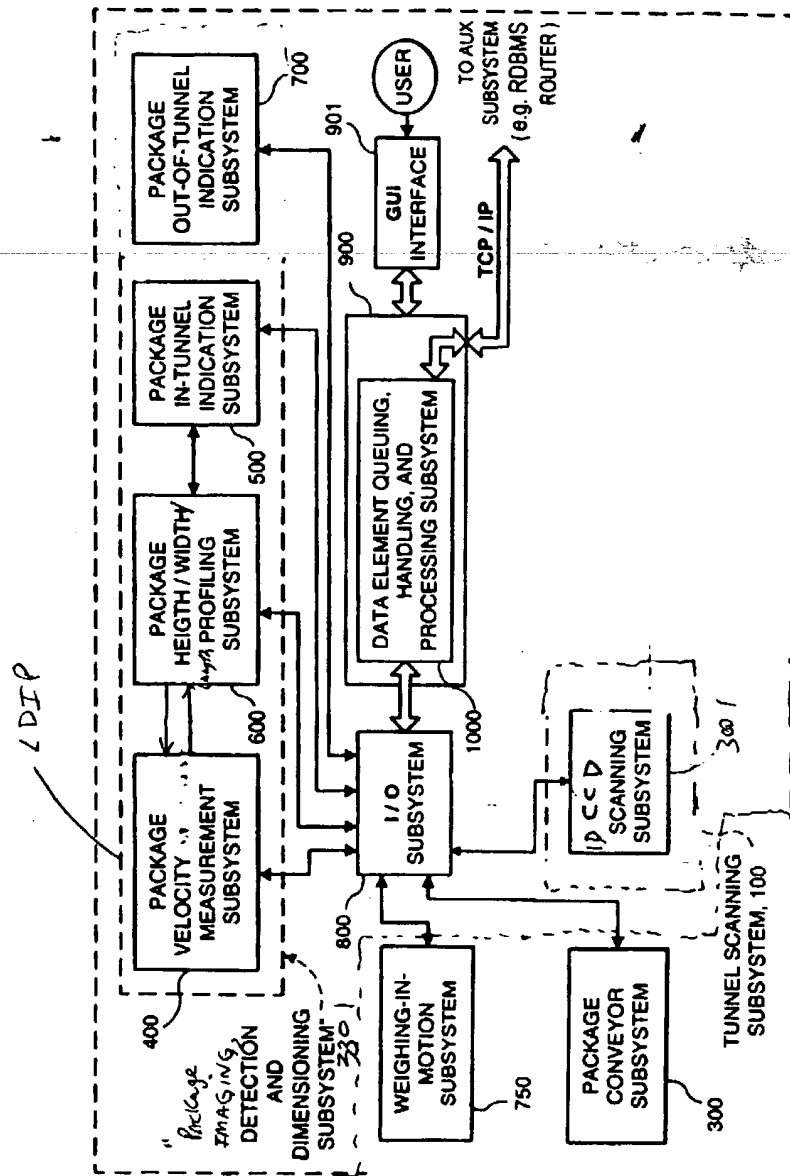


FIG. 50





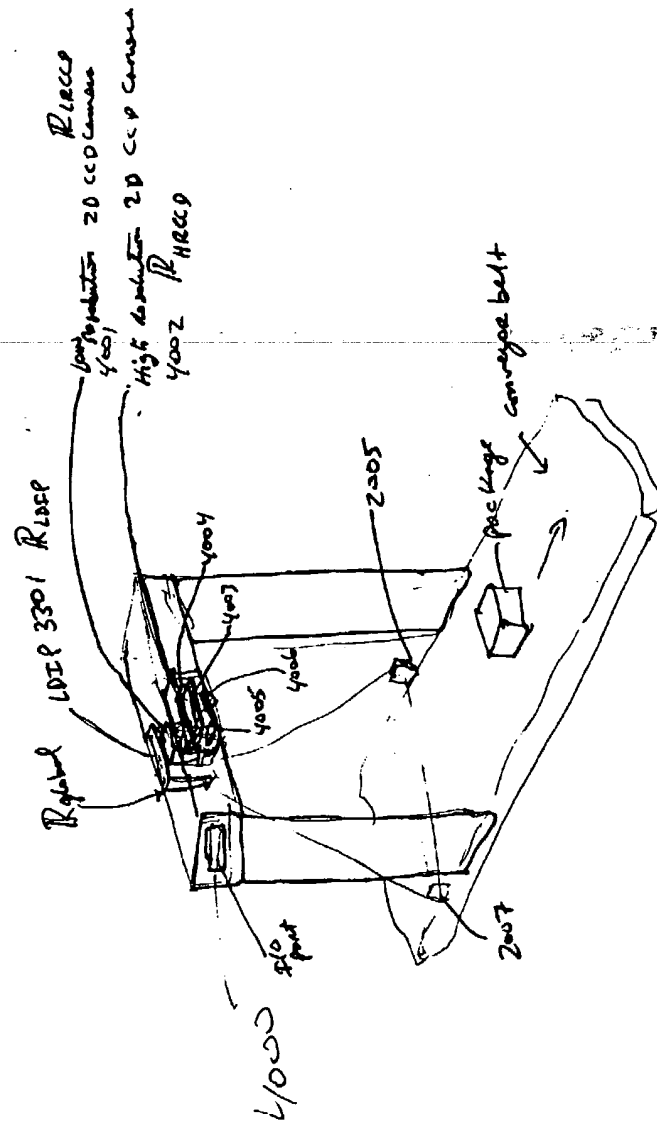


FIG. 53

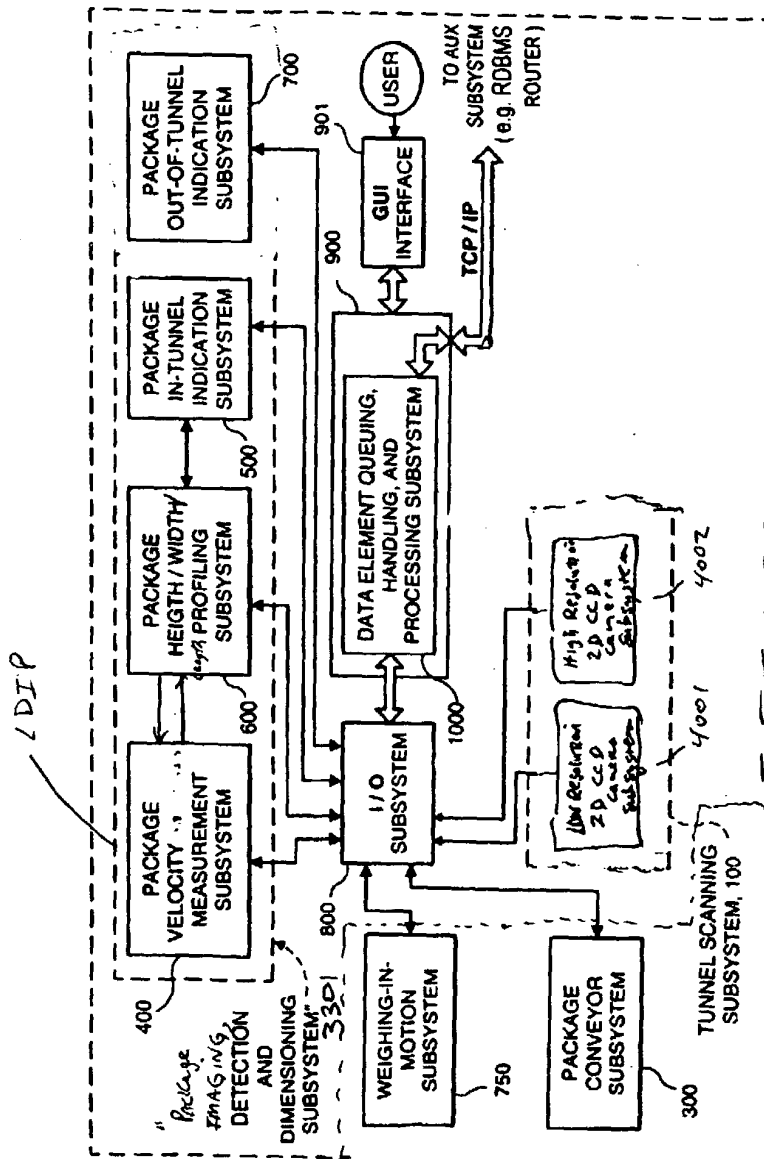


FIG 54

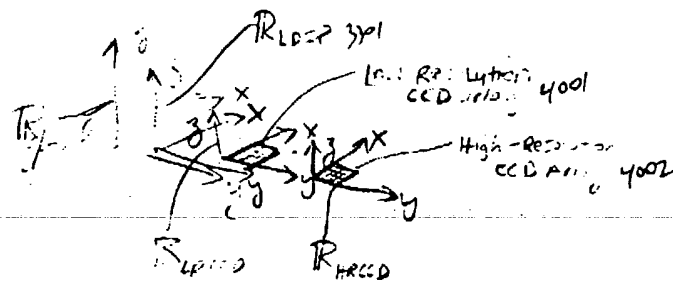


FIG. 55

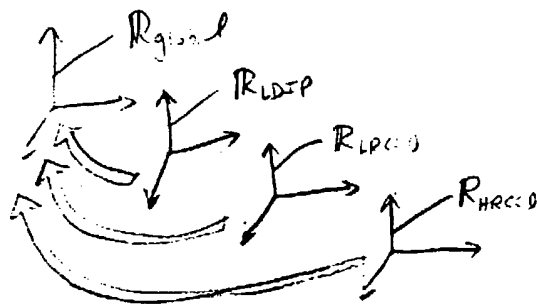


FIG. 56

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/15624

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G06K 07/10, 19/06

US CL : 235/462.02-462.48,375,9

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 235/462.02-462.48,375,9

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| Y         | US 5,080,456 A (Katz et al.) 14 January 1992 (14/01/92), the entire reference      | 1-13                  |
| Y         | US 5,656,799 A (Ramsden et al.) 12 August 1997 (12/08/97), the entire reference    | 1-13                  |

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

|   |  |
|---|--|
| * Special categories of cited documents:  | * Later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  |
| *A* document defining the general state of the art which is not considered to be of particular relevance  | *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone   |
| *B* earlier document published on or after the international filing date  | *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | *g* document member of the same patent family  |
| *O* document referring to an oral disclosure, use, exhibition or other means  |  |
| *P* document published prior to the international filing date but later than the priority date claimed  |  |

Date of the actual completion of the international search

09 AUGUST 2000

Date of mailing of the international search report

24 AUG 2000

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